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## Fabrication of $\text{HfO}_x\text{N}_y$ dielectrics on Ge from $\text{HfN}_x$ deposition

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### 1. Introduction

The establishment of high-k gate insulator formation technologies on Ge substrates with high quality MIS interfaces is one of the most challenging and critical issues for realizing Ge channel MOSFETs. Since high-k metal-oxide films are often deposited in an oxidizer-rich environment, oxidation of Ge surfaces during high-k film deposition is almost unavoidable. Here, the growth of thermally unstable  $\text{GeO}_x$  layers causes Ge diffusion into high-k films, which might deteriorate electrical properties in high-k/Ge interfaces. Instead of direct oxide deposition,  $\text{HfN}_x$  deposition in active nitrogen environment and subsequent oxidation to fabricate  $\text{HfO}_x\text{N}_y$  films on Si has been reported [1]. This technique is expected to have a potential for the fabrication of high quality Ge MIS structures because, in the initial stage of the nitride deposition, active nitrogen can produce a nitride passivation layer on Ge [2] and prevent the formation of unnecessary  $\text{GeO}_x$  (Fig. 1). From this viewpoint, however, physical and electrical properties of Ge MIS structures made from nitride depositions as non-oxidizer environment have not been reported yet. Thus, in this study, we investigate the characteristics of  $\text{HfN}_x$  films directly deposited on Ge and the dielectric properties of  $\text{HfO}_x\text{N}_y$  formed by subsequent oxidation processes.

### 2. Experimental

P-type Ge(001) substrates were cleaned in diluted HF.  $\text{HfN}_x$  films were deposited by UHV reactive sputtering system with a Hf metal target ( $\text{O} < 0.02\%$ ) to avoid the oxidation of Ge substrates and  $\text{HfN}_x$  films. During the deposition, the chamber pressure was 1 mTorr in pure Ar+N<sub>2</sub> gases ( $\text{O}_2 < 0.1\text{ppm}$ ) and the sputtering power was 100 W. The following post-deposition annealing (PDA) was carried out to convert  $\text{HfN}_x$  into  $\text{HfO}_x\text{N}_y$  at 400°C in N<sub>2</sub> rather than in O<sub>2</sub>. Here, low partial pressure O<sub>2</sub> unintentionally contained in N<sub>2</sub> can lead to oxidation of  $\text{HfN}_x$  films [1]. Physical and chemical analyses of  $\text{HfN}_x$  films were performed by using the high-resolution transmission electron microscope (HR-TEM), the X-ray photoelectron spectroscopy (XPS), the grazing incident X-ray reflectivity (GIXR), and the high-resolution Rutherford backscattering spectroscopy (HR-RBS).

### 3. Results and discussion

Fig. 2 shows cross-sectional HR-TEM images of as-deposited  $\text{HfN}_x$  films on Ge substrates with the deposition time of (a) 3 min and (b) 1 min. Total thickness is 12 nm (a) and 5 nm (b), respectively. It is observed that both films consist of three layers; surface, inner, and interfacial layers. While the thickness of the inner layers increases with increasing the deposition time, the thickness of surface

and the interfacial layers are the same. Therefore, these layers are thought to grow before and after the  $\text{HfN}_x$  deposition as explained below.

Figure 3 shows the XPS spectra of Hf4f, O1s, and N1s of as-deposited films before and after Ar etching. Before Ar etching, broad triple Hf4f peaks, which can be assigned to Hf-O and Hf-N bonds, are observed. After 10s Ar etching, the Hf4f peak shows only doublet while the O1s signal becomes lower than the detection limit. On the other hand, the N1s peak at 396.5 eV can be attributed to Hf-N bonds. These results indicate that the surfaces of as-deposited  $\text{HfN}_x$  films are oxidized easily once they are exposed to air, and the oxidized regions are presented as the surface layers in TEM images.

