# Characteristics of Pure Ge<sub>3</sub>N<sub>4</sub> Dielectric Layers Formed by High-Density Plasma Nitridation

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## 1. Introduction

In attempt to find new channel materials, Germanium has recently drawn tremendous attention due to its higher hole and electron mobility than that of Si [1],[2]. However, it has been reported that Ge becomes oxidized to form unstable GeO<sub>x</sub> during dielectric deposition or post-annealing processes to degrade the device performance, which hinders the integration of high-k gate dielectrics with Ge channels. As the nitrogen incorporation is expected to provide a higher dielectric constant than GeO<sub>x</sub>, nitride-based dielectrics can not only be used as a buffer layer to grow high-k gate dielectrics on Ge but also as the gate insulator itself for Ge-based FETs. While it has been reported that fabricating oxygen-free pure Ge<sub>3</sub>N<sub>4</sub> layers is scarcely possible, the fact that pure Ge nitride can be obtained by using atomic nitrogen radicals has been reported by Maeda et al.[3]. Therefore, we first focused on the direct nitridation of Ge substrates using our original high-density plasma source. Various issues related to high-temperature thermal stabilities first had to be sufficiently well understood to optimize the device fabrication process to apply this Ge-nitride to integrating high-k gate dielectrics with Ge and its alloys for FET-based devices. To accomplish this, we also investigated the thermal stability of Ge nitride layers under UHV and in an N<sub>2</sub> ambient in this work.

### 2. Experimental Procedures

Starting substrates were commercially available (100) oriented, p-type Ge wafers with a resistivity of 0.1-0.5  $\Omega$ cm. The substrates were cleaned in 5% HF, followed by annealing at 350 °C for 10 min in a vacuum under  $1.0 \times 10^{-7}$  Pa. Subsequent nitridation was then carried out in the same chamber. Our system was designed to be able to generate nitrogen plasma under atmospheric pressure. In addition, we adopted a porous electrode, which provides large-scale high-density nitrogen plasma [4]. The process chamber maintained a pressure of the order of  $10^3$  Pa during plasma generation from high-density nitrogen plasma. The other plasma nitridation conditions were as follows: nitrogen flow rate of 1.5 slm, rf power of 50 W, distance of 1 cm between the electrode and Ge substrate, and substrate temperature from room temperature to 350 °C. The Ge nitride layers were analyzed by x-ray photoelectron spectroscopy (XPS), transmission electron microscope (TEM), atomic force microscope (AFM), and thermal desorption spectroscopy (TDS).

#### 3. Results and Discussion

We conducted XPS analysis of the nitrided Ge surfaces to investigate whether we could fabricate oxygen-free pure Ge<sub>3</sub>N<sub>4</sub> layers. Figure 1 shows the Ge 3d spectrum after nitridation at 350 °C. The chemical shift in the nitrided Ge surface is smaller (2.3 eV) than that of GeO<sub>2</sub> (3.8 eV). Moreover, the Ge-N bond is dominant and Ge-O content is suppressed to less than 5% in the Ge 3d chemical shift component. The chemical composition of the nitrided surface was estimated to be nearly Ge<sub>3</sub>N<sub>4</sub> from the ratio of N 1s to Ge 3d intensity of the nitrided component.

TEM was used to determine the structure of the pure  $Ge_3N_4/Ge$  interface. Figure 2 is a cross-sectional TEM image of the Au/Ge<sub>3</sub>N<sub>4</sub>/Ge structure. We can see that homogeneous amorphous layers are formed on the Ge (100) substrate with a very sharp and uniform amorphous/crystalline interface. No interfacial layers can be observed. The thickness of the Ge<sub>3</sub>N<sub>4</sub> layer is estimated to be about 3.5 nm. The thickness of the Ge<sub>3</sub>N<sub>4</sub> layers grown at different temperatures and for different periods of time was also evaluated by XPS analysis. As a result, we found the thickness of the Ge<sub>3</sub>N<sub>4</sub> layers grown at 350 °C tended to saturate for the growth time between 10 and 60 min. We expected that the direct nitridation of Ge using the high-density plasma source would make it possible to obtain high-speed nitridation and a thick nitride from these results.

