

Wafer-Scale Self-Organization of Periodic Step/Terrace Structure on Hydrogen-Terminated Si Surface

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1. Introduction

Takahagi, one of authors of the paper, revealed the hydrogen-termination and hydrogen-passivation phenomena of the HF-etched Si surface, which have become an essential concept for the development of a preparation technique in Si device production.^{1,2)} Higashi et al.³⁾ and Jakob and Chabal⁴⁾ developed a novel preparation technique to obtain an atomically flat Si(111) surface with Si-H hydrogen-termination by the treatment using a buffered HF or NH_4F aqueous solution. But the surface has many defects on a terrace and a step edge.⁵⁾ The preparation method of silicon surface without any defects is expected to be developed. Silicon wafer with ordered terrace and step surface structure may be an useful substrate for nano-fabrication process.⁶⁾ No worker has developed a wet process to prepare atomic-scale completely regular Si(111) surface until now.

In this work, we tried to develop a wet process to be able to bring us the atomically flat surface with the periodic step/terrace structure and without defects like a kink and an etch-pit all over the Si(111) wafer surface.

2. Experiment

We used a polished n-type Si(111) wafer. The samples were cut various angle off the (111) plane in the two type directions of $\langle 11\bar{2} \rangle$ and $\langle \bar{1}12 \rangle$. The native oxide layer on the Si surface was removed by immersion in a 5% HF solution after supersonic washing in an acetone. Finally, the Si wafer was immersed in a 40% NH_4F solution. We examined the effect of treatment-temperature and oxygen concentration of the NH_4F solution to the Si surface morphology. The surface morphology was observed by UHV-STM and AFM and a chemical structure of the surface was also measured using polarized FT-IR-ATR.

3. Results and discussion

Figure 1 shows the STM images of the Si(111) surfaces prepared by NH_4F -treatment. The Si surfaces cut off in the $\langle 11\bar{2} \rangle$ direction have an atomically flat surface. Treatment times, which were optimized at the solution temperature of 20°C and 72°C, were 6min and 30sec, respectively. The step/terrace structure is observed on both conditions. A bilayer step with height of 0.31nm was observed and a bunching step structure was not observed. However, at the condition of 20°C, many irregular structures, that are kinks and etch-pits, are observed in a step edge and a terrace. On the other hand, the step edges on the surface treated at 72°C are almost straight and have little kink structure, and a period of the step/

terrace is uniform. Topmost hydrogen atoms can be observed clearly at an enlarged STM image as shown in Fig.1(c). Hydrogen atoms in step edge are ordered in a straight line along $\langle \bar{1}10 \rangle$ direction. The result shows that a periodic characteristic can be improved by using hot NH_4F aqueous solution. The following data were obtained using Si wafer treated by NH_4F at a temperature of 72°C.

Figure 2 shows the AFM images observed at 9 points on the surface of a 4 inch wafer with off-angle of 1° in $\langle 11\bar{2} \rangle$ direction. The same regular morphology are observed at all points. Similar result was confirmed on the wafer inclined to $\langle \bar{1}12 \rangle$ direction. It was confirmed that the periodical structure in a wafer-scale could be formed by wet process. We examined the surface morphology of the samples having various off-angle in both directions of $\langle 11\bar{2} \rangle$ and $\langle \bar{1}12 \rangle$. Figure 3 shows a relationship of an average terrace width in AFM images and the off-angle measured by XRD. The terrace width is decreasing with increasing the off-angle. A solid line in Fig.3 is the terrace width calculated from the off-angle assuming uniform step/terrace and bilayer step surface structure. The result shows that the uniform step/terrace structure on Si(111) surface is self-organized, and its period depends on only an off-angle of

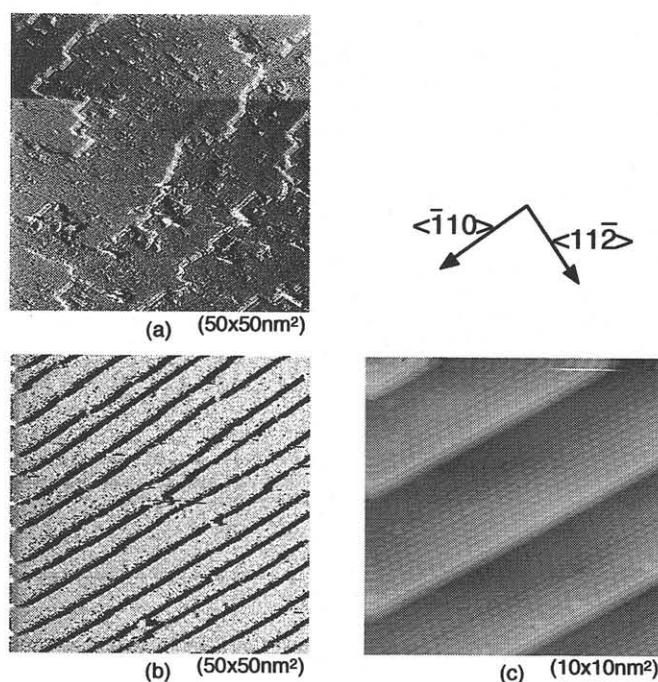


Fig.1 STM images of the atomically flat surfaces prepared by NH_4F -treatment at the solution temperature of (a) 20°C and (b, c) 72°C. An image (c) shows enlarged image of the surface (b).

Si wafer surface. FT-IR-ATR measurement of these surfaces revealed that chemical structures of the step edge on the surfaces miscut in $\langle 11\bar{2} \rangle$ and $\langle \bar{1}\bar{1}2 \rangle$ directions were mono-hydride and di-hydride, respectively.

