# E-4-4

# Fabrication of spin-coat optical waveguides for optically interconnected LSI and influence of fabrication process on lower layer MOS capacitors

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#### 1. Introduction

Optical interconnection was proposed for high-speed and high-performance of LSI [1], and then the optical waveguide is necessary to be integrated on LSI without any significant damage on the lower layer devices.

Spin-coat heat-resistant polyimide resin is a suitable material for optical waveguide on LSI because it is easily fabricated at low temperature. Then the fluorinated polyimide (FPI), which was developed for long-distance optical-communication devices [2], is adopted for material of waveguide on Si chips, and the fabrication process of low-loss and miniaturized waveguide on Si substrates is studied.

It is an important interest that whether the fabrication process of FPI waveguide damages the lower layer devices. Then, the FPI waveguide is made on MOS devices, and influence of the FPI waveguide fabrication process on the MOS devices is investigated for the first time in this work.

### 2. Device Fabrication

Firstly an optical waveguide is fabricated as shown in Fig. 1 (a) to investigate the propagation characteristics for the FPI waveguide on Si substrates. The core layer is made of FPI (refractive index 1.55), bottom clad layer is made of thermal oxide film (refractive index 1.46) or Spin-On-Glass (SOG, refractive index 1.38) and side and upper clad layer are made of air. The thickness of the thermal oxide film is set to 2.2  $\mu$ m, SOG to 1.5  $\mu$ m and FPI to 1 or 1.5  $\mu$ m. After spin-coating FPI film, Al hard mask (200 nm) was deposited on FPI. By means of resist masks patterned by the electron beam lithography, Al was etched using inductively coupled plasma etcher (etching gases: BCl<sub>3</sub> + Cl<sub>2</sub>). Then FPI film was shaped to waveguide by reactive ion etching with the O<sub>2</sub> plasma, and the FPI waveguide fabrication was completed by removing Al mask by wet etching.

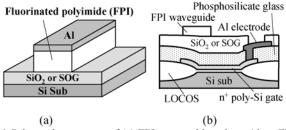


Fig. 1 Schematic structure of (a) FPI waveguide, where Al on FPI is removed after FPI etching, and (b) MOS capacitor.

Next, in order to examine the influence of the FPI waveguide fabrication process on lower devices, MOS capacitors were made and FPI waveguides were formed on it as shown in Fig.1 (b). After the  $SiO_2$  film of 7 nm was formed on the LOCOS substrate by dry oxidation at  $850^{\circ}C$ , the poly-Si gate (area  $100\times100~\mu\text{m}^2$ ), the phosphosilicate glass layer and the Al electrode (area  $150\times150~\mu\text{m}^2$ ) were formed and the MOS capacitor fabrication was finished. Then FPI waveguides were fabricated after  $SiO_2$  layer by Atmospheric Pressure CVD (APCVD) or SOG layer was formed on the MOS capacitor. Finally the contact hole for the measurement was formed into the  $SiO_2$  or SOG layer.

#### 3. Result and Discussion

Figure 2 shows waveguide-width dependence of propagation loss for the fabricated FPI waveguide. The He-Ne laser (wavelength 633 nm) was used for the source of light. It is found that propagation loss becomes large when the width of core becomes small. Figure 3 (a) shows SEM image of FPI waveguide and the roughness can be seen on the side wall. As shown in Fig.3 (b), the size of the waveguide becomes smaller then the frequency to which light reflects on boundary of the core and cladding layer increases. Therefore, the propagation loss originated mainly from the boundary of the core and cladding layer and is caused by surface roughness of side wall of FPI. On the other hand, when SOG is used for clad, the propagation loss is larger than the thermal oxide film. It is thought that the propagation loss is caused by the surface roughness of SOG. Although the propagation loss of SOG is somewhat large, it is possible to use it for optical interconnection in the chip.

