Investigation of Optical Phase shift by Free-carrier effect in Germanium Waveguides for Mid-infrared Photonics

^oZiqiang Zhao¹, Chongpei Ho¹, Qiang Li, Zaoyang Lin¹, Kasidit Toprasertpong¹, Shinichi Takagi¹ and Mitsuru Takenaka¹ (¹The University of Tokyo) E-mail: zhaozq@mosfet.t.u-tokyo.ac.jp

Introduction: Mid-infrared (MIR) integrated photonics is showing great potential on optical communications and molecular sensing, therefore it is becoming more and more attractive to the scientists [1]. Germanium (Ge) is expected to be an ideal material for its superior electrical and optical properties in MIR spectra [2]. In this work, we investigated the feasibility of optical phase shift by free-carrier plasm dispersion on a Ge-on-insulator (GeOI) MIR photonic platform operating at 2 μ m band. **Fabrication Process:** Carrier-injection Ge phase shifters were fabricated using Ge PIN waveguides described in [3]. To form a lateral PIN junction along the Ge waveguide, we used phosphorous spin-on-glass solid source diffusion which enabled n-type doping with the electron density of 1.9×10^{19} cm⁻³. We used boron ion implantation for p-type doping, resulting in the hole density of 1.3×10^{19} cm⁻³.

Ni/Al electrodes were formed by sputtering and lift-off process. Both ring and asymmetric Mach-Zehnder interferometer (MZI) were implemented to measure the amount of phase shift in the Ge

waveguide at a 2 µm wavelength. **Results & Conclusions:** We evaluated the operation of the Ge MZI modulator with injection currents from 0 to 20 mA for one of the arms, as shown in Fig. 1. We observed a peak shift associated with the plasma dispersion in Ge. A π phase shift was obtained by 20 mA, showing much higher modulation efficiency than that of Ge-on-Si modulators [4]. Note that the extinction ratio (ER) of the Ge MZI modulator rapidly degraded from 22 dB to 2 dB when the injection current increased to 20 mA due to the large free-carrier absorption effect in Ge. The phase shift of a Ge ring modulator was also studied as shown in Fig. 2. Owing to the ring cavity, a large static modulation depth of 18 dB at 1972.07 nm was obtained with only 2 mA injection. The Q factor of the ring slightly degraded from 3,819 to 3,464 in this case. In summary, this work proved the feasibility of phase modulation in a Ge waveguide on the GeOI platform, which will be very important in the future MIR photonic application.

Acknowledgement: This work was partly commissioned by the New Energy and Industrial Technology Development Organization (NEDO) and supported by JSPS KAKENHI Grant Number JP20H02198 and the Canon Foundation. A part of This work was conducted at Takeda Sentanchi Super cleanroom, The University of Tokyo, supported by "Nanotechnology Platform Program" of the Ministry of Education, Culture, Sports, Science and Technology (MEXT), Japan, Grant Number JPMXP09F20UT0021.

References: [1] G. Fatima and B. Corbett, Opt. Photonics News, 2019, 30, pp. 42–47. [2] R. Soref, Nature Photon., 2010, 4, (8), pp. 495–497. [3] Z. Zhao, C. Ho, Q. Li, et al., J. Light. Technol., doi: 10.1109/JLT.2020.2995427. [4] T. Li, M. Nedeljkovic, N. Hattasan, et al., Photonics Res., 2019, 7, (8), pp. 828–836.



-50 Optical power (dB) -55 -60 R= 45 μm 4 mA -65 2 mA -70 0 mA -75 1971.6 1971.8 1972.0 1972.2 1972.4 Wavelength (nm)

Fig. 1 Optical spectra of a Ge MZI modulator with 250-µm-long phase shifter with various injection currents.

Fig. 2 Optical spectra of Ge ring modulator with radius of $45 \,\mu\text{m}$ with various injection currents.