

## Reduction of initial threshold voltage shift in ALD- $\text{Al}_2\text{O}_3/\text{AlGaIn}/\text{GaN}$ MIS-HEMTs on Si substrates by post-deposition annealing

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### Abstract

Post-deposition annealing (PDA) was performed on  $\text{Al}_2\text{O}_3$  deposited by atomic layer deposition (ALD) using both water ( $\text{H}_2\text{O}$ ) and ozone ( $\text{O}_3$ ) as oxidants to reduce the initial threshold voltage shift ( $\Delta V_{\text{th}}$ ) of ALD- $\text{Al}_2\text{O}_3/\text{AlGaIn}/\text{GaN}$  metal-insulator-semiconductor high-electron-mobility transistors (MIS-HEMTs) on Si substrates, and the dependence of the  $\Delta V_{\text{th}}$  on the PDA temperature was investigated. As the result,  $\Delta V_{\text{th}}$  was reduced from 4.2 to 2.6 V with increasing the PDA temperature up to 650°C, while the gate leakage current increased from  $3.5 \times 10^{-8}$  to  $2.9 \times 10^{-5}$  mA/mm. The results of this study indicate that the PDA is effective to reduce  $\Delta V_{\text{th}}$  which is caused by deep traps inside the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  layer.

### 1. Introduction

GaN-based high-electron-mobility transistors (HEMTs) with metal-insulator-semiconductor (MIS) structures have been investigated for high-power and high-frequency switching device applications, which is effective for gate leakage reduction and large gate voltage swings.  $\text{Al}_2\text{O}_3$  is a promising material for the insulator owing to its relatively large band gap and high dielectric constant. Among fabrication methods of  $\text{Al}_2\text{O}_3$ , atomic layer deposition (ALD) is superior, as it is a layer-by-layer process that can produce an oxide layer that is pinhole free and uniform in thickness.

In our previous study, the authors found that MIS-HEMTs with  $\text{Al}_2\text{O}_3$  deposited by ALD using both water ( $\text{H}_2\text{O}$ ) and ozone ( $\text{O}_3$ ) as the oxidants showed good  $I$ - $V$  characteristics without post-deposition annealing of the ALD- $\text{Al}_2\text{O}_3$ . Furthermore, the MIS-HEMTs showed normally-off behavior with initial threshold voltage ( $V_{\text{th}}$ ) shifts due to deeply trapped electrons [1,2]. Recently, however, MIS-HEMTs with the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  which did not show the  $V_{\text{th}}$  shift were fabricated by post-deposition annealing (PDA) of the  $\text{Al}_2\text{O}_3$  at 600°C [3]. Therefore, the effect of the PDA temperature on the initial  $V_{\text{th}}$  shift of MIS-HEMTs with the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  was investigated.

### 2. Experimental Procedure

$\text{Al}_2\text{O}_3/\text{AlGaIn}/\text{GaN}$  MIS-HEMTs were fabricated by the same process as that in the previous papers.  $\text{AlGaIn}/\text{GaN}$  heterostructures were grown on 4-in. p-type Si (111) substrates using a MOCVD system. The structure consists of a

25-nm  $\text{Al}_{0.26}\text{GaIn}$  layer, a 1-nm AlN layer, a 1- $\mu\text{m}$  GaN layer, and a 2.5  $\mu\text{m}$  buffer layer. After mesa isolation by  $\text{BCl}_3$  plasma-based reactive ion etching, source/drain ohmic contacts (Ti/Al/Ni/Au: 15/80/12/40 nm) were formed on the devices and annealed at 850°C for 30 s under a flow of nitrogen gas. 20 nm thick  $\text{Al}_2\text{O}_3$  layers were deposited by ALD at 300°C. Both  $\text{H}_2\text{O}$  and  $\text{O}_3$  were used as oxygen precursors, and trimethylaluminum (TMA) was used as the aluminum precursor. Post-deposition annealing was performed at 500, 550, 600, and 650°C for 1 min. After gate lithography, Pd/Ni/Au (40/20/60 nm) was deposited as the gate contact. A schematic cross-sectional view of the MIS-HEMT is shown in Fig. 1. The dimensions of the fabricated HEMTs were as follows: source-gate spacing ( $L_{\text{sg}}$ ) = 4  $\mu\text{m}$ , gate width ( $W_g$ ) = 15  $\mu\text{m}$ , gate length ( $L_g$ ) = 1.5  $\mu\text{m}$ , and gate-drain spacing ( $L_{\text{gd}}$ ) = 4  $\mu\text{m}$ . To investigate the electrical properties, the drain current-voltage ( $I_d$ - $V_d$ ) and the transfer characteristics were measured using an Agilent B1505A power device analyzer.

