



## Physical origins of slow traps for ALD high-k dielectrics on GeO<sub>x</sub>/Ge interfaces

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**Introduction** Ge with higher electron and hole mobility is an attracting channel material for next generation MOSFETs[1]. While Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge and HfO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge structures fabricated by PPO (plasma post oxidation) have been reported as one of the realistic gate stacks with very thin EOT [2-3], a large amount of slow traps existing at the Ge MOS interfaces and resulting inferior reliability have been one of the most critical remaining issues for Ge CMOS realization [4-6]. We have recently found that the slow traps for electrons could exist inside GeO<sub>x</sub> formed by PPO [7]. However, the physical origin of the slow trap generation for electrons and holes has not been understood yet. In this study, we systematically compare the slow trap density of electron and holes for the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge interfaces with different GeO<sub>x</sub> thickness prepared by post or pre plasma oxidation for ALD Al<sub>2</sub>O<sub>3</sub>/Ge stacks. Also, we examine the influence of high-k post deposition on  $\Delta N_{st}$  in the high-k/GeO<sub>x</sub>/Ge MOS interfaces to study the physical origin of slow traps.

**Experiments** (100) Ge wafers were cleaned by de-ionized water, acetone and HF. After the pre-cleaning, plasma pre-oxidation was performed by using ECR plasma of Ar (9 sccm) and O<sub>2</sub> (3 sccm) at 300 °C under 650 W microwave power for 1-10 s. Subsequently, 1.5- to 2.4-nm-thick Al<sub>2</sub>O<sub>3</sub> were deposited on 0.67- to 1.11-nm-thick GeO<sub>x</sub>/Ge at 300 °C by ALD. Also, 10-nm-thick Al<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub>, La<sub>2</sub>O<sub>3</sub> or Y<sub>2</sub>O<sub>3</sub> were deposited on 1.4-nm-thick GeO<sub>x</sub>/Ge structures at 300 °C. Post deposition annealing (PDA) was performed for 30 min at 400 °C in N<sub>2</sub> ambient, followed by formation of 100-nm-thick Au gate electrodes and 100-nm-thick Al back contacts by thermal evaporation.

**Results and Discussions** Fig. 1(a) and (b) show the comparison in  $\Delta N_{st}$  of 1.5-nm-thick Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n- and p-Ge, respectively, between plasma post- and pre-oxidation with an EOT ~1.5-nm-thick. It is found that  $\Delta N_{st}$  is significantly higher in the n-Ge MOS capacitor formed for plasma post-oxidation than that for plasma pre-oxidation and there is no difference in the p-Ge MOS capacitors. This result indicates that slow traps near the Ge conduction band side are additionally generated during the PPO process. Fig. 2 shows that the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/p-Ge MOS interface has the GeO<sub>x</sub> thickness variation of  $\Delta N_{st}$ , while the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n-Ge MOS interface has no dependence. These results indicate that slow traps for electrons and holes exist at different positions in the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge MOS interfaces. As seen in Fig. 3,  $\Delta N_{st}$  of the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n- or p-Ge MOS interfaces is significantly lower than those of the MOS interfaces with the other high-k films. These results clearly show that the ALD Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and La<sub>2</sub>O<sub>3</sub> films introduce additional slow traps in the high-k/GeO<sub>x</sub>/Ge gate stacks in comparison with Al<sub>2</sub>O<sub>3</sub>. Finally, we schematically summarize possible locations of slow traps in the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n- and p-Ge MOS interfaces and Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and La<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n- and p-Ge MOS interfaces with plasma pre-oxidation in Fig. 4. The slow traps for electrons can locate near the GeO<sub>x</sub>/Ge MOS interfaces [7-8], as shown in Fig. 4(a). On the other hand, the slow traps for holes can locate around the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub> interface, shown in Fig. 4(b) [8]. The slow trap energies for holes and electrons seem similar to the calculated ones of oxygen vacancies in Al<sub>2</sub>O<sub>3</sub> [9] and valence alternation pair (VAP) defects in GeO<sub>2</sub> [10], respectively, as shown in Fig.4. On the other hand, the higher slow trap density in Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and La<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n- and p-Ge MOS interfaces suggest that much larger amounts of additional slow traps for both electrons and holes can locate near the interfaces of GeO<sub>x</sub> with ALD Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and La<sub>2</sub>O<sub>3</sub>, probably inside the high-k films, as shown in Fig. 4(c) and (d).

