

## Photo-CVD of Tantalum Oxide Films and Their Characteristics

Yasuo TARUI, Satoshi OKA, Masahiro MATSUI,  
Koji YAMAGISHI\* and Koichi KUROIWA

Department of Electronic Engineering, Tokyo University of  
Agriculture and Technology, Koganei, Tokyo 184, Japan

TaO<sub>x</sub> film formation by a photo-CVD method using TaCl<sub>5</sub> as a source material is examined. The deposition rate increases with increasing growth temperature and decreasing chamber pressure down to 1 Torr. Leakage current of the formed TaO<sub>x</sub> film decreases drastically with annealing in the presence of both UV-irradiation and an oxygen ambient after deposition (p-O<sub>2</sub> annealing),<sup>8</sup> when the underlying layer contains Si. The leakage current density is 10<sup>-8</sup> A/cm<sup>2</sup> at the 4 MV/cm electric field. The dielectric constant for MIS structure capacitors decreases with decreasing TaO<sub>x</sub> thickness, but it does not decrease much with the p-O<sub>2</sub> annealing.

### 1. Introduction

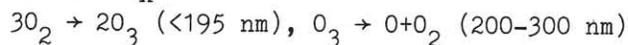
To further increase VLSI integration, such as for megabit class MOS DRAM's or low voltage operation of thin film transistors, introduction of a capacitor insulator with higher dielectric constant, instead of the conventional SiO<sub>2</sub>, is desirable. Tantalum oxide is a promising material for this purpose because of its high dielectric constant, and has been studied extensively. However, TaO<sub>x</sub> films have been considered to be too leaky for practical use, and various methods have been proposed for overcoming this drawback.<sup>1-4)</sup>

Previously, growth of TaO<sub>x</sub> films by a photo-CVD method using Ta(OCH<sub>3</sub>)<sub>5</sub> as a source material was examined, and relatively good electrical characteristics were confirmed in the grown films.<sup>5)</sup> These films, however, did not have sufficiently high dielectric breakdown strength, probably because of carbon contamination from Ta(OCH<sub>3</sub>)<sub>5</sub>. To avoid this contamination, a photo-CVD method using tantalum pentachloride as a source material

and additional annealing with UV-irradiation in an oxygen ambient were developed.

### 2. Formation of TaO<sub>x</sub> by photo-CVD from TaCl<sub>5</sub>

Tantalum pentachloride (TaCl<sub>5</sub>) absorbs light strongly at wavelengths below 280 nm (molar extinction coefficient is 498 l·mol<sup>-1</sup>·cm<sup>-1</sup> at 254 nm). Light irradiation from a low pressure Hg lamp with resonance lines at 254 nm and 185 nm activates TaCl<sub>5</sub> and forms TaO<sub>x</sub> film by oxidation in the presence of oxygen. The reactive O radical produced by the following photo-chemical reaction<sup>6)</sup> also assists TaO<sub>x</sub> formation.



The photo-CVD system is shown schematically in Fig.1. The 254 nm and 185 nm wavelength ultraviolet light from a low pressure Hg lamp irradiates a substrate through a synthetic quartz window (Suprasil). The intensity of the 254 nm light is about 40 mw/cm<sup>2</sup> at the substrate position. The intensity of the 185 nm light is about 15 % that of the 254 nm light. TaCl<sub>5</sub> has a low vapor pressure at room temperature, so it is vaporized at 120 °C and carried into the

\*Permanent address: VLSI Development Laboratories of SHARP Corporation, Tenri, Nara 632

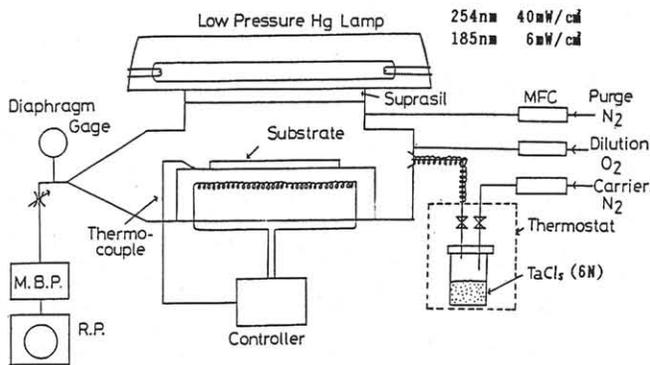


Fig.1. Schematic diagram of the photo-CVD system.

chamber in a nitrogen stream. N-type (100) silicon wafers were usually used as substrates. In MIM capacitor fabrication, CVD tungsten-silicide annealed in a nitrogen ambient at 850 °C after tungsten deposition, and other metals (Cr, Ti) or metal-alloy (TiW) were used as materials for the underlying layer.

