Thermodynamic consideration and experimental demonstration for solving the problems of GeO₂ solubility in H₂O and GeO desorption from GeO₂/Ge

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Abstract

The water solubility and thermal instability of GeO_2 are attributable to the intrinsic property of the weak GeO_2 network. We found Y doping increases the hygroscopic tolerance and thermal stability by strengthening the network of GeO_2 , thereby improving the electrical properties of Ge/GeO_2 stack. Those properties are discussed from the thermodynamic points of view.

1. Introduction

Similar to Si/SiO₂, GeO₂ is regarded as one of the best candidates for the passivation of Ge as interfacial layer (IL) between Ge and high-k [1-3]. However, there are two major disadvantages in GeO₂ comparing to Si/SiO₂, namely, the water solubility and thermal instability. These inevitably cause problems in Ge-based device process as well as the reliability issues. In this work, we discuss that both are derived from a same origin thermodynamically, and a solution of one will also be that of the other one. As an example, we pick yttrium doping into GeO₂ (Y-GeO₂) from the thermodynamic viewpoint as well as experimental demonstration. In addition, electrical properties of Ge/Y-GeO₂ gate stack are also shown.

2. Experimental details

Various thicknesses (2 to 11 nm) of Y-GeO2 were deposited on Ge by co-sputtering of Y2O3 and GeO2. For comparison, same thicknesses of pure GeO2 were also deposited on Ge by sputtering. Post deposition annealing was carried out at 500 °C in N₂ for 30 sec. The Y atomic percentage in Y-GeO₂ was controlled to be 10% (Y/Ge=1/9) or 30% (Y/Ge=3/7) by sputtering power and confirmed by XPS measurements of Ge 3d and Y 3d core level spectra. The etching rate was estimated by XPS after immersion into deionized water. Thermal desorption spectrum (TDS) was used to measure the GeO desorption feature of Ge/Y-GeO₂ stacks. To study electrical properties of Ge/Y-GeO₂ stacks, Au and Al were deposited by vacuum evaporation for the gate electrode and substrate contact of MOSCAPs, respectively, and the capacitance-voltage (C-V) and current-voltage (I-V) characteristics were measured at room temperature (RT).

3. Results and Discussion

The water solubility is the most obvious difference

between GeO₂ and SiO₂. This might be phenomenologically explained by the weaker network of GeO₂. However, the slightly Y doping can strongly influence the water solubility of GeO₂. **Fig. 1** shows the Y-GeO₂ and GeO₂ thickness estimated by XPS as a function of immersion time in pure deionized water. 7 nm of GeO₂ completely dissolves in water immediately, while the etching rate of Y-GeO₂ is drastically decreased. Y is expected to strengthen the GeO₂ network, thereby reduces the reaction of GeO₂ with water-related species.



Fig. 1 Thickness of Y-GeO₂ and GeO₂ as a function of immersion time in pure deionized water. Note that SiO_2 is insoluble in water.

This prominent effect of Y is also reflected in the higher thermal stability of Y-GeO₂. **Fig. 2(a)** shows the TDS peak temperature corresponding to GeO (M/z=90) as a function of initial Y-GeO₂ thickness. The desorption of GeO from Ge/GeO₂ [4] and SiO from Si/SiO₂ [5] are also shown for comparison. Ge/Y-GeO₂ stack shows higher GeO desorption temperature than Ge/GeO₂ stack for each thickness of respective films. With increasing Y doping, GeO desorption behavior of Y-GeO₂ is getting closer to the



Fig. 2 (a) GeO desorption peak temperature of Ge/Y-GeO₂ stacks as a function of Y-GeO₂ thickness. The desorption peaks of Ge/GeO₂ and Si/SiO₂ stacks are also shown for comparison. (b) Correlation between desorption temperature and etching rate.

Si/SiO₂ case. Fig. **2(b)** shows an obvious correlation between etching rate and desorption peak temperature. This correlation is originated from their common dependence on the strength of GeO_2 network, which increases with Y doping.

