

## Thermal Stability of the Interface between Atomic Layer Deposited High- $k$ ZrO<sub>2</sub> and GaN

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### Abstract

The thermal stability of high- $k$  ZrO<sub>2</sub>/GaN interface related to formation/annihilation of interfacial GaO<sub>x</sub> layer between ZrO<sub>2</sub> and GaN is studied by X-ray photoelectron spectroscopy (XPS). It is observed that deterioration of interface quality starts at temperatures higher than 500 °C, which is evidenced by increase in Ga-O bond to Ga-N bond ratio and the reduction of Ga-N binding energy.

### 1. Introduction

GaN-based heterostructure devices such as high electron mobility transistors (HEMTs) have been used extensively for high frequency, high power, and low noise applications. Metal-insulator-semiconductor HEMTs (MISHEMTs) using high- $k$  dielectrics as the gate insulators has been reported to improve the device performance [1]. By virtue of its high dielectric constant along with reasonably high conductance band offset (CBO) and valance band offset (VBO) aligned to GaN substrate, ZrO<sub>2</sub> is a promising dielectric material candidate for GaN MISHEMTs [2]. Excellent DC and RF electrical characteristics of GaN MISHEMTs utilizing ZrO<sub>2</sub> as gate dielectrics are reported [3]. However, the thermal stability of the ZrO<sub>2</sub> dielectric layer at the interface as one of the most challenging requirements for application of ZrO<sub>2</sub> as a gate dielectric remains un-explored. In this work, a detailed investigation of the thermal stability of ZrO<sub>2</sub>/GaN interface is carried out by using X-ray photoelectron spectroscopy (XPS) and high-resolution transmission electron microscopy (HR-TEM).

### 2. Experiment

ZrO<sub>2</sub> dielectric layers were deposited on GaN-on-sapphire substrates by using ALD method. The un-doped GaN-on-sapphire wafers were grown by Metal Organic Chemical Vapor Deposition (MOCVD) using a commercial reactor. For the investigation of ZrO<sub>2</sub>/GaN interface, samples with 2 nm thick ZrO<sub>2</sub> on GaN surface were utilized for XPS measurements while ZrO<sub>2</sub> dielectric layers with a thickness of 10 nm were deposited for HR-TEM characterization. ZrO<sub>2</sub> dielectric layers were deposited by a Cambridge Nanotech Savannah ALD system at 0.6 Torr and 250 °C. The tetrakis-(diethylamino)-zirconium and H<sub>2</sub>O

were used as the precursors. The growth rate for ZrO<sub>2</sub> was ~0.09 nm per ALD cycle. After dielectric layer deposition, different post-deposition anneals (PDAs) using rapid thermal annealing (RTA) in N<sub>2</sub> atmospheres for 30 s were performed under five different temperatures (300 °C, 400 °C, 500 °C, 600 °C and 700 °C). The XPS measurements were carried out using a monochromatic Al K $\alpha$  X-ray source of energy 1486.7 eV. The spectra are curve-fitted with a combination of Gaussian and Lorentzian line shapes using a Shirley-type background subtraction. All peaks were referenced to the C 1s peak at 284.6 eV to compensate for any variations in the peak core-level positions due to binding energy (BE) shift caused by surface charging.

### 3. Results and Discussion

Ga 3d core-level XPS spectra obtained under different post-deposition annealing temperatures are depicted in Fig. 1. It can be seen from Fig. 2 that each Ga 3d spectrum could be deconvolved into two components, corresponding to the Ga-N and Ga-O bonds. The existence of the Ga-O spectrum for the as-deposited sample (indicated by N.A in Fig. 1) may be attributed to the parasitic oxidation of the GaN surface after cleaning during ALD deposition process. The Ga-N bonds show an obvious increase in binding energies (BEs) with the increase of annealing temperatures when the annealing temperatures are lower than 500 °C. Further increase in annealing temperature shows a reduction of the BEs. For clarity, the dependence of Ga-N bond binding energies and ratio of the Ga-O to Ga-N XPS peak area on annealing temperatures are plotted in Fig. 2. It can be seen that the Ga-N bond binding energies are strongly coincident with the ratio of Ga-O to Ga-N peak area. A higher defect density at ZrO<sub>2</sub>/GaN interface could cause stronger upward band bending thus lower Ga-N bond binding energy. Therefore, the results in Fig.2 suggest a noticeable improvement of ZrO<sub>2</sub>/GaN interface quality when the samples are annealed at temperatures below 500 °C. However, further increase in anneal temperature results in the deterioration of the ZrO<sub>2</sub>/GaN interface. This can be further supported by HR-TEM observation as shown in Fig.3. Compared with the as-deposited sample, an abrupt interface without any interfacial layers is observed for sample annealed under 500 °C. This may be attributed to the "clean up" effect for ALD ZrO<sub>2</sub> on GaN after annealing at

500 °C. Such “clean up” effect is widely reported on ALD deposited high- $k$  dielectrics on III-V semiconductor interfaces such as  $\text{Al}_2\text{O}_3/\text{III-As}$  and  $\text{Al}_2\text{O}_3/\text{III-N}$ .