Growth temperature dependence of the deposition rate and refractive index of the formed  $TaO_x$  film is shown in Fig.2. The deposition rate increased with increasing deposition temperature and decreasing chamber pressure down to 1 Torr.  $TaO_x$  film formation was very slow (less than 2 A/min at 400 °C) without light irradiation, and thus such irradiation was found to enhance the deposition rate and enable  $TaO_x$  film growth at even lower temperatures. To check the effects of the reactive O radical production by 185 nm light on the deposition rate,  $TaO_x$  film was deposited using a quartz window, the transmittance of which is much lower for 185 nm light than that of Suprasil windows. In this case the deposition rate was less than one third of that in the Suprasil case. This result indicates that reactive O radical production may be effective for the oxidation reaction of  $TaCl_5$ .

The refractive index of the photo-CVD film was constantly about 2.2 at a growth temperature above 300 °C, which is close to

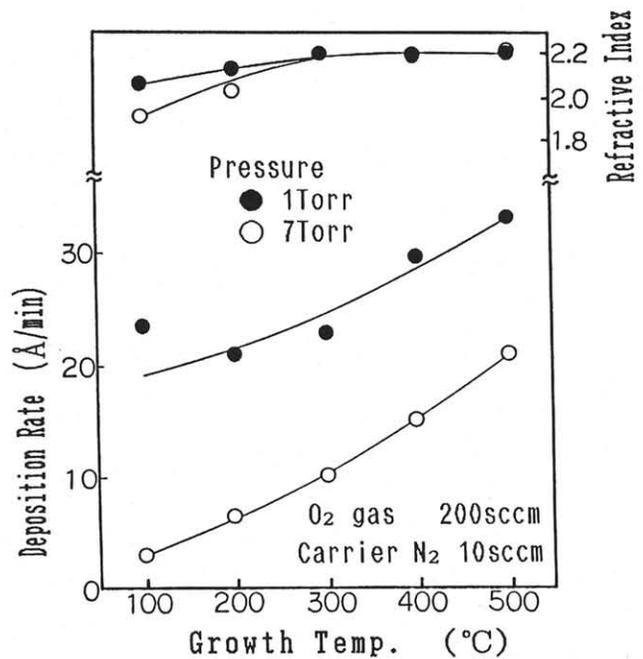


Fig.2. Growth temperature dependence of the deposition rate and the refractive index of  $TaO_x$ .

the value of bulk  $Ta_2O_5$ . It gradually decreased as the growth temperature was lowered.

### 3. Electrical properties of photo-CVD $TaO_x$ film

Current-voltage (I-V) characteristics of the  $Al(+)/TaO_x/n-Si$  structure are shown in Fig.3.  $TaO_x$  films were formed at a growth temperature of 300 °C and annealed at 400 °C in various ambients. The annealing was done in the same chamber as shown in Fig.1. Leakage current of  $TaO_x$  film could be drastically decreased only when it was annealed in the simultaneous presence of both UV-irradiation and oxygen ambient (henceforth denoted as p- $O_2$  annealing). The effect of this p- $O_2$  annealing was reduced drastically by replacing the Suprasil window with a quartz one. This means that 185 nm light plays an important role in p- $O_2$  annealing.

Concerning p- $O_2$  annealing conditions, the leakage current of  $TaO_x$  film was decreased by increasing annealing time,

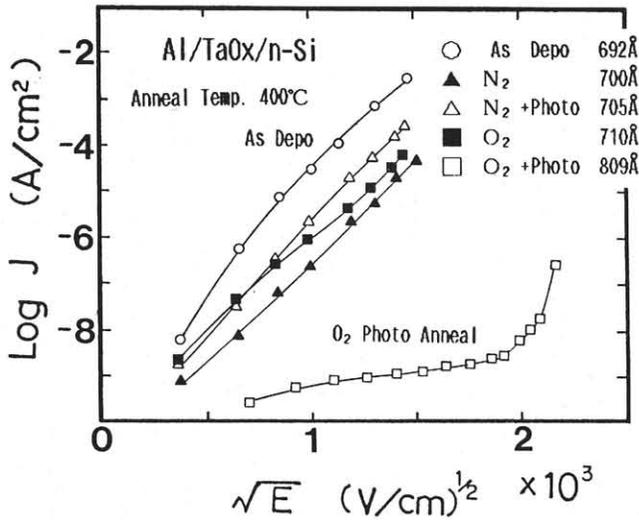


Fig.3. Current-voltage characteristics of  $TaO_x$  before and after annealing in various ambients. Growth temperature was  $300^\circ C$ , and annealing temperature was  $400^\circ C$ .

