# Effect of Nitrogen Annealing on the Electrical Properties of Ultrathin Crystalline γ-Al<sub>2</sub>O<sub>3</sub> High-κ Dielectric Films Deposited on Si(111) Substrates

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## 1. Introduction:

The decrease of device dimensions has led to the need for alternative, high dielectric constant  $(\kappa)$ oxides to replace silicon dioxide as gate dielectric in CMOS devices.<sup>1)</sup> As a high-k gate dielectric ultrathin crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> has achieved much attention due to its excellent electrical properties and thermal stability, higher barrier height, lower oxygen diffusion than that of ZrO<sub>2</sub>. Nitrogen incorporation HfO<sub>2</sub> and into conventional SiO<sub>2</sub> or oxynitrides has been demonstrated to successfully lower leakage current, increase dielectric constant, to reduce flat band voltage (V<sub>fb</sub>) and suppress boron penetration.<sup>2)</sup> The fabrication and electrical characterization of ultrathin  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> dielectric films has been reported.<sup>3)</sup> In this paper we report the incorporation of the nitrogen atoms into the ultrathin crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films by annealing and their effect on the electrical properties.

#### 2. Experimental:

Crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films were fabricated by source molecular beam epitaxy (MBE), mixed aluminium as solid source and N2O as gas source. All samples were deposited at 750°C substrate temperature.  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films were fabricated in a two step growth process. Details of the film preparations were described elsewhere.<sup>4,5)</sup> Samples were then annealed at different temperatures (300°C- 700°C) for 30 min. During annealing N<sub>2</sub> gas flowed through the chamber with a flow rate of 3 lit / min. Chemical compositions of these films were studied by XPS measurement. Finally a layer of Al (thickness 0.5 µm) were deposited on the films and back surface of the substrate by thermal evaporation and patterned for electrode by photolithography. Electrical measurements were performed by HP4140A picoampere meter and HP4192B semiconductor parameter analyzer.

## 3. Results and discussions:

XPS measurements were carried out to investigate the effect of N<sub>2</sub>-annealing on the chemical composition of the ultrathin crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films. Figure 1 shows a set of XPS spectra of ultrathin crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films, as deposited and annealed at different temperatures. Samples annealed up to temperature 500°C, no detectable nitrogen peaks (N1s) were observed but when the annealing temperature was increased, N1s peak at a binding energy of 399 eV was observed at 600°C and 700°C. It reveals that nitrogen atoms incorporated into  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films during the annealing in the nitrogen atmosphere. Figure 2 shows the dielectric breakdown field of the as deposited samples and annealed at 600°C as a function of electrode area. It was observed that the breakdown field increased after annealing. The breakdown field varies with the electrode area and as the electrode area increases the breakdown field decreases. It is a common phenomenon because as the area increases the defect density increases as a result the electrical property decreases. But the rate of decrease of breakdown field was smaller in the case of annealed samples compared with the as deposited samples. The leakage current density decreases about 3 order after annealing as illustrated in the Fig. 3. It reveals that the defect density decreases after annealing and the film quality improves i.e., crystal defects etc., decrease after annealing. Figure 4 illustrates the conventional capacitance-voltage characteristics of as deposited and annealed 3.3-nm-thick  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> films. After annealing the hysteresis in the capacitance-voltage characteristics was decreased. Before annealing the hysteresis was observed 42 mV whereas hysteresis after annealing was 16 mV. This hysteresis was observed due to the carrier injection into the ultrathin films.<sup>3)</sup> Also the flat band voltage shift was decreased after annealing as illustrated in Fig. 4. Fixed oxide charge density of the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> thin films was measured and negative fixed charge was observed. The Fixed charge density of the as deposited samples was estimated about  $1 \times 10^{13}$  cm<sup>-2</sup> and for the annealed samples the value of fixed charge density was  $1-5 \times 10^{12}$  cm<sup>-2</sup>. The origin of this fixed charge is the atomic/electronic level defects.<sup>6)</sup> As Al is a group-III element, may be a group V element (nitrogen) can neutralize the charged electronic state and reduce the fixed charge density.<sup>7)</sup>

## 4. Conclusions:

Incorporation of nitrogen atom into the ultrathin  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> during annealing in the nitrogen atmosphere was confirmed in this study. Improvement in the electrical properties was observed after annealing in the nitrogen atmosphere. These improvements are attributed to nitrogen incorporation into ultrathin  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> high-k dielectric by annealing in the nitrogen atmosphere.

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Figure 1. XPS spectra of as deposited and annealed crystalline  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> deposited on n-Si (111) substrate. Nitrogen 1s peak was observed at 399 eV for samples annealed at temperature 600 °C and over.



Figure 3. Leakage current density at an applied field of 3 MV/cm as a function of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> thickness. Compared with the as deposited samples, the annealed samples show 3 order lower leakage current density.

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Figure 2. Dielectric breakdown field as a function of electrode area. Dielectric breakdown field increases after annealing.



Figure 4. Hysteresis of capacitance-voltage characteristics of 3.3nm-thick  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> deposited on n-Si (111) substrate. Hysteresis and flat band voltage shift decrease after annealing.