In order to evaluate the compositional profile of inner and interfacial layers, HR-RBS analyses are performed by using the thickness and the density of each layer, determined by GIXR measurements. The depth profiles of the film compositions in as-deposited  $\text{HfN}_x$  films on Ge and those after PDA are shown in Fig. 4. Note that the Hf target includes Zr impurity (<3%). It is found that main compositions of the interfacial layer are Ge and N, meaning that the Ge nitrides successfully passivate the Ge surfaces under the present fabrication method. Despite the attempt to produce non-oxidizer environment, however, O atoms are also observed to be distributed throughout inner and interfacial layers with the atomic composition of 5-10% except native-oxidized surface layer. The O incorporations in these layers are attributable to residual oxidants during the sputtering because Hf and Ge are easier to be oxidized than nitrated. Thus, the control of the residual oxidants during the deposition is the key to lead to further reduction in the oxide formation in the interfacial layers. After PDA, the substitution of N for O and the reduction of C lead to the formation of denser and oxygen-rich  $\text{HfO}_x\text{N}_y$  layers in the surface side while nitrogen-rich  $\text{HfO}_x\text{N}_y$  layers are still remained in inner side. On the other hand, the film compositions and the thickness of the interfacial layers (Fig. 2 (c)) do not change substantially, meaning the stability of nitride passivation layers for this thermal process.

Figure 5 shows (a) CV at 1MHz and (b) JV characteristics in a 5nm-thick sample before and after PDA. The as-deposited film itself exhibits a dielectric property but is accompanied with a high leakage current and a positive flat-band voltage shift due to formation of negative charges. It is found that PDA leads to the improvement of C-V and I-V characteristics due to the enrichment of oxygen in  $\text{HfO}_x\text{N}_y$  as observed in HR-RBS. The thin CET of 1.53 nm, obtained from the value of the maximum capacitance den-

sity of  $2.26 \mu\text{F}/\text{cm}^2$ , is attributed to the high nitrogen composition in the  $\text{HfO}_x\text{N}_y$  films. In order to improve the leakage current and interface properties of  $\text{HfO}_x\text{N}_y$  on Ge, further optimization of the thickness of  $\text{HfN}_x$  films and the PDA condition are needed.

### 3. Conclusions

We investigate  $\text{HfN}_x$  depositions on Ge in active nitrogen plasma to simultaneously passivate Ge surfaces by nitridation and inhibit the oxidation. As-deposited  $\text{HfN}_x$  films are found to be composed of i) native-oxidized surface layers, ii)  $\text{HfN}_x$  and iii)  $\text{GeN}_x$  interfacial passivation layers with

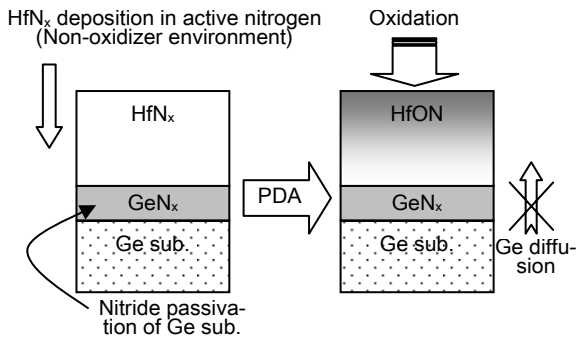


Fig. 1. Schematic diagrams of  $\text{HfN}_x$  deposition for the fabrication of  $\text{HfO}_x\text{N}_y$  dielectrics

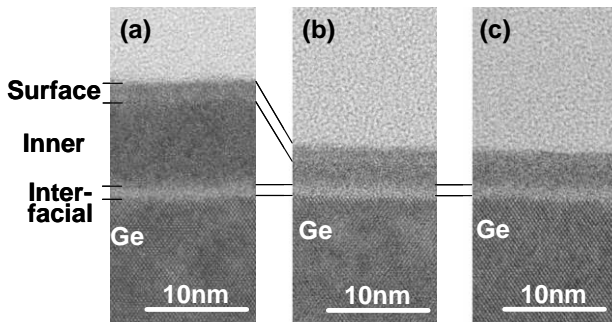


Fig. 2. Cross-sectional HR-TEM images of as-deposited  $\text{HfN}_x$  films on the Ge substrates with the deposition time of (a) 3 min and (b) 1 min, and (c) HR-TEM image of (b) after PDA in  $\text{N}_2$ .