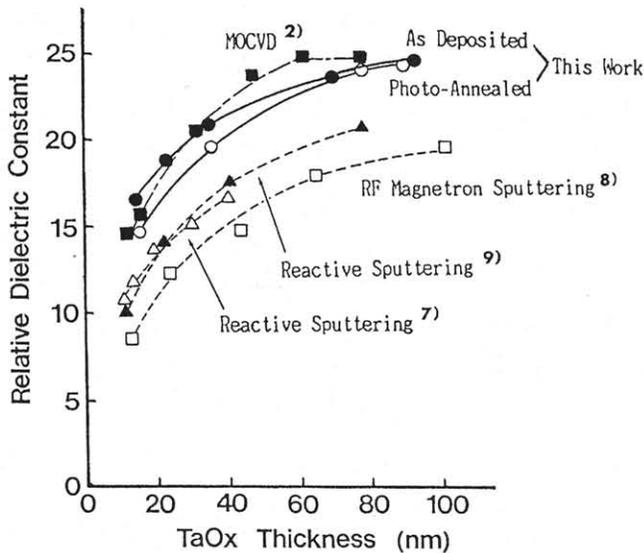


Fig.4. Thickness dependence of the dielectric constant of  $TaO_x$  film formed on Si by various methods.

substrate temperature and oxygen pressure in the chamber. There was, however, no additional decrease for annealing times of more than one hour, and even a slight increase when the substrate temperature exceeded  $400^\circ C$ . Consequently, the optimum values of annealing time, oxygen pressure and substrate temperature were selected to be 1 hour, 1 atm and  $400^\circ C$ , respectively. Leakage

current density of the  $p-O_2$  annealed  $TaO_x$  was  $10^{-8} A/cm^2$  at the 4 MV/cm electric field.

The  $p-O_2$  annealing was also effective for MIM structure capacitors when the underlying layer of  $TaO_x$  film was a material containing Si, such as metal-silicide. However, the effect was small when the underlying layer did not contain Si.

Thickness dependence of the dielectric constant of photo-CVD  $TaO_x$  film with and without  $p-O_2$  annealing is shown in Fig.4. They were calculated from the MOS capacitance in the accumulated region at 100 kHz and 1 MHz. The dielectric constant decreased with decreasing  $TaO_x$  thickness, but it did not decrease much with  $p-O_2$  annealing. It is noted that the dielectric constants of photo-CVD  $TaO_x$  films in this experiment were larger than those previously reported for  $TaO_x$  films sputtered on single-crystalline Si<sup>7-9)</sup> throughout the whole thickness region.

#### 4. Mechanisms of $p-O_2$ annealing

As one possible mechanism of the  $p-O_2$  annealing effect, it can be inferred that oxidizing species reduce the density of donor levels in  $TaO_x$  film, such as oxygen vacancies, the leakage current source. This is derived from the dependence of the  $p-O_2$  annealing effect on the annealing conditions and window materials.

Silicon, however, should take part in the  $p-O_2$  annealing effect because it is dependent on whether or not silicon was contained in the underlying layer, as mentioned earlier.

One possible function of silicon is two-dimensional formation of  $SiO_2$  with a low leakage current but a low dielectric constant compared with  $TaO_x$  film. It is not likely, however, to play an important role in the reduction of leakage current after  $p-O_2$  annealing. This is because the change in dielectric constant during  $p-O_2$  annealing is

quite small and the estimated thickness of the formed  $\text{SiO}_2$  is only a few angstrom.

Differences in distribution and chemical states of principal elements (Si, Ta, O) in  $\text{TaO}_x$  film between before and after  $p\text{-O}_2$  annealing were investigated. Secondary ion mass spectrometry (SIMS) and X-ray photoelectron spectroscopy (XPS) were used for the two specimens. Typical results of SIMS employing an  $\text{Ar}^+$  primary ion beam are shown in Fig.5. The most significant change after  $p\text{-O}_2$  annealing was the increase in silicon ion intensity from the  $\text{TaO}_x$  film.

