

# SiGe 基板の酸化での $p_{O_2}$ と温度操作による $GeO_2$ 形成の抑制

## Thermodynamic Control of $GeO_2$ Suppression in SiGe Oxidation by $p_{O_2}$ and Temperature Manipulation

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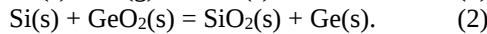
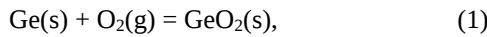
### 1. Introduction

The interface control between oxide and SiGe has become an issue for the application to gate stack. Most papers so far have reported the oxidation behavior of SiGe substrate [1,2]. There have been little thermodynamic discussion on oxide growth on SiGe substrate, in spite of the large free energy change between  $SiO_2$  and  $GeO_2$ .

Chung *et al.* demonstrated  $YSiO_x/SiGe$  gate stack [3]. They proved thermodynamically that the control of  $p_{O_2}$  or the supply of  $O_2$  to the SiGe interface may be the key to preferentially grow  $SiO_2$  layer. Although they focused on the formation of Si and Ge elements in their study, it is evident that there exist other possible chemical reactions that play a significant role during oxidation. In this study, we will discuss thermodynamics of SiGe oxidation more detail and its manipulation for preferential  $SiO_2$  growth.

### 2. Thermodynamics of SiGe oxidation

In the oxidation process of  $Si_{0.5}Ge_{0.5}$  substrate, both  $GeO_2$  (reaction path I) and  $GeO$  (reaction path II) participate in the  $SiO_2$  formation. In Reaction Path I, the formation of  $GeO_2$  is controlled by the competition between the following oxidation and reduction reactions:

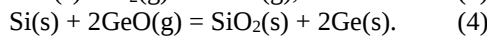


The equilibrium temperature for preferential  $SiO_2$  growth is described as:

$$T_{e1} = \frac{\Delta H_2^\circ - \Delta H_1^\circ}{\Delta S_2^\circ - \Delta S_1^\circ - R \ln p_{O_2}}, \quad (eq. 1)$$

where  $\Delta H_x^\circ$  and  $\Delta S_x^\circ$  are the enthalpy and entropy of reaction  $x$  ( $x = 1, 2$ ),  $R$  the gas constant, and  $p_{O_2}$  the partial  $O_2$  pressure. In order to grow pure  $SiO_2$  layer in the Reaction Path I, lower  $p_{O_2}$  and higher temperature are required. Since there is no other variable in eq. 1, the  $T_{e1}$  is exhibited as a fixed borderline (plotted as a dashed line in Fig. 1) between the pure  $SiO_2$  and mixed oxide growth region across the  $p_{O_2}$ - $T$  diagram.

On the other hand, in Reaction path II, the formation of  $GeO$  is controlled in the same way:



The equilibrium temperature between the reaction of  $GeO$  formation (3) and reduction (4) can be described as follows:

$$T_{e2} = \frac{\Delta H_3^\circ - \Delta H_4^\circ}{\Delta S_3^\circ - \Delta S_4^\circ - R \ln \frac{p_{GeO}^4}{p_{O_2}}} \quad (eq. 2)$$

Note that now the  $p_{GeO}$  term is included and  $T_{e2}$  is subject to change by it. (plotted solid lines in Fig. 1). In equilibrium state, at a given temperature, lower  $p_{O_2}$  yields lower  $p_{GeO}$  and eventually the  $SiO_2$  formation by reduction reaction of  $GeO$  decreases. Combining the two  $SiO_2$  preferential growth behaviors above, it is expected that lower  $p_{O_2}$  and lower temperature (but sufficiently larger than  $T_{e1}$ ) are necessary to grow  $SiO_2$  and minimize the impact of  $GeO$ . It is important to note that for a given  $p_{GeO}$ , the required temperature and  $p_{O_2}$  are limited to the cross-area between the upper part of  $T_{e1}$  and bottom area of  $T_{e2}$ . The area may vary by  $T_{e2}$  depending on the aimed  $p_{GeO}$ .

### 3. Conclusion

Preferential  $SiO_2$  growth processes through  $GeO_2$  and  $GeO$  were discussed. Following the Reaction Path I (through  $GeO_2$ ), the preferential  $SiO_2$  growth by  $GeO_2$  reduction can be achieved by lower  $p_{O_2}$  and higher temperature. In the Reaction Path II (through  $GeO$ ), the equilibrium temperature varies by  $p_{GeO}$ , and the preferential  $SiO_2$  growth by  $GeO$  reduction decreases as the  $p_{O_2}$  decreases. As a result, low  $p_{O_2}$  and temperature (but  $T < T_{e1}$ ) are necessary to grow pure  $SiO_2$  layer and minimize the impact of  $GeO$ .

### 4. References

[1] F. K. LeGoues *et al.*, APL 54 (1989) 644; [2] T. David *et al.*, JPCC 119 (2015) 24606; [3] C.-T. Chung and A. Toriumi, IEDM (2015).

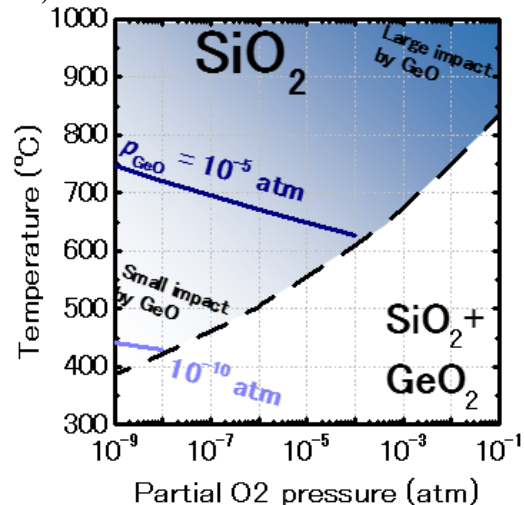


Fig. 1.  $p_{O_2}$ - $T$  diagram for  $SiO_2$  preferential growth.