

# Investigation of the Interface Stability of the Metal/HfO<sub>2</sub>/AlN/InGaAs MOS Devices

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## Abstract

The stability of (Mo, Ni, Pd)/HfO<sub>2</sub> interfaces was studied in this paper. The oxidations at the Mo/HfO<sub>2</sub> and the Ni/HfO<sub>2</sub> interfaces were found to have no effect on the quality of HfO<sub>2</sub> film; however, the formation of the interfacial layer PdO<sub>x</sub> at the Pd/HfO<sub>2</sub> interface considerably increased the oxygen vacancies in the HfO<sub>2</sub> film. Low dissociation energy for the Pd-O bond was attributed to oxygen segregation, leading to the increase of mobile O<sup>2-</sup> and OH<sup>-</sup> ion densities during annealing. The results provide the guidance for choosing the proper metal electrode for the InGaAs based MOSFET.

## 1. Introduction

Hafnium-based oxides are recently considered as promising candidates as the high-k dielectric for III-V channel devices. The reactions between the metal electrode and the oxide layer may lead to the degeneration of the oxide layer. Yoshida et al.[1] recently reported that the reactions between the high-k material and InGaAs were due to the interaction at the metal/oxide interface. To suppress this interfacial interaction, the passivation of HfO<sub>2</sub>/InGaAs interface using AlN layer was reported.[2] Therefore, the mechanism suggested by Yoshida et al. should be complemented when a non-oxide layer is used to passivate the HfO<sub>2</sub>/InGaAs interface. In this study, the oxygen segregation at the (Mo, Ni, Pd)/HfO<sub>2</sub> interfaces of the HfO<sub>2</sub>/AlN/InGaAs MOSCAP is investigated. Mo was chosen due to its low work function applicable for NMOS InGaAs devices, while Ni and Pd were chosen because of their high work function suitable for PMOS InGaAs devices.

## 2. Experimental

The MOSCAP was fabricated on the 100-nm epitaxial In<sub>0.53</sub>Ga<sub>0.47</sub>As layer ( $5 \times 10^{17}/\text{cm}^3$  Si-doped n-type wafer). First, the wafer went through an HCl: H<sub>2</sub>O (3.8%) solution treatment for 2 minutes before it was loaded into the ALD chamber. Then, 0.8-nm AlN layer and 50 cycles HfO<sub>2</sub> were deposited on the InGaAs layer. After that, the samples were annealed in forming gas (FG) at 450 °C for 5 minutes using

rapid thermal annealing (RTA). Three types of metal including Mo, Ni, and Pd were studied as the gate metals on the HfO<sub>2</sub> layer. The gate metals were deposited through E-gun evaporation with the based pressure about  $3 \times 10^{-7}$  torrs. The AuGeNiAu was deposited on the backside of the wafer to form the ohmic contact. Finally, the MOSCAPs were annealed in three different ambiances including FG, nitrogen (N) and oxygen (O) at 350 °C for 30s using RTA for comparison.

Table I The area percentage (%) of O<sup>I</sup>, O<sup>II</sup>, and O<sup>III</sup> peaks of the clean HfO<sub>2</sub> surface, Mo/HfO<sub>2</sub> interface and Pd/HfO<sub>2</sub> interface.

O <sup>i</sup> /(O <sup>I</sup> +O <sup>II</sup> +O <sup>III</sup> )	Clean HfO <sub>2</sub>	Mo/HfO <sub>2</sub>	Pd/HfO <sub>2</sub>
O <sup>I</sup>	85.4	79.8	33.0
O <sup>II</sup>	8.5	9.5	41.7
O <sup>III</sup>	6.1	10.7	25.3