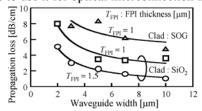


Fig. 2 Waveguide width vs. propagation loss

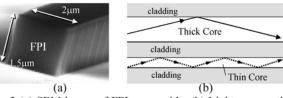


Fig. 3 (a) SEM image of FPI waveguide. (b) Light propagation in waveguide with different thickness.

Figure 4 shows the fabrication process of the sample.

Figure 5 shows the time dependent dielectric breakdown (TDDB) characteristic of MOS capacitor after depositing  $SiO_2$  (1  $\mu$ m) by APDVD, forming resist pattern and forming the contact hole by Chemical Dry Etching (CDE) or the buffered HF (BHF). It can be concluded that the damage to the gate oxide film through the process shown in Fig. 4 is almost negligible.

Figure 6 shows the TDDB characteristic when SOG-coating was done with 2000 rpm (SOG thickness is about 750 nm) or 7000 rpm (SOG thickness is about 450 nm) under the humidity of 33% or less and 68%. The damage to the gate oxide film is observed in a case of SOG-coating with 7000 rpm, but there is no damage when the rotation speed is reduced to 2000rpm even though the humidity of 33%. For the origin of gate oxide damage there are two possibilities. One is the stress of SOG and the other is the charge-up by the friction between sample and air. Since even for the thicker SOG (2000 rpm, humidity 68%) the damage is smaller than the thin SOG (7000 rpm humidity 68%), main reason of the gate damage is thought to be charge-up and the stress of SOG is almost negligible.

Further damage was found when the FPI waveguide was formed on SOG coated with 7000 rpm under the humidity of 33% or less (Fig. 7). However, when SOG coating was done with 2000 rpm, the damage after FPI waveguide fabrication is drastically reduced.

Figure 8 shows the TDDB characteristic when FPI-coating was done on the MOS capacitor directly with 2000 rpm (FPI thickness is about 1200 nm). There is no damage when the rotation speed is reduced enough for FPI. Moreover, it can be also concluded that the stress of FPI is negligible.

These results can be understood by assuming that there is a nonlinear relation between the reliability degradation and the charge-up damage as shown in Fig. 9. The reliability degradation increases rapidly as the accumulated charge-up damage become large. It can be concluded that the reliability degradation occurs mainly when the clad layer is formed on the lower device by means of SOG-coating (white arrow in Fig. 9), and the damage during FPI waveguide fabrication (gray arrow) is not so large.

# 4. Conclusion

A microscopic optical waveguides were fabricated at low temperature and the influence on lower layer devices of the FPI waveguide fabrication process was investigated. It is found that the damage to lower layer devices is caused by the electrostatic charge during the spin-coating process on it, and this difficulty can be avoided with low rotation speed or under high humidity. These results greatly contribute to the fabrication process of the optically interconnected LSI.

#### Acknowledgement

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## Reference

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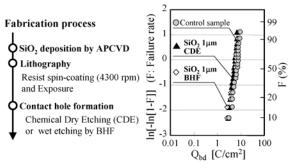


Fig. 4 Fabrication process of the measured sample.

Fig. 5 TDDB characteristics of MOS capacitor after SiO<sub>2</sub> deposition by APCVD.

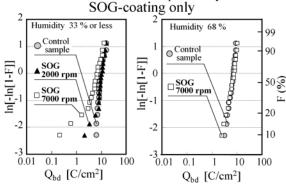


Fig. 6 Humidity and spin-speed dependence of TDDB characteristics after SOG-coating.

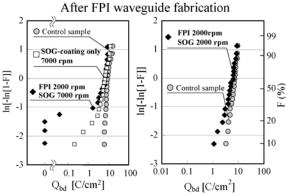


Fig. 7 Spin-speed dependence of TDDB characteristics after FPI-waveguide fabrication.

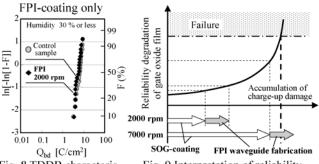


Fig. 8 TDDB characteristics after FPI-waveguide fabrication.

Fig. 9 Interpretation of reliability degradation for multi-processing.