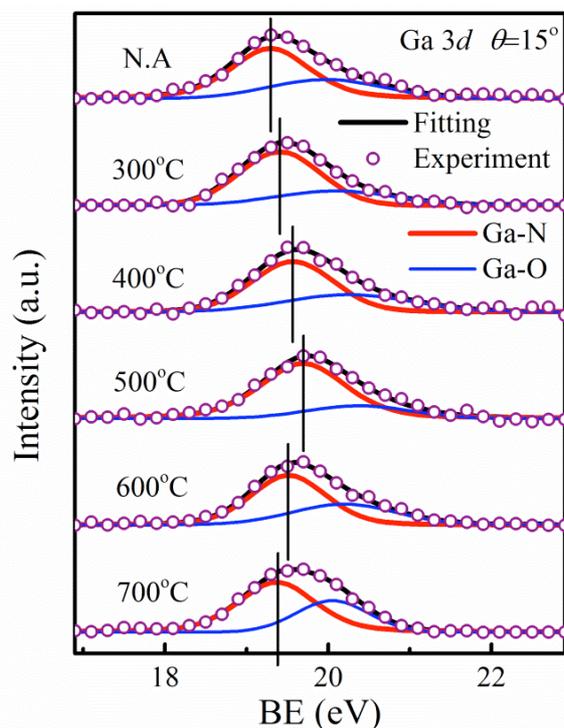


Fig. 1 The measured (open circles) and fitted (lines) XPS Ga 3d core-level spectra for  $\text{ZrO}_2$  on GaN samples measured at take-off angle  $\theta$  of  $15^\circ$  under different annealing temperatures. The as-deposited sample is indicated as N.A.

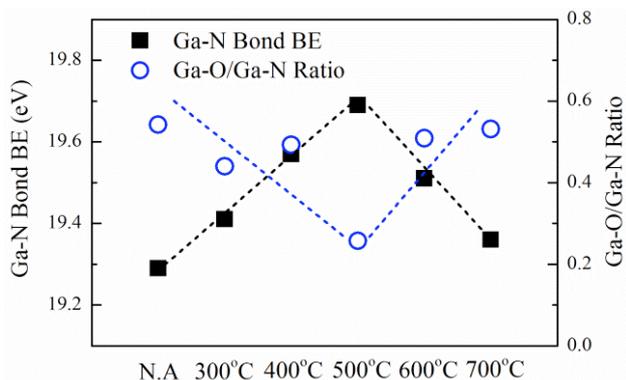


Fig. 2 Change of Ga-N bond binding energy and integrated XPS intensity ratio of Ga-O bond to Ga-N bond for  $\text{ZrO}_2/\text{GaN}$  under different temperatures. The as-deposited sample is indicated as N.A.

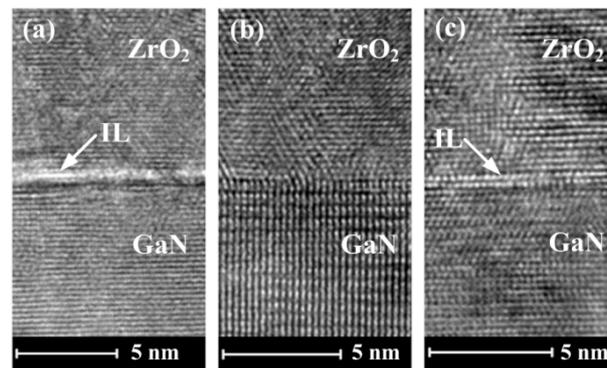


Fig. 3 Cross-sectional TEM images of high- $k$   $\text{ZrO}_2$  dielectric layers on GaN: (a) as-deposited (N.A) and (b) with a 500 °C annealing in  $\text{N}_2$  for 30 s and (c) with a 700 °C annealing in  $\text{N}_2$  for 30 s. The interfacial layer is indicated as IL.

#### 4. Conclusions

The thermal stability of high- $k$   $\text{ZrO}_2/\text{GaN}$  interface related to formation/annihilation of interfacial  $\text{GaO}_x$  layer between  $\text{ZrO}_2$  and GaN is studied. Deterioration of interface quality was observed for the samples subjected to annealing at temperatures higher than 500 °C. This is evidenced by increase in Ga-O bond to Ga-N bond ratio and the reduction of Ga-N binding energy. The results are further confirmed by HR-TEM. The findings provide important process guidelines for the use of ALD  $\text{ZrO}_2$  for GaN MISHEMTs.

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#### References

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