# Direct comparison of ZrO<sub>2</sub> and HfO<sub>2</sub> on Ge substrate in terms of the realization of ultra-thin high-k gate stacks

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## 1. Introduction

Ge is one of the attractive materials as the substrate of MISFET due to its higher mobility compared to Si. However the lack of thermally stable Ge oxide impedes the usage of the material. On the other hand, as the aggressive scaling of MIS devices leads to the fundamental limit of SiO<sub>2</sub> as the gate dielectrics, high-quality deposited high-k materials have been developing for the replacement of  $SiO_2$ . Recently it has been reported that ultra-thin gate insulator has been fabricated with the combination of ZrO<sub>2</sub> and Ge substrate [1]. They also said that  $ZrO_2$  film showed locally epitaxial growth without an interfacial layer [1, 2]. Moreover, the Ge diffusion into HfO<sub>2</sub> and thinning of the interfacial layer beneath HfO2 were also reported on Ge substrate [3, 4]. Although promising device characteristics of Ge substrate with high-k gate dielectric have been demonstrated, direct comparison of ZrO2 and HfO2 on Ge substrate has not been carried out, to our knowledge. In this work, we performed the comparison especially in terms of the interfacial layer thinning, film dielectric constant and leakage current through the film. Remarkable thinning of interfacial layer beneath ZrO<sub>2</sub> as well as higher dielectric constant of ZrGeO makes it easier to realize thin capacitive equivalent thickness (CET), compared to HfO<sub>2</sub> on Ge.

## 2. Experimental

MIS capacitors with thin gate dielectric were fabricated on the (100) oriented antimony lightly doped n-type Ge substrates (0.2  $\Omega$ cm), which were cleaned with DHF and DI water rinse. ZrO<sub>2</sub> or HfO<sub>2</sub> of about 3nm thick was deposited by sputtering. Circular Pt gate electrodes were formed using stencil masks by electron beam evaporation. Subsequently, MIS capacitors were subjected to nitrogen gas anneal at 500°C for 30 min with top Pt gate electrodes.

In order to derivate the dielectric constant ( $\epsilon_r$ ), thick ZrGeO or HfGeO insulators of 100 nm with varied Ge/(Zr+Ge) or Ge/(Hf+Ge) ratio from 0 to 75% were deposited by sputtering on Si substrate and MIS capacitors were fabricated.

## 3. Results and Discussion

Figure 1 shows high-resolution cross-sectional transmission electron microscopy (HR-XTEM) images before and after 500°C N<sub>2</sub> annealing. There exist interfacial layers (I. L.s) beneath  $ZrO_2$  and  $HfO_2$  before annealing presumably due to the surface oxidation of Ge substrate prior to the film deposition. After annealing, however, the interfacial layer between ZrO<sub>2</sub> and Ge substrate disappeared and the complete absence of interfacial layer can be confirmed by the fact that crystal lattice of ZrO<sub>2</sub> reaches down to the very surface of the substrate. It should be noted that the interfacial layer was left beneath HfO<sub>2</sub> even after annealing. In order to confirm Ge diffusion into the high-k dielectric, we checked XPS spectra of samples before and after 500°C N<sub>2</sub> annealing (Fig. 2). Significant increase of Ge oxide peak observed in the annealed samples of both ZrO<sub>2</sub> and HfO<sub>2</sub> clearly indicates that the interfacial Ge oxide diffused into high-k dielectrics. We believe that most Ge, which diffused into the films, came from the interfacial layers, because the total dielectric film thickness remained almost the same. It should be noted that the Ge peak in Fig. 2 (b) results from the substrate: this peak was absent in the grazing angle XPS (not shown). The number of detected photoelectron of Ge oxide is higher in the annealed ZrO<sub>2</sub> sample than that in annealed HfO<sub>2</sub> sample. It may indicate that ZrO<sub>2</sub> absorb more Ge atom than HfO<sub>2</sub>. Since Gibbs free energy is almost the same for  $ZrO_2$  and  $HfO_2$ , we speculate the difference of the thinning of the interfacial layer after annealing originates from other material properties such as the difference in the activation energy of interdiffusion of GeO<sub>x</sub>/ZrO<sub>2</sub> and GeO<sub>x</sub>/HfO<sub>2</sub>.

