

## Influence of Post-Deposition Annealing on the Passivation Quality of Room Temperature Atomic Layer Deposited Aluminum Oxide

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### Abstract

This work investigates the influence of post-deposition annealing treatments on the interface properties, such as fixed charge density ( $Q_f$ ) and interface trap density ( $D_{it}$ ), of  $\text{AlO}_x$  films deposited on single crystalline Si at room temperature by  $\text{O}_3$ -based batch atomic layer deposition technique. We found that PDA in atomic hydrogen can significantly increase negative  $Q_f$  in 10 nm-thick  $\text{AlO}_x$  films by enhancing structural and chemical reorganization in the interlayer. In addition, we also found that nitrogen ions can effectively remove or passivate dominant positive fixed charges in 10 nm-thick  $\text{AlO}_x$  films. Finally, we demonstrated that PDA in  $\text{N}_2$  plasma is effective technique to enhance the interface properties of 30 nm-thick  $\text{AlO}_x$  films as indicated by the significantly reduced  $D_{it}$  down to  $6.6 \times 10^{11} \text{ cm}^{-2} \cdot \text{eV}^{-1}$  with negative  $Q_f$   $\geq 10^{12} \text{ cm}^{-2}$ .

### 1. Introduction

Surface passivation of crystalline silicon (c-Si) plays an essential role in the performance of solar cells as the wafer thickness progressively decreases because of cost-driven reductions. To improve the efficiency of standard c-Si solar cells based on mature screen-printed technology, passivation by a suitable dielectric layer should be employed on the rear side of solar cells. It is reported that atomic layer deposited (ALD) aluminum oxide ( $\text{AlO}_x$ ) provides an excellent surface passivation quality for both lowly and highly doped p-type Si. This feature is attributed to a high negative fixed charge density ( $Q_f$ ) up to  $\sim 10^{13} \text{ cm}^{-2}$  in the passivation film and a low interface trap density ( $D_{it}$ ) of  $\sim 10^{11} \text{ eV}^{-1} \text{ cm}^{-2}$  at the ALD  $\text{AlO}_x/\text{c-Si}$  interface [1].

Recently, various methods of atomic layer deposition, such as  $\text{H}_2\text{O}$ - and  $\text{O}_3$ -based batch atomic layer deposition, have been successfully used to deposit  $\text{AlO}_x$  films for c-Si surface passivation, which is promising for mass production. However, the optimal combination of the process conditions for ALD  $\text{AlO}_x$  passivation films has been set to a deposition temperature ( $T_{\text{dep}}$ )  $\geq 200^\circ\text{C}$  followed by a post deposition annealing (PDA) treatment in  $\text{N}_2$  at 400–450  $^\circ\text{C}$  for at least 10 min. This justifies the interest for a combination of a room temperature process using  $\text{O}_3$  as an oxygen precursor and a decent PDA treatment in order to reduce a thermal budget during the preparation of  $\text{AlO}_x$  passivation

films. In this contribution we investigate the influence of various PDA treatments on the interface properties, such as  $Q_f$  and  $D_{it}$ , of  $\text{O}_3$ -based batch ALD  $\text{AlO}_x$  films deposited on c-Si at room temperature (RT).

### 2. Experimental

About 10 and 30 nm-thick  $\text{AlO}_x$  films were deposited on both sides of a p-type (100) single c-Si substrate (MCZ,  $\rho = 15\sim 30 \Omega \cdot \text{cm}$ , 770  $\mu\text{m}$ ) from TMA and  $\text{O}_3$  by batch ALD process at RT. PDA in  $\text{N}_2$  was performed at 400  $^\circ\text{C}$  for 30 min by a rapid thermal annealing (RTA) system. PDA in  $\text{N}_2$  plasma with a RF power of 400 W was performed at temperatures ranging from 200  $^\circ\text{C}$  to 400  $^\circ\text{C}$  and a pressure of  $2.4 \times 10^{-3} \text{ Pa}$  for 30 min. PDA in atomic hydrogen generated by a tungsten hot wire catalyst heated to 1800  $^\circ\text{C}$  was performed at a pressure of 5 Pa for 30 min.  $Q_f$  and  $D_{it}$  were extracted from capacitance-voltage (C-V) measurements. High resolution transmission electron microscopy (HRT-EM) was employed to investigate  $\text{AlO}_x$  film structures and interfacial structures formed at an  $\text{AlO}_x/\text{c-Si}$  interface.