This phenomenon was also observed from XPS data, where a  $\text{Si}2p$  peak of oxide ( $\text{SiO}_x$ ) was detected from the surface of only the  $p\text{-O}_2$  annealed  $\text{TaO}_x$  film, together with a  $\text{Ta}4f$  peak of  $\text{Ta}_2\text{O}_5$ .

The following processes are hypothesized from these SIMS and XPS results. During  $p\text{-O}_2$  annealing, silicon atoms diffuse from the substrate into the overlaying  $\text{TaO}_x$  film, and are substituted for tantalum atoms in the  $\text{TaO}_x$  lattice or changed into oxide in  $\text{TaO}_x$ .

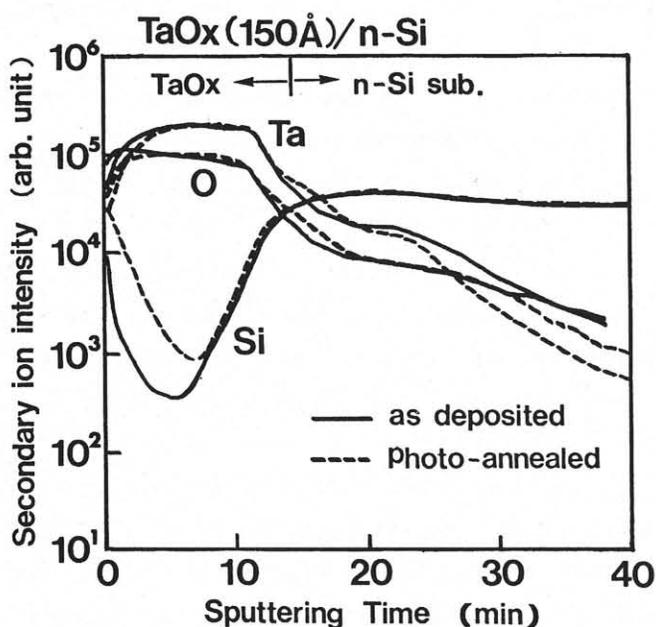


Fig.5. SIMS depth profiles of Si, Ta, O for 150 Å thick  $\text{TaO}_x$  films on Si substrates before and after  $p\text{-O}_2$  annealing.  $\text{Ar}^+$  ions were used for primary beams.

film. Consequently, it seems that the leakage paths are filled in or oxygen vacancies are reduced.

The reduction of the leakage current after  $p\text{-O}_2$  annealing may be explained by the functions of both oxidizing species and silicon atoms diffusing into  $\text{TaO}_x$  film.

## 5. Conclusion

$\text{TaO}_x$  films were deposited by a photo-CVD method using  $\text{TaCl}_5$  as a source material. It was found that  $p\text{-O}_2$  annealing is a promising method for fabrication of  $\text{TaO}_x$  film with low leakage current and high dielectric constant because it is not accompanied by a significant decrease in dielectric constant.

## Acknowledgements

The authors would like to thank Mr. Katsuhiko Baba of Kyocera Corporation and Mr. Katsumi Yamazaki and Mr. Tomoo Kobayashi of Tokyo University of Agriculture and Technology for their cooperation. Gratitude is also expressed to Asahi Chemical Industry Co. Ltd. for SIMS and XPS analysis and to Japan Radio Co. Ltd. and Sharp Corporation for substrates.

## References

- 1) C.Hashimoto, H.Oikawa and N.Honma: Extended Abstracts of the 18th Conf. on Solid State Devices and Materials, Tokyo (1986) p.253.
- 2) M.Saitoh, T.Mori and H.Tamura: IEDM Tech. Dig. (1986) p.680.
- 3) H.Shinriki, Y.Nishioka, Y.Ohji and K.Mukai: IEDM Tech. Dig. (1986) p.684.
- 4) T.Kato and T.Itoh: Proc. 1983 VLSI Technology Symposium (1983) p.86.
- 5) K.Yamagishi and Y.Tarui: Jpn. J. Appl. Phys. 25 (1986) L306.
- 6) H.Okabe: Photo-Chemistry of Small Molecules (John Wiley & Sons Inc., New York, 1978) 1st ed., Chap.V, p.177.
- 7) Y.Nishioka, S.Kimura, H.Shinriki and K.Mukai: J. Electrochem. Soc. 134 (1987) 410.
- 8) S.Seki, T.Unagami and O.Kogure: J. Electrochem. Soc. 131 (1984) 2457.
- 9) C.Hashimoto and H.Oikawa: Extended Abstracts (The 46th Autumn Meeting, 1985), The Jap. Soc. of Appl. Phys. (1985) p.449.