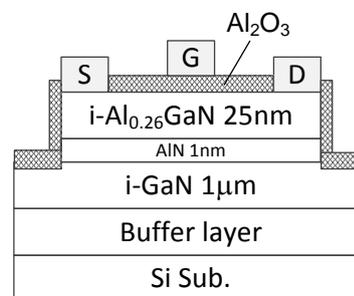


Fig. 1 Schematic cross-sectional view of the  $\text{Al}_2\text{O}_3/\text{AlGaIn}/\text{GaN}$  MIS-HEMT.

### 3. Results and Discussion

Figure 2 shows the DC  $I_d$ - $V_d$  characteristics of the MIS-HEMT with the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  annealed at 500°C. As shown in Fig. 2, good pinch-off characteristics were obtained, which are indicative of all cases of PDA temperatures in this study. The maximum  $I_d$  ( $I_{\text{dmax}}$ ) was 570 mA/mm at a gate voltage of 8 V, and the maximum transconductance was 88 mS/mm. Figures 3(a) and 3(b) show the dependence of  $I_d$  and the gate leakage current ( $I_g$ ) on the gate bias voltage ( $V_g$ ) for the MIS-HEMTs with the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  annealed at 500°C and 650°C, respectively. As shown in Fig. 3, initial  $V_{\text{th}}$  shifts ( $\Delta V_{\text{th}}$ s) caused by deeply trapped electrons, which showed quasi-fixed charge characteristics, were observed in all cases,

and the amount of the  $\Delta V_{th}$  was reduced with increasing the PDA temperature. On the other hand, the  $I_g$  increased with increasing the PDA temperature, it may be caused by the microcrystallization in the ALD- $\text{Al}_2\text{O}_3$  by the PDA, whose grain boundaries can serve as high-leakage paths.

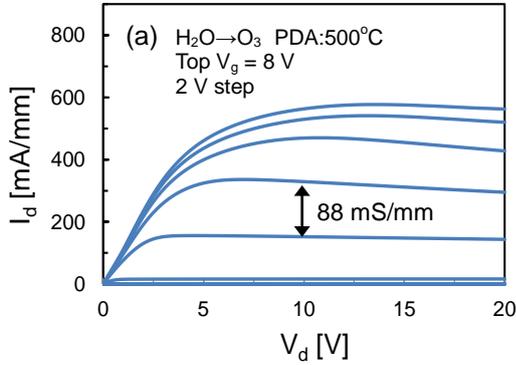


Fig. 2 DC drain current-voltage ( $I_d$ - $V_d$ ) characteristics of MIS-HEMT built with  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  annealed at  $500^\circ\text{C}$ .