The oxygen vacancy (V_0) formation at Ge/GeO₂ interface and diffusion through GeO₂ bulk have been proposed to be the dominate mechanisms for GeO desorption [5]. To clarify the influences of Y on the V_0 formation and diffusion in Ge/GeO₂ stack, bilayer stacks were deposited as schematically shown in Fig. 3(a). The Y was doped in different positions of the GeO₂ layer, namely, bottom Y-GeO₂ (Ge/Y(10%)-GeO₂/GeO₂) and top Y-GeO₂ $(Ge/GeO_2/Y(10\%)-GeO_2)$, while the total thickness of the bilayer was fixed at 12 nm. TDS spectra of GeO desorption from these bilayer stacks are shown in Fig. 3(b) together with the spectrum of a Ge/GeO₂ (12 nm) stack. As we expected, the desorption temperatures of both bilayer stacks are higher than that of Ge/GeO₂ stack, while the desorption temperature of the two bilayer stacks are almost the same regardless of the different positions of Y-GeO₂. Thereby we conclude that the suppression of GeO desorption can be mainly attributed to the limiting of V_0 diffusion in Y-GeO₂.



Fig. 3(a) Schematic of bilayer stacks with bottom and top Y-GeO₂. (b) Corresponding GeO desorption spectra. The spectrum of a 12 nm Ge/GeO₂ stack is also shown.

By suppressing the GeO desorption, Y doping in GeO₂ is expected to improve both the interface and bulk properties of Ge/GeO₂ stack. Fig. 4(a) shows bidirectional C-V curves of Au/Y(10%)-GeO₂/Ge MOSCAPs measured at RT. The physical thickness of Y-GeO₂ is 3 nm and EOT is estimated to be 1.45 nm ($k=\sim 8$). No hysteresis or frequency dispersion is observed, indicating an extremely low interface states density. The poor 1MHz C-V curve of a Ge/GeO₂ stack with same physical thickness (thicker EOT) is also shown for comparison. Fig. 4(b) shows the gate leakage current as a function of physical thickness of GeO₂ or Y-GeO₂. The leakage currents in Ge/Y-GeO2 stacks are significantly decreased comparing to Ge/GeO₂ stacks, indicating that the bulk defects are drastically reduced by the suppression of V_o diffusion. It is noticed, however, that the leakage current of Ge/Y-GeO₂ stack increases with an increasing of Y concentration from 10 to 30%, indicating that an alternative leakage path might be formed by the excessive Y doping. Since the localized structures revealed by water etching are observed in AFM images (data not shown), it is likely that 30% of Y doping deteriorates the uniformity of the Y-GeO₂.

The leakage through the boundary of these localized structures explains the leakage current increase.



Fig. 4(a) Bidirectional *C-V* curves of Au/Y(10%)-GeO₂/Ge MOSCAPs measured at room temperature. The *C-V* curve of Ge/GeO₂ is also shown for comparison (dotted line). (b) Leakage currents of Ge/Y-GeO₂ and Ge/GeO₂ stacks as a function of the physical thickness.

We propose that the weak network of GeO_2 is the common reason for GeO_2 water solubility and thermal instability. The remarkable effect of Y on GeO_2 can be summarized as the increasing of average dissociation energy of metal-oxygen bonds in GeO_2 network, which increases the Gibbs free energy of reactions related to the water dissolution and GeO desorption as shown in **Figs. 5(a)** and **(b)** respectively.



Fig. 5 Y doping effect on the Gibbs free energy of the reactions related to (a) GeO_2 dissolves in water. (b) GeO desorption from Ge/GeO₂ stack (from HSC database).

4. Conclusion

Y doping into GeO_2 provides both stronger resistance to water and better thermal stability against GeO desorption. Those are well understandable from thermodynamic viewpoint that the Gibbs free energy of both reactions increases with Y doping. The suppression of desorption provided valid interface passivation with low interface states and leakage current. The increase of *k* is also beneficial to the EOT scaling. However, high Y concentration causes the non-uniformity of Y-GeO₂, which increases the leakage current.

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