**Conclusion** A large amount of slow traps for electrons are additionally generated during the PPO process near conduction band side. The main slow traps in the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge interfaces can locate near the GeO<sub>x</sub>/Ge interfaces for electrons and near the Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub> interfaces for holes. However, replacing the high-k materials from Al<sub>2</sub>O<sub>3</sub> to Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> or La<sub>2</sub>O<sub>3</sub> can change the physical origins of the slow traps origins into any defects inside high-k or around high-k/GeO<sub>x</sub> interfaces.

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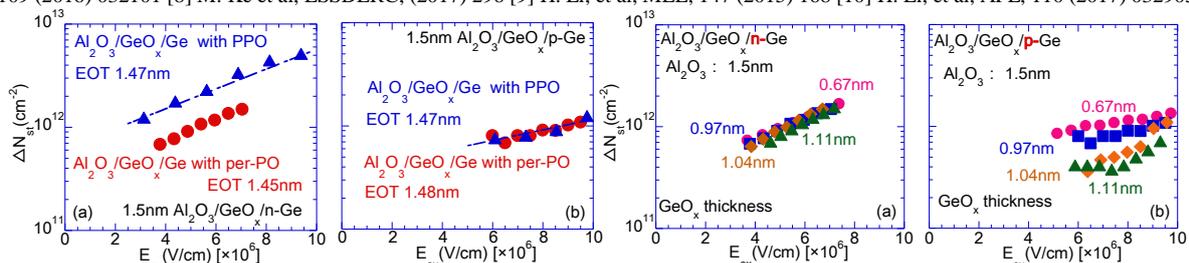


Fig.1:  $\Delta N_{st}$  of 1.5-nm-thick Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/Ge with plasma post or pre-oxidation with an EOT ~1.5-nm-thick

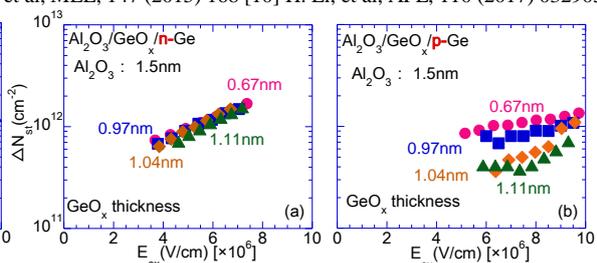


Fig. 2: Relationship between  $E_{ox}$  and  $\Delta N_{st}$  of (a) 1.5-nm-thick Al<sub>2</sub>O<sub>3</sub>/0.67, 0.97, 1.04, 1.11-nm-thick GeO<sub>x</sub>/n-Ge and (b) p-Ge MOS interface with plasma pre-oxidation.

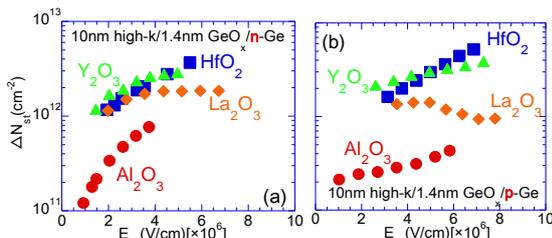


Fig.3 Relationship between  $E_{ox}$  and  $\Delta N_{st}$  of (a) 10-nm-thick Al<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> and La<sub>2</sub>O<sub>3</sub>/1.4-nm-thick GeO<sub>x</sub>/n-Ge and (b) p-Ge with plasma pre-oxidation.

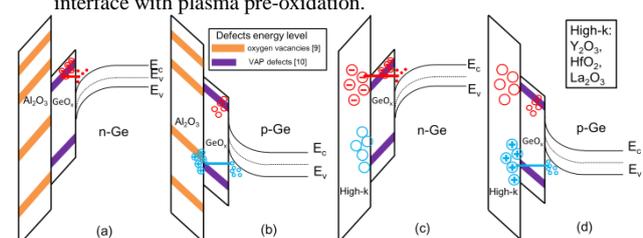


Fig.4 Schematic diagram of possible positions of slow traps of (a) Al<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n-Ge and (b) p-Ge (c) Y<sub>2</sub>O<sub>3</sub>, HfO<sub>2</sub> or La<sub>2</sub>O<sub>3</sub>/GeO<sub>x</sub>/n-Ge and (d) p-Ge MOS interfaces by plasma pre-oxidation.