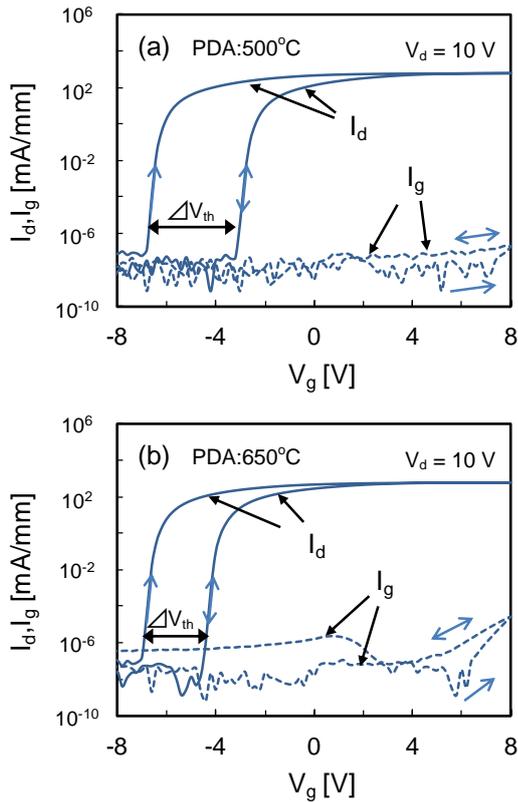


Fig. 3 Dependence of the drain current ( $I_d$ ) and the gate leakage current ( $I_g$ ) on the gate bias voltage ( $V_g$ ) for MIS-HEMTs built with  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$  annealed at (a)  $500^\circ\text{C}$ , (b)  $650^\circ\text{C}$ .

Figure 4 shows dependences of the  $\Delta V_{th}$  and the  $I_g$  at a  $V_g$  of 8V on the PDA temperature of the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$ . As shown in Fig. 4, by increasing the PDA temperature, the  $\Delta V_{th}$  was reduced from 4.2 to 2.6 V, and the  $I_g$  increased from  $3.5 \times 10^{-8}$  to  $2.9 \times 10^{-5}$  mA/mm. The reduction of the  $\Delta V_{th}$  seems to be caused by the reduction of

deep traps inside the ALD- $\text{Al}_2\text{O}_3$  layer rather than the reduction of interface states at the  $\text{Al}_2\text{O}_3/\text{AlGaIn}$  interface. For further study, we investigated the pulsed  $I_d$ - $V_d$  characteristics of MIS-HEMTs and the interface state density ( $D_{it}$ ) at the  $\text{Al}_2\text{O}_3/\text{AlGaIn}$  interface by the photo-assisted  $C$ - $V$  shift [4,5]. As the result, the  $I_d$  degradation in the pulsed mode and the  $D_{it}$  did not show the PDA temperature dependence. It indicates that the PDA is effective to reduce deep traps inside the  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$ .

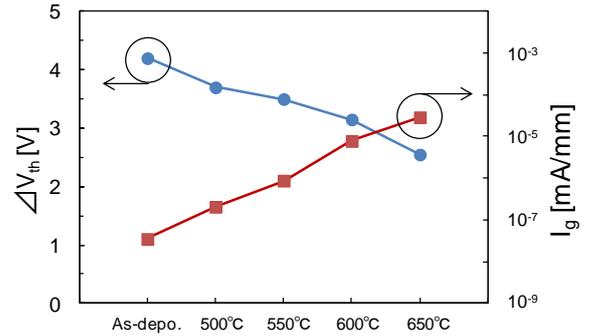


Fig. 4 Dependence of the initial threshold voltage shift ( $\Delta V_{th}$ ) and the gate leakage current ( $I_g$ ) at a gate bias voltage of 8 V on the post-deposition annealing temperature of  $\text{H}_2\text{O}+\text{O}_3$ -based  $\text{Al}_2\text{O}_3$ .

#### 4. Conclusions

ALD- $\text{Al}_2\text{O}_3/\text{AlGaIn}/\text{GaIn}$  MIS-HEMTs were fabricated using both  $\text{H}_2\text{O}$  and  $\text{O}_3$  as oxygen precursors with the PDA of the ALD- $\text{Al}_2\text{O}_3$  at 500, 550, 600, and  $650^\circ\text{C}$ , and their  $I$ - $V$  characteristics, especially  $\Delta V_{th}$ , were investigated. As the results, by increasing the PDA temperature, the  $\Delta V_{th}$  was reduced from 4.2 to 2.6 V, and the  $I_g$  increased from  $3.5 \times 10^{-8}$  to  $2.9 \times 10^{-5}$  mA/mm. Furthermore, the  $I_d$  degradation in the pulsed mode and the  $D_{it}$  at  $\text{Al}_2\text{O}_3/\text{AlGaIn}$  interface did not show the PDA temperature dependence. These results suggest that the PDA was effective to reduce deep traps inside the ALD- $\text{Al}_2\text{O}_3$  layer deposited using both  $\text{H}_2\text{O}$  and  $\text{O}_3$  as oxygen precursors.

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