### 3. Results and discussion

We investigated the influence of post-deposition annealing (PDA) performed at different temperatures in  $\text{N}_2$ ,  $\text{N}_2$  plasma or atomic hydrogen on the interface properties of 10 nm-thick  $\text{AlO}_x$  samples deposited at room temperature (RT). As shown in Fig. 1 all annealed samples show significantly increased negative fixed charge density ( $Q_f$ ) but only  $\text{AlO}_x$  samples annealed at 400  $^\circ\text{C}$  in atomic hydrogen and at 200  $^\circ\text{C}$  in  $\text{N}_2$  plasma show lower interface trap density ( $D_{it}$ ) compared to as-deposited  $\text{AlO}_x$  samples. Among investigated  $\text{AlO}_x$  samples,  $\text{AlO}_x$  samples annealed in atomic hydrogen show the best interface properties. The change in  $Q_f$  shows that positive fixed charges in as-deposited films decrease, or that negative fixed charges in the films increase after annealing, while excessively incorporated nitrogen at the interface region would increase  $D_{it}$ .

In Fig. 2 high resolution transmission electron microscopy (HRTEM) images reveal that the significantly enhanced  $Q_f$  of  $\text{AlO}_x$  samples annealed in atomic hydrogen is owing to the better structural and chemical reorganization of the interlayer compared to  $\text{AlO}_x$  samples annealed in  $\text{N}_2$ . We previously reported that 30 nm-thick  $\text{O}_3$ -based batch ALD  $\text{AlO}_x$  samples deposited at RT have a thick, chemi-

cally loose interlayer including aluminum silicate with showing insignificant level of surface passivation in an as-deposited state. After PDA in  $N_2$ , the thermal densification of an interlayer chemical structure and the phase transformation of aluminum silicate into mullite in the interlayer owing to diffused oxygen and hydrogen from  $AlO_x$  films activate the surface passivation of Si by  $AlO_x$  films deposited at RT [2]. From the result, the amount of oxygen and hydrogen diffused from thinner  $AlO_x$  films is insufficient to complete the densification and the phase transformation but the sufficient supply of atomic hydrogen can enhance the structural and chemical reorganization of the interlayer. In addition, atomic hydrogen and nitrogen ions are efficient at removing or passivating dominant positive fixed charges in  $AlO_x$  films [3,4]. This can also contribute to the significant increase of  $Q_f$  in  $AlO_x$  films after PDA in atomic hydrogen or  $N_2$  plasma.

PDA in  $N_2$  plasma has some merits compared to PDA in hot-wire atomic hydrogen: less consumption of gas and energy, and safety to be free from explosive gas. So we decided to investigate the effects of PDA in  $N_2$  or  $N_2$  plasma on 30 nm-thick  $AlO_x$  samples deposited at RT. As shown in Fig. 3, 30 nm-thick  $AlO_x$  samples annealed at 200 °C and 400 °C in  $N_2$  plasma show the lowest  $D_{it}$  ( $= 6.6 \times 10^{11} \text{ cm}^{-2} \cdot \text{eV}^{-1}$ ) and the highest negative  $Q_f$  ( $= -4.3 \times 10^{12} \text{ cm}^{-2}$ ), respectively.  $AlO_x$  samples annealed in  $N_2$  plasma at 400 °C show the enhanced interface property compared to  $AlO_x$  samples annealed in  $N_2$  but the  $D_{it}$  ( $= 2.3 \times 10^{12} \text{ cm}^{-2} \cdot \text{eV}^{-1}$ ) is of the same order as  $Q_f$  ( $= -4.3 \times 10^{12} \text{ cm}^{-2}$ ). Therefore, for  $AlO_x$  on c-Si, it is not expected that the effect of this enhanced interface property can be significant because the  $D_{it}$  is typically at least one order of magnitude lower than the magnitude of the negative  $Q_f$  in the film. However, we can expect better surface passivation from  $AlO_x$  samples annealed in  $N_2$  plasma at 200 °C because  $D_{it}$  ( $= 6.6 \times 10^{11} \text{ cm}^{-2} \cdot \text{eV}^{-1}$ ) is about one order of magnitude lower than the magnitude of  $Q_f$  ( $= -2.0 \times 10^{12} \text{ cm}^{-2}$ ). From the result, we can conclude that PDA with  $N_2$  plasma is promising technique to enhance the passivation quality of  $AlO_x$  samples deposited at RT though we need further studies on structural and chemical changes during PDA and optimization of PDA processes.