## 3. Result and discussion

Figure 1(a) shows the capacitance-voltage (C-V) hysteresis ( $\Delta V_{\text{FB}}$ ) of the (Mo, Ni, Pd)/HfO<sub>2</sub>/AlN/InGaAs MOSCAPs. The fact that the  $\Delta V_{\text{FB}}$  of the Pd MOSCAP is very large ( $\sim 1\text{V}$ ), while the  $\Delta V_{\text{FB}}$  of the Mo MOSCAP is very small ( $\sim 0.11\text{V}$ ) indicates that a large number of bulk oxide traps was created after Pd was deposited on HfO<sub>2</sub> and annealed in FG. Based on the thermodynamics, the  $\Delta C_{\text{acc}}$  of the Mo MOSCAP should be larger than the  $\Delta C_{\text{acc}}$  of the Pd MOSCAP because the Mo-O reaction (oxidation formation enthalpy  $\Delta H \sim -178\text{ kCal/mol}$ ) is more exothermal than the Pd-O reaction ( $\Delta H - 49.9\text{ kCal/mol}$ ). However, the opposite results were observed in Fig. 1(b), indicating that the oxidation at the Pd/HfO<sub>2</sub> interface is not the only reason for the oxide degeneration for the Pd/HfO<sub>2</sub>/AlN/InGaAs MOSCAP. Instead, an unstable interfacial layer (IL) PdO<sub>x</sub> at the Pd/HfO<sub>2</sub> interface should be attributed to the oxygen segregation at the Pd/HfO<sub>2</sub> gate stack. Fig. 1(c) and 1(d) show the leakage currents and the  $D_{\text{it}}$ , respectively, for the three MOSCAPs. The leakage currents of the three MOSCAPs at  $V_{\text{FB}} + 1\text{ (V)}$  were in the range of  $2 \times 10^{-8}\text{ A/cm}^2 \sim 6 \times 10^{-8}\text{ A/cm}^2$ , indicating that the HfO<sub>2</sub>/InGaAs interface was totally passivated by AlN IL layer. The low  $D_{\text{it}}$  values ( $\sim 4 \times 10^{12}\text{ cm}^{-2}\text{eV}^{-1}$  determined from Terman method) of

the Ni MOSCAP and the Mo MOSCAP, shown in Fig. 1(d), are in agreement with the previous reports.[2] Note that Terman method gives both slow and fast traps and provides a reliable value for  $D_{it}$  at midgap. Fig. 1 also shows that the PMA process has little effect on the electrical characteristics of the Ni MOSCAP; thus, Ni is a more suitable gate metal for the InGaAs based p-MOSFET.[2].

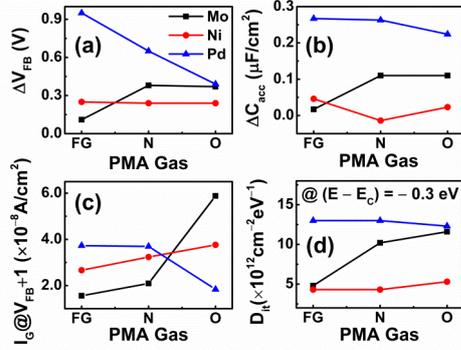


Fig. 1 The electrical characteristics of (Mo, Ni, Pd)/HfO<sub>2</sub>/AlN/InGaAs MOSCAPs after PMA in FG, N, and O ambient gases at 350 °C for 30s, (a) the hysteresis at  $V_{FB}$  of the 1 MHz C-V curves after PMA, (b) the  $C_{acc}$  variation of the MOSCAPs after PMA measured at +2 V and 1 MHz; the  $C_{acc}$  variation equals  $C_{acc}$  before PMA minus  $C_{acc}$  after PMA. (c) The leakage currents of the MOSCAPs at  $V_{FB} + 1$  (V) after PMA and (d) the  $D_{it}$  at  $E - E_C = -0.3$  eV from the conduction band edge of the MOSCAPs after PMA.

The dissociation energies ( $D_S$ ) of the metal-oxygen bonds for the metals used in this study are Mo-O bond (119.9 kCal/mol), Ni-O bond (87.42 kCal/mol), and Pd-O bond (53.87 kCal/mol). It can be seen that PdO<sub>x</sub> is easier to decompose during the PMA process compared to other oxides in this study following the equation:



The O<sup>2-</sup> ions can easily diffuse into the Pd layer, leading to the formation of a thick PdO<sub>x</sub> IL at the Pd/HfO<sub>2</sub> interface as shown in Fig. 2(a). This IL was not found at the Ni/HfO<sub>2</sub> interface as shown in Fig. 2(b). Fig. 2(c) shows the Energy-dispersive X-ray spectroscopy (EDS) spot profile of the PdO<sub>x</sub> IL. An oxygen percent of ~ 24 % was found in the PdO<sub>x</sub> layer, indicating a significant internal diffusion of the O<sup>2-</sup> ions from the HfO<sub>2</sub> layer into the Pd layer. Fig. 2(d) and (e) show the scanning electron microscope (SEM) images of the Pd MOSCAP and the Ni MOSCAP, respectively, after RTA in FG at 400 °C for 5 minutes. Some bubbles occurred at the surface of the Pd MOSCAP, indicating that the Pd MOSCAP was destroyed due to the diffusion of O<sup>2-</sup> ions from the HfO<sub>2</sub> layer into the Pd layer.

The high percentage of XPS O<sup>I</sup> peak as shown in Fig. 3 and Table 1 for clean HfO<sub>2</sub> surface refers the high quality of ALD HfO<sub>2</sub> film. The O 1s peak of the Mo/HfO<sub>2</sub> interface is same as the O 1s peak of the clean HfO<sub>2</sub> surface, indicating that the Mo/HfO<sub>2</sub> interface is quite stable due to the large dissociation energy of the Mo-O bond. For the Pd/HfO<sub>2</sub> interface, it was found that the O<sup>I</sup> intensity decreased from 85.4 % for the clean HfO<sub>2</sub> surface to 33 % for the Pd/HfO<sub>2</sub> interface as shown in Table 1. The considerable increase of the intensities of the O<sup>II</sup> and O<sup>III</sup> peaks at the Pd/HfO<sub>2</sub> interface indicates a significant increase of the

oxygen vacancies in HfO<sub>2</sub>, due to the diffusion of O<sup>2-</sup> ions from the HfO<sub>2</sub> layer to the Pd layer.

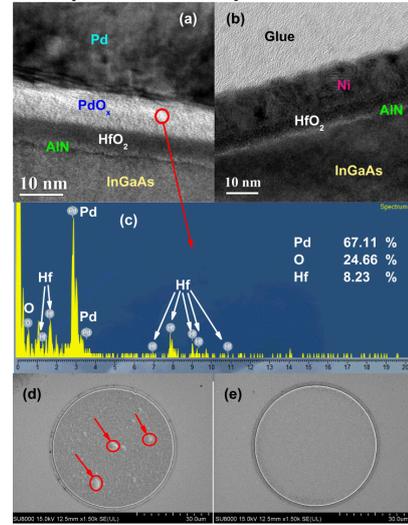


Fig. 2 (a) and (b) Transmission electron microscopy (TEM) cross sections of Pd/HfO<sub>2</sub>/AlN/InGaAs and Ni/HfO<sub>2</sub>/AlN/InGaAs structures, respectively, (c) the EDS spot profile of the interfacial layer PdO<sub>x</sub> at the Pd/HfO<sub>2</sub> interface, (d) and (e) SEM images of the patterns of Pd/HfO<sub>2</sub> and Ni/HfO<sub>2</sub> MOSCAPs, respectively, after RTA in FG at 400 °C for 5 minutes.

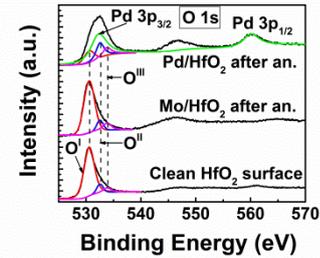


Fig. 3 XPS spectra of O 1s peaks of clean HfO<sub>2</sub> surface, Mo/HfO<sub>2</sub> and Pd/HfO<sub>2</sub> interfaces after PMA in FG at 350 °C for 30s.

### 3. Conclusion

The oxidations at the Mo/HfO<sub>2</sub> and the Ni/HfO<sub>2</sub> interfaces were found to have no effect on the quality of the gate stack; however, the formation of the interfacial layer PdO<sub>x</sub> at the Pd/HfO<sub>2</sub> interface considerably increased the oxygen vacancies in the HfO<sub>2</sub> film. The unstable PdO<sub>x</sub> layer contains the O<sup>2-</sup> and OH<sup>-</sup> ions, which are mobile during annealing.

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