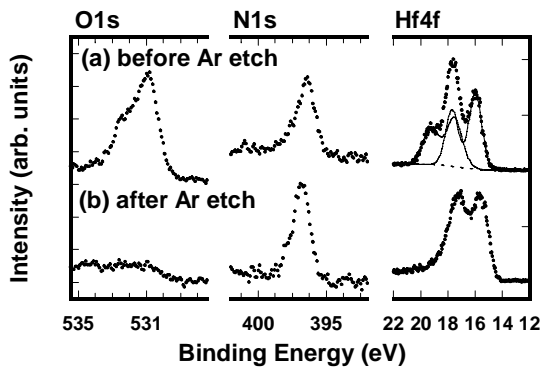


Fig. 3. XPS spectra of  $\text{Hf}4f$ ,  $\text{O}1s$ , and  $\text{N}1s$  of as-deposited films (a) before and (b) after Ar etching.

oxygen atomic composition of 5-10%. The following PDA densifies and oxidizes the as-deposited films from the surface to form  $\text{HfO}_x\text{N}_y$ , which improves the dielectric properties.

### Acknowledgements

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### References

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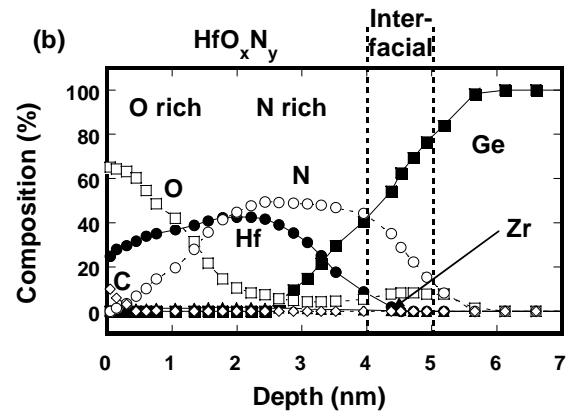
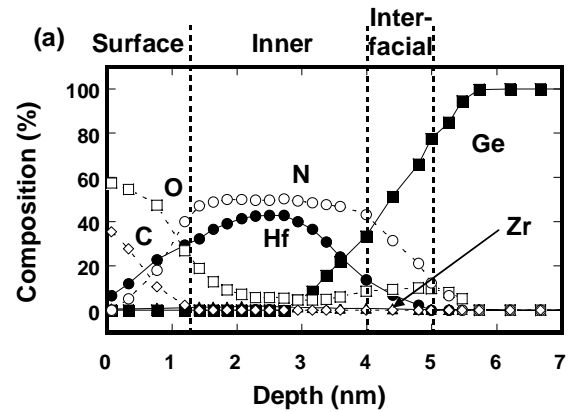


Fig. 4. Depth profiles of C, N, O, Ge, Zr, and Hf in (a) as-deposited  $\text{HfN}_x$  film and (b) those after PDA.

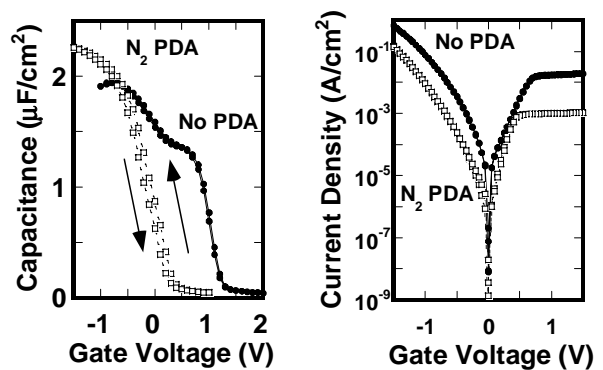


Fig. 5. CV and JV characteristics of  $\text{HfO}_x\text{N}_y$  dielectrics on Ge made from  $\text{HfN}_x$ .