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# Nano-Scale Stress Field Evaluation with Shallow Trench Isolation Structure Assessed by Cathodoluminescence, Raman Spectroscopy, and Finite Element Method Analyses

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# 1. Introduction

Stress engineering related to the frontend process as well as the backend process of LSI is required [1-7]. As for shallow trench isolation (STI) structures, it was reported that a high stress field in the structure causes a variation in electrical characteristics [8]. Although stress fields or strains in a Si-substrate are able to be detected by Raman spectroscopy or Nano Beam Diffraction (NBD), no effective technique was known for a measurement of nano-scale stress field in a dielectric material. Recently, it has reported that the cathodoluminescence (CL) system enabled us to detect nanometer-scale stress fields in SiO<sub>2</sub> materials [9-14]. In this study, we performed overall estimation of stress fields with a STI structure filled with a SiO<sub>2</sub> film, applying CL and Raman spectroscopy for the stress measurement in the SiO<sub>2</sub> film and the Si-substrate, respectively. Moreover, we calculated stress fields by finite element method (FEM) with the same STI structure. Thus, this study is the first trial to understand a whole image of stress fields in the STI structure, combining CL, Raman spectroscopy, and FEM analyses.

# 2. Experiments

STI structures were fabricated on a 300 mm diameter Si substrate, where a SiO<sub>2</sub> films was deposited on the surface. Stress measurements were performed after SiO<sub>2</sub> deposition by Raman spectrometer (HORIBA, Ltd., FR-3000) for the Si-substrate, and by CL system (HORIBA, Ltd., MP32-FE) for the SiO<sub>2</sub> film. An excitation laser of the Raman spectrometer was water cooled Ar with 363.8 nm excitation line. The outline or principle of CL system is shown in Figs. 1 or 2 [12], respectively. An electron irradiation on a material causes an excitation of optically active parts, and their photon energy (CL wavelength) is altered by the amount of residual stress (Fig. 2). FEM analyses were performed with a non-linear finite element analysis program.

# 3. Results and Discussions

Figure 3 shows the images of measurement area. The stress mapping of the  $SiO_2$  film on active areas (AA) or the Si-substrate is shown in Figs. 4 or 5, respectively. In Fig.4, the distribution of  $SiO_2$  stresses within the trench was eliminated, because the CL wavelengths in the trench were different from those on the AA due to a luminescent light

interference that was caused by a variation in  $SiO_2$  thicknesses between the trench and the AA. Figure 6 shows the FEM result of the Si-substrate with the same structure.

We observed that a tensile stress field appears in the center area of the AA both in the results of CL (SiO<sub>2</sub> film) and Raman (Si-sub.) spectroscopy. In addition, we also found stress shifted region more on tensile side that locally appear within the SiO<sub>2</sub> film near the edge of the AA, corresponding to the FEM stress distribution in the SiO<sub>2</sub>. On the other hand, at the edge of the AA, high compressive stresses occur within the Si-sub. Moreover, CL result also shows a compressive side stress shift along the AA/STI boundary. These phenomena can be attributed to the intrinsic tensile stress of the SiO<sub>2</sub> film, which causes the large stress variation along the AA/STI boundary.

Thus, the stress values as well as the distribution tendencies show an excellent agreement with the results of CL and Raman spectroscopy. This agreement also suggests that CL spectroscopy is a reliable method to evaluate nano-scale stress fields. Stress values of CL spectroscopy are now being determined.

#### 4. Conclusions

We performed overall estimation of stress fields with a STI structure filled with a  $SiO_2$  film by CL and Raman spectroscopy, as well as FEM. These results showed an excellent agreement one another, revealing that large variation in stresses at edges of an AA induced by the intrinsic tensile stress of the SiO<sub>2</sub>.

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Fig.1 Nano-stress microscope using CL spectroscopy



Fig.2 Relation between stress and CL energy



(a) SEM top image (

(b) Cross sectional model

Fig.3 Images of observed area

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# Fig.4 Stress distribution in SiO<sub>2</sub> film upon AA area obtained by CL spectroscopy



Fig.5 Stress distribution in Si-sub. obtained by Raman spectroscopy



Fig.6 Stress contour map calculated by FEM