Improved Leakage Current for TiO₂-Based MIM Capacitors by Embedding Ge Nanocrystals

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I. Introduction

Metal-insulator-metal (MIM) based capacitors have been recently perceived as feasible passive components for radio frequency (RF), analog and mixed signal integrated circuits. With the advent of high-permittivity (high- κ) dielectric, the requirement of large area to implement high-capacitance capacitors is relieved. The booming field of high- κ dielectric development has ushered in a new era for high-performance MIM capacitors. Among various kinds of high- κ dielectrics, TiO₂ has long attracted great attention because its κ value can be as high as 90~170 when it crystallizes in rutile phase, depending on the lattice orientation. Even with the potential to achieve a high κ value, one inherent material property that limits TiO₂ from further application in MIM capacitors is its high electron affinity which consequently results in high leakage current. Therefore high-work function electrode is always employed for TiO₂-based MIM capacitors to suppress leakage current. In addition, many efforts have been made on doping of TiO₂ with specific metal element to improve its leakage perfor-mance such as TiO₂-LaAlO [1], TiTaO [2], TiNiO [3], Ti-HfO [4], TiZrO [5], and TiPrO [6]. Although doped TiO₂ demonstrates promising results, maintaining the dopant uniformity requires rigorous process control. In fact, re-duced leakage can also be obtained by stacking another dielectric with larger band gap such as SiO₂/TiO₂ stack [7]. However, the capacitance density will be inevitably compromised because a larger band gap dielectric usually accompanies a lower κ value. Different from prior arts, in this work, significantly improved leakage current performance for TiO₂-based MIM capacitors can be accomplished by introducing Ge nanocrystals (NCs) into TiO₂. Embedding NCs in dielectrics have been widely adopted in the technology of charge trap flash memory because additional trapping sites can be provided to store injected electrons. Nevertheless the function of charge storage is not required for MIM capacitors, the main reason to introduce NCs is to induce the so-called Coulomb blockade effect or build an internal field to compensate the applied external one, and therefore reduced leakage current can be expected. The results in this work prove the idea by demonstrating a relatively high capacitance density of 25.2 fF/um² with leakage current of 4.6×10^{-7} A/cm² at -1 V, which is lower than capacitors without incorporating Ge NCs by a factor of ~1000. In addition, the Ge NCs embedded MIM capacitors show a small loss tangent of 0.020. a low temperature coefficient of capacitance (TCC) of 88 ppm/°C. These promising electrical characteristics attest to the eligibility of the novel structure for MIM applications.

II. Experiment

50-nm Pd was deposited on SiO₂/Si as the bottom electrode of MIM capacitors. Then 29-nm TiO2 film was deposited as the dielectric of capacitors (denoted as TiO_2 sample). Subsequently, rapid thermal annealing (RTA) of 500 °C was performed to crystallize TiO₂. To explore the impact of Ge NCs incorporation on electrical characteristics, aforementioned 29-nm TiO_2 was replaced with $TiO_2/Ge/TiO_2$ laminate structure of 12.5/1.5/12.5 nm for some samples (denoted as TiO_2 -Ge sample). With the same RTA condition, Ge NCs embedded in the crystalline TiO_2 is also formed. Next, nitrogen plasma treatment (NPT) was performed on some samples to investigate how nitrogen

radicals affect device performance. Finally 50-nm Pd was formed as the top electrode. Brief process flow and device structure are shown in Fig. 1.