It is essential to examine the stability of  $Ge_3N_4$  layers to passivate the Ge surface and apply it to a gate stack structure. We have investigated the thermal stability of the Ge nitride layers under UHV and in the N<sub>2</sub> ambient, respectively. Figures 3 and 4 show the results of XPS and AFM examinations of the Ge<sub>3</sub>N<sub>4</sub> layer after annealing at various temperatures from 200 to 800 °C in the N<sub>2</sub> ambient, using the same sample. These results demonstrate that the Ge<sub>3</sub>N<sub>4</sub> layer is stable up to 550 °C, followed by its desorption from 580 to 640 °C, judging from the chemical shift component of the Ge 3d spectrum. The nitrided Ge surface was found to be flat and smooth until nearly 700 °C, and then its roughness increased after Ge<sub>3</sub>N<sub>4</sub> desorption, probably due to the direct sublimation or local oxidization of the Ge substrate exposed by the desorption of the Ge<sub>3</sub>N<sub>4</sub> layers.

We have also studied the thermal stability under UHV conditions using TDS, as plotted in Fig. 5. Ge-O or oxide components cannot be detected at low temperatures since Ge-N is dominant in the Ge nitride. Ge<sub>3</sub>N<sub>4</sub> layers are thermally decomposed around 550 °C under UHV as in thermal

desorption in the N<sub>2</sub> ambient, judging from the increase in the N<sub>2</sub> signal. We also confirmed that the Ge surface is mainly flat (RMS=0.09 nm) and has good crystallinity (Fig. 6), after complete desorption of Ge nitride by keeping 700 °C under UHV. These results indicate that the top Ge<sub>3</sub>N<sub>4</sub> layer thermally desorbed, uniformly, unlike the SiO<sub>2</sub>/Si system introducing surface roughness due to the formation of voids [5]. This suggested that Ge<sub>3</sub>N<sub>4</sub> layers are suitable for passivation layers because of thermal detachment. However, it is essential to set the process temperature by taking the Ge<sub>3</sub>N<sub>4</sub> desorption temperature into consideration when applying Ge<sub>3</sub>N<sub>4</sub> layers to the insulator itself or the interface layer between high-k gate dielectrics and Ge substrate.

## 4. Conclusions

We have developed a direct technique of nitridating Ge substrates by using a high-density plasma source. We demonstrated that amorphous and oxygen-free pure Ge<sub>3</sub>N<sub>4</sub> layers could be obtained at a low process temperature. The smoothest interface and surface could be achieved in the Ge<sub>3</sub>N<sub>4</sub> layers grown at 350 °C, where the maximum thickness was about 3.5 nm. In addition, we also investigated the thermal stability of pure Ge nitride. As a result, we found

that  $Ge_3N_4$  layers resisted in the  $N_2$  ambient around 550 °C, and evaporated above 600 °C. We also concluded that the Ge surface was mostly flat after the Ge nitride had completely desorbed near 700 °C under UHV. This information about  $Ge_3N_4$  thermal desorption is novel and important for detailed understanding of Ge nitride characteristics. The high thermal stability demonstrates that it is promising as a passivation layer for integrating high-k gate dielectrics on high-performance Ge substrates and is expected to shed light on the realization of Ge-based FETs.

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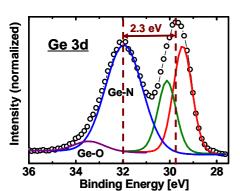


Fig. 1. Ge 3d photoelectron spectrum of the nitrided Ge surface.

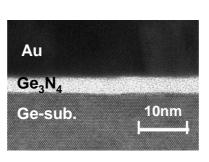


Fig. 2. A cross-sectional TEM image of  $Au/Ge_3N_4/Ge$  structure.

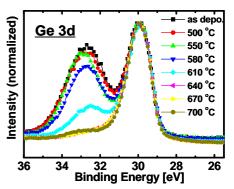
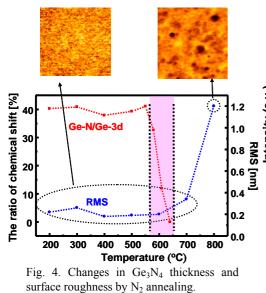


Fig. 3. Ge 3d photoelectron spectra while annealing at various temperatures.



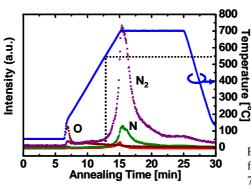


Fig. 5. TDS spectra of  $Ge_3N_4$  layer under UHV.



Fig. 6. RHEED pattern obtained from Ge surface after annealing at  $700^{\circ}$ C under UHV.