This interdiffusion phenomenon results in GeO<sub>2</sub> content increase in high-k materials. Figure 3 indicates that the  $\varepsilon_r$  of ZrO<sub>2</sub> and HfO<sub>2</sub> containing Ge decrease by the increase of Ge content and are reaching to the reported value of GeO<sub>2</sub> [5]. It also reveals that the  $\varepsilon_r$  of ZrGeO are larger than those of HfGeO for wide range of GeO<sub>2</sub> ratio, especially at low GeO<sub>2</sub> concentration.

Figure 4 shows  $C_g$ - $V_g$  and  $J_g$ - $V_g$  characteristics of  $ZrO_2$  samples shown in Fig. 1. 500°C  $N_2$  annealing resulted in the large increase in the capacitance and CET of 1.2 nm (including accumulation layer thickness) was obtained.  $J_g$  hardly changed with this interdiffusion phenomenon.

Finally we compared CET as well as  $J_g$  for  $ZrO_2$  and  $HfO_2$  samples shown in Fig. 1. Figure 5 shows that 500°C  $N_2$  annealing causes CET reduction of  $ZrO_2$  samples without  $J_g$  increase, on the contrary,  $HfO_2$  samples showed drastic increase in  $J_g$ , although this reason is not clear yet.

Thanks to higher  $\varepsilon_r$  of ZrGeO and larger absorption of interfacial layer without large increase in  $J_g$ ,  $ZrO_2$  on Ge is preferable to HfO<sub>2</sub> in terms of the realization of very thin CET gate stacks.

#### 4. Conclusion

Direct comparison of ZrO<sub>2</sub> and HfO<sub>2</sub> gate dielectric on

Ge substrate was performed. We indicated that ultra-thin (CET 1.2 nm) single layer high-k gate dielectric could be obtained using the combination of  $ZrO_2$  and Ge substrate with 500°C N<sub>2</sub> annealing.  $ZrO_2$  is more suitable to realize ultra-thin single layer high-k gate dielectric than HfO<sub>2</sub> on Ge substrate.

#### References

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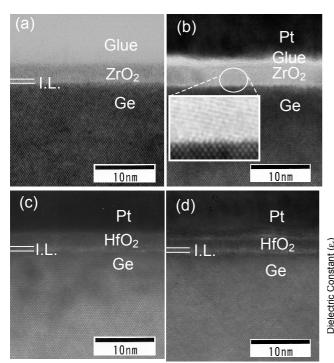


Fig. 1 HR-XTEM images of (a)  $ZrO_2$  as-deposited, (b)  $ZrO_2$  after 500°C N<sub>2</sub> anneal, (c) HfO<sub>2</sub> as-deposited, and (d) HfO<sub>2</sub> after 500°C anneal. For samples (a) and (b), Pt electrodes peeled off during the TEM sample preparation.

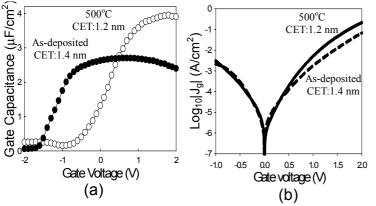


Fig. 4 (a) CV, (b)  $J_g$  on  $ZrO_2$  before and after 500°C  $N_2$  annealing

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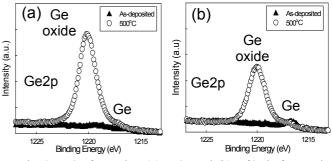


Fig. 2 XPS of samples: (a)  $ZrO_2$  and (b)  $HfO_2$  before and after 500°C annealing. XPS detector was set at the normal position to the surface.

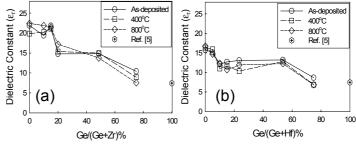


Fig. 3 Dielectric constants of (a) ZrGeO and (b) HfGeO with different  $N_2$  anneal temperatures (400°C and 800°C).

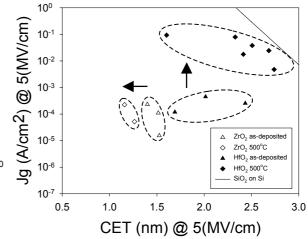


Fig. 5 CET-J $_{\rm g}$  of samples:  $ZrO_2$  and  $HfO_2$  before and after 500°C  $N_2$  annealing