III. Results and Discussion

Fig. 2 shows the capacitance-voltage (C-V) curves for different process conditions. At 0 V, TiO₂ samples display the highest capacitance density of 33.8 $\text{Ff}/\mu\text{m}^2$ while the value for TiO₂-NPT and TiO₂-Ge-NPT samples decrease to $30.2 \text{ fF}/\mu\text{m}^2$ and $25.2 \text{ fF}/\mu\text{m}^2$ respectively. Apparently, NPT process significantly mitigates the dependence of capacitance on applied voltage which makes the capacitors more feasible in circuit applications. As shown in Fig. 3, the κ value of TiO₂ is 110.8 which implies the formation of crystalline dielectric after RTA. With NPT treatment, the κ value slightly decreases to 99.0 which is due to the formation of TiON [7]. With additional incorporation of Ge NCs, the κ value further drops to 75.5 because Ge has a much smaller κ value than TiO₂. Although additional plasma treatment or incorporation of Ge NCs results in capacitance degradation, it gains much improved leakage current performance as evidenced in Fig. 4. Because nitrogen radials well passivate grain-boundary-related defects in the crystalline TiO2 film, at bias voltage of -1 V, TiO2-NPT samples demonstrates reduced leakage current by a factor of 3125 as compared to TiO₂-samples. For TiO₂-Ge-NPT samples, the leakage current further reduces to 4.6×10^{-7} A/cm² at -1 V, nearly 3 orders lower than TiO₂-NPT samples. Note that from separate experiments, among these 3 process conditions, TiO₂-Ge-NPT samples enjoy the lowest leakage current under the same capacitance density (not shown). The mechanism of NCs induced leakage current improvement can be understood by the band diagram shown in **Fig. 5**. Due to the conduction band offset of 0.33 eV between Ge NCs and rutile TiO_2 [8], part of the injected electrons from the electrode would be trapped in the Ge NCs. Once NCs are filled with electrons, it may prevent subsequent elec-trons from further injection by Coulomb blockade effect or build an internal electric field that suppresses subsequent electron injection by compensating the external electric field, and therefore reduced leakage current is expected. Fig. 6 shows the dependence of normalized capacitance on measurement temperature. For samples with NPT, Ge incorporation indeed helps improve TCC from 113 to 88 ppm/°C. The phenomenon can be explained by the reduced electron injection which causes higher relaxation time and consequently leads to a smaller capacitance variation. Besides the requirement of low leakage current and small TCC, low dielectric loss is also a prerequisite for passive element applications. Dielectric loss quantifies the inherent electrical energy dissipation of a dielectric and the results are shown in **Fig. 7**. At 1 MHz, loss tangent for TiO_2 -NPT samples is about 0.045 and the value becomes 0.020 for TiO₂-Ge-NPT samples, which is improved by a factor of 2.2. Since loss tangent is dependent on conductance of the dielectric, once the leakage current can be reduced, improved loss tangent is expected as TiO_2 -Ge-NPT samples. **Table I** summarizes the major device parameters for TiO₂-based [3, 4, 7, 9] and other recent [10] MIM capacitors with various electrodes. It is confirmed that plasma treatment of Ge NCs-embedded TiO₂ provides another promising avenue to obtain high capacitance density with much improved leakage current.

IV. Conclusion

Nitrogen plasma treatment was proven as an efficient approach to reduce leakage current of crystalline TiO₂ because of passivation of grain boundary related defects. Further improved leakage performance can be achieved by incorporating Ge NCs into TiO2. MIM capacitors formed by integrating these processes hold the great potential for future applications in terms of high capacitance density of 25.2 fF/ μ m² with a large value of 75.5, low leakage current of 4.6×10⁻⁷ A/cm² at -1 V, small TCC of 88 ppm/°C and desirable loss tangent of 0.020.

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Fig. 1 Process flow of TiO₂-based MIM capacitors. The device structure is not to scale.

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Fig. 2 C-V characteristics for different TiO₂-based dielectrics measured at 1 MHz. Significant dependence of capacitance on voltage can be alleviated by plasma treatment.



Fig. 5 Band diagram (not to scale) for TiO₂/Ge/TiO₂ MIM capacitors with Pd as electrodes.



Fig. 3 κ value for different TiO₂-based dielectrics extracted from zero-biased capacitance. The κ value higher than 100 for TiO₂ suggests the crystalline structure.



----- TiO2

-O- TiO₂-NPT

TiO₂-based dielectrics at room temperature. TiO₂-Ge-NPT shows the lowest leakage under the same capacitance (not shown).

TiO2-NPT

-0.5

1.0

TiO2-Ge-NPT



Fig. 6 Temperature-dependent normalized capacitance for TiO₂ dielectric with and without Ge NCs incorporation.

Fig. 7 Loss tangent for TiO₂ dielectric with and without Ge NCs incorporation measured at 1 MHz.

0.0

Voltage (V)

0.5

1.0

Table I Comparison of major device parameters of TiO2-based and other recent MIM capacitors with various electrodes.

Material	TiNiO	TiHfO	TiO ₂ /SiO ₂	TiO ₂	Gd ₂ O ₃ /Eu ₂ O ₃	TiO ₂ /Ge/TiO ₂
	[3]	[4]	[7]	[9]	[10]	This work
Thickness (nm)	20	12	14/2.5	25	8/8	12.5/1.5/12.5
Top/Bottom Electrode	Ni/TaN	TaN/TaN	Al/TaN	Ni2Si/Ni2Si	Pt/Pt	Pd/Pd
Work function (eV)	5.1/4.6	4.6/4.6	4.3/4.6	4.8/4.8	5.6/5.6	5.1/5.1
Capacitance Density (fF/µm²)	17.1	28	11.9	17	12.5	25.2
Current Density at -1 V (A/cm ²)	$7.7 imes 10^{-6}$	4.8 × 10 ⁻⁶	8.3 × 10 ⁻⁷	6.4 × 10 ⁻⁶	1.2×10^{-5}	4.6 × 10 ⁻⁷

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