Next, we tried to make clear an origin of the reduction of crystallographical defects such as kinks and etch-pits on the surface by the hot NH_4F treatment. A dissolved gas concentration in aqueous solution is considered to decrease with increasing solution temperature. It was found that the dissolved oxygen concentration (DOC) in NH_4F solution at 72°C was lower value of 1.8ppm than that of 5.0ppm at 20°C . In order to confirm the effect of the dissolved oxygen, we tried treatment using the NH_4F solution with low DOC prepared by nitrogen gas bubbling. Figure 4 shows STM images of a wafer treated by NH_4F solution with DOC of 0.1ppm at the temperature of 20°C . The step edges are almost straight, and a period of the step/terrace is uniform. The periodicity of the step/terrace structure is drastically improved by reduction of DOC. Similar improvement was observed by putting an oxygen scavenger such as $(\text{NH}_4)_2\text{SO}_3$ into NH_4F solution. The dissolved oxygen is considered to form partially oxidized structure, whose etching rate may be different from silicon. Oxygen dissolved in NH_4F solution was proved to reduce a regularity of Si surface.

4. Conclusions

The formation of the periodic step/terrace structure with regular step edge was achieved by NH_4F aqueous solution at low DOC condition. The dissolved oxygen is considered to play a role of a formation of partially oxidized structure. It was found that the Si(111) surface was self-organized to the step/terrace structure which period was fixed by value of the

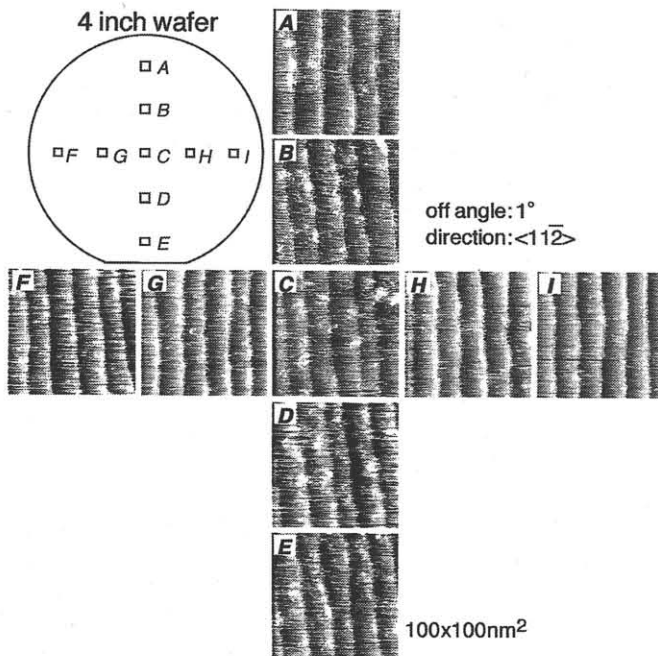


Fig.2 AFM images observed at 9 points on the surface of a 4 inch wafer with off angle of 1° in $\langle 11\bar{2} \rangle$ direction.

off-angle of wafer. We got the new wet process to prepare the periodical structure of Si(111) surface in a wafer-scale.

Acknowledgments

The work was partially supported by Grants-in-Aid for Scientific Research on Priority Areas from the Ministry of Education, Science, Sports and Culture (No. 08455021 and No. 08650034) and the Core Research for Evolutional Science and Technology (CREST) of the Japan Science and Technology Corporation (JST).

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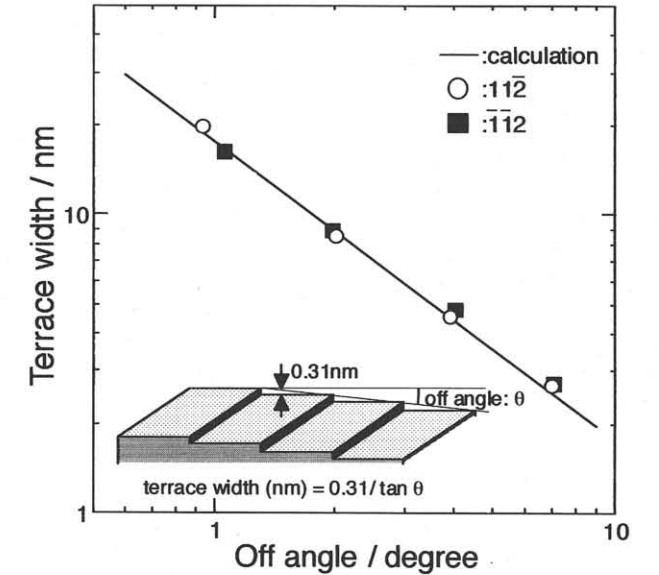


Fig.3 A relationship of an average of a terrace width in AFM images and the off angle measured by XRD.

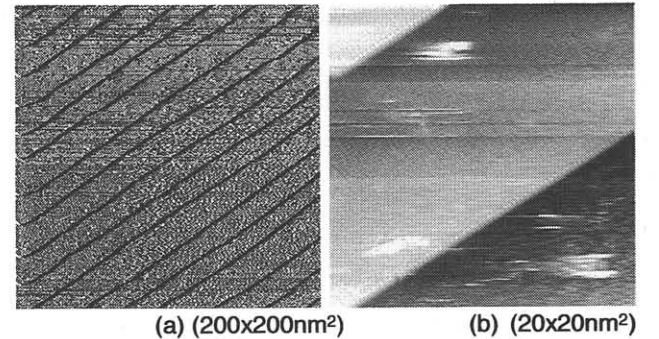


Fig.4 STM images of a wafer treated by NH_4F solution with DOC of 0.1ppm prepared by nitrogen gas bubbling at the solution temperature of 20°C .