### 3. Conclusions

In this study, the influence of PDA treatments on the interface properties of  $O_3$ -based batch ALD  $AlO_x$  films deposited on c-Si at RT was investigated. We found that PDA in atomic hydrogen can significantly increase negative  $Q_f$  in thin  $AlO_x$  films by enhancing structural and chemical reorganization of the interlayer. In addition, atomic hydrogen and nitrogen ions could effectively remove or passivate dominant positive fixed charges in 10 nm-thick  $AlO_x$  films. Finally, we demonstrated that PDA in  $N_2$  plasma of 30 nm-thick  $AlO_x$  films is more effective to enhance interface properties than that of 10 nm-thick  $AlO_x$  films. From the result, PDA using  $N_2$  plasma could be promising technique for solar cell applications.

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### References

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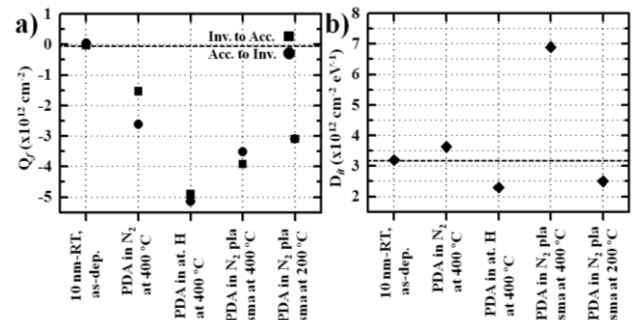


Fig.1 a)  $Q_f$  and b)  $D_{it}$  values obtained from 10 nm-thick  $AlO_x$  samples annealed in  $N_2$ ,  $N_2$  plasma or atomic H.

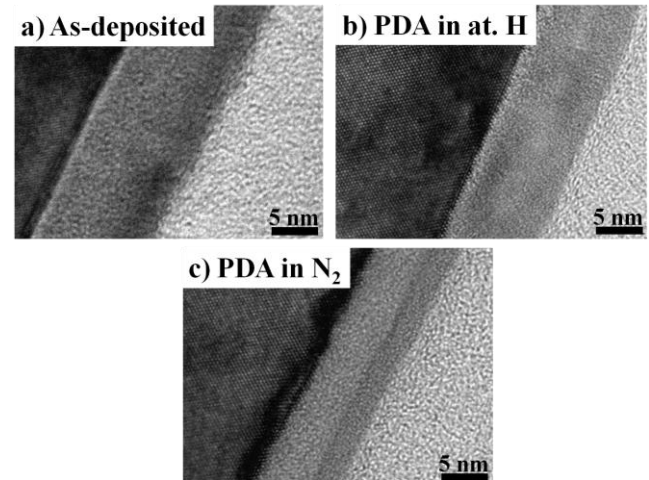


Fig.2 Cross-sectional HRTEM images of 10 nm-thick  $AlO_x$  films a) as-deposited, b) annealed in atomic H and c) annealed in  $N_2$ , respectively.

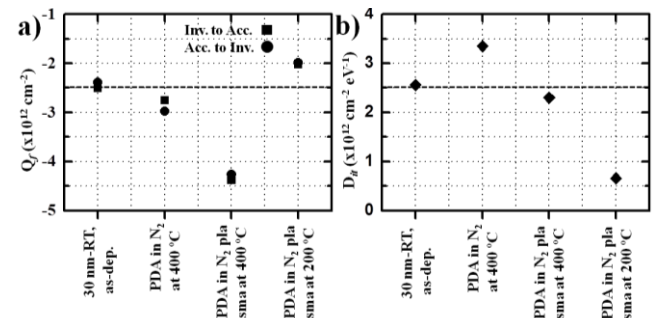


Fig.3 a)  $Q_f$  and b)  $D_{it}$  values obtained from 30 nm-thick  $AlO_x$  samples annealed in  $N_2$  or  $N_2$  plasma.