# A Study of Interfacial Layer Quality and Thermal Stability of HfO<sub>2</sub>/SiGe Gate Stack by Using NH<sub>3</sub> Plasma Treatment

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#### **Abstract**

We employed *in-situ* NH<sub>3</sub> plasma treatment to achieve high quality HfO<sub>2</sub>/p-SiGe interface with the interface trap density (D<sub>it</sub>) value down to  $2.3\times10^{11}$  eV  $^{-1}\text{cm}^{-2}$ . X-ray photoelectron spectra of the interfacial layer showed that the SiON layer was formed and no GeO<sub>x</sub> existed in the interfacial layer. Moreover, post high- $\kappa$  NH<sub>3</sub> plasma treatment enhanced the thermal stability and interfacial quality of SiGe MOS capacitors by preventing the diffusion of O atoms into SiGe substrate.

#### 1. Introduction

SiGe alloy is shown to be a promising material for p-type MOSFETs due to its high carrier mobility and easy integration with the conventional Si technology. However, an undesired  $\text{GeO}_x$  formation and the emergence of Ge pile-up layer in high- $\kappa$ /SiGe interface result in high density of interface traps (D<sub>it</sub>) and low thermal stability. Nitridation is an effective method to passivate the SiGe surface before high- $\kappa$  deposition. However, previous studies have focused only on improving the interfacial quality of SiGe with EOT > 2 nm [1-2].

In this study, we demonstrated  $NH_3$  plasma treatment to improve the interfacial layer quality of SiGe MOS capacitor with EOT < 2 nm. Moreover, the interfacial quality and thermal stability of SiGe MOS capacitor can be improved by post high- $\kappa$   $NH_3$  plasma treatment. Finally, we present XPS analysis to realize the material interaction as various processes.

# 2. Experiment

170-nm-thick Si<sub>0.8</sub>Ge<sub>0.2</sub> substrates grown on (100) p-type Si wafer by LPCVD were used in the experiments. **Figs. 1(a) and (b)** illustrate the detailed process flow of the TiN/HfO<sub>2</sub>/Si<sub>0.8</sub>Ge<sub>0.2</sub>/p-Si MOS capacitors and schematic structure of the SiGe MOS capacitor. First, the SiGe substrates were cleaned by using diluted HF and DI water for removing native oxides. Then, the *in-situ* passivation by NH<sub>3</sub> plasma treatment was conducted in ALD chamber prior to the high-κ layer deposition. Subsequently, the 60-cycle HfO<sub>2</sub> was deposited directly by thermal ALD at 250 °C. For the gate electrode, TiN was sputtered and patterned by using lift-off process. The backside contact was formed by Ti/Al deposition. After fabrication, post metallization annealing (PMA) was carried out at 300/400/500 °C for 1 min in N<sub>2</sub>

ambient. For the case of EOT scaling, the deposition cycles of  $HfO_2$  were reduced down to 30 cycles, and the post high- $\kappa$   $NH_3$  plasma treatment was used.

### 3. Results and Discussion

**Figures 2(a) and (b)** show the multi-frequency C-V characteristics of the SiGe MOS capacitors with and without NH<sub>3</sub> plasma treatment with 60-cycle HfO<sub>2</sub> deposition. After NH<sub>3</sub> plasma treatment was used,  $D_{it}$  value extracted by conductance method was reduced from  $1.2\times10^{12}$  to  $2.3\times10^{11}$  eV<sup>-1</sup>cm<sup>-2</sup>. Moreover, the frequency dispersion in accumulation was improved from 7.9 to 5.0 % with NH<sub>3</sub> plasma treatment.

Then, we examined the XPS spectra of Si 2p and Ge 3d core levels to analyze the bonding state of the SiGe surface with and without NH<sub>3</sub> plasma treatment shown in **Fig. 3**. With NH<sub>3</sub> plasma treatment, the binding energy of Si-O bond for the Si 2p peaks shifted from 102.7 to 102.5 eV, which is consistent with the formation of SiON. In addition, the differences in the intensity of Si-O were attributed to IL thickness, which was proved by C-V characteristics shown in **Fig. 2**. Surprisingly, the Ge 3d peaks showed no GeO<sub>x</sub> component after NH<sub>3</sub> plasma treatment. Conversely, the undesired GeO<sub>x</sub> was formed in the case without NH<sub>3</sub> plasma treatment, which would result in the degradation of HfO<sub>2</sub>/SiGe interface quality.

Figure 4 shows the multi-frequency C-V characteristics of the TiN/HfO2 (30 cycles)/IL/SiGe for EOT scaling (from 1.6 to 1.3 nm). We also demonstrated the same process on Si substrates for comparison. When the temperature increased from 300 to 500 °C, the hump in the depletion region became severe due to the extra trap states generated, and the degradation of D<sub>it</sub> value was shown in **Fig. 5**. Similarly, the Si MOS capacitors also followed this trend. To determine the changes in interface quality, XPS was performed on sample with 1 nm thick HfO2 with different thermal annealing condition. Fig. 6 shows the corresponding XPS spectra of the samples. The peak of Hf 4f core level of SiON/HfO2 sample was shifted to lower binding energy, indicating that the O atoms tended to escape from HfO<sub>2</sub> layer after 500 °C thermal annealing. In addition, the Si 2p core level showed more Si-O bonds were formed. Therefore, we speculated that the escaped O atoms would diffuse through the SiON layer to react with SiGe substrate and degrade the interfacial quality of SiGe MOS capacitors. The schematic illustration of speculated behavior for O atoms was shown in **Fig. 7**. In order to improve the SiGe MOS capacitors, we applied post high- $\kappa$  NH<sub>3</sub> plasma treatment to the HfO<sub>2</sub> layer. With this treatment, the D<sub>it</sub> value decreased at three PMA temperature, and the less degradation as increasing the temperature from 300 to 400 °C was found as shown in **Fig. 5**. This indicates that post high- $\kappa$  NH<sub>3</sub> plasma treatment on HfO<sub>2</sub> is a promising way to improve the interfacial layer quality and thermal stability of SiGe MOS capacitors.

## 4. Conclusions

In this study, we demonstrated  $NH_3$  plasma treatment to improve the interfacial layer quality of SiGe MOS capacitor. We found that post high- $\kappa$   $NH_3$  treatment can prevent O diffuse to SiGe substrate, result in improved  $D_{it}$  and thermal stability.  $NH_3$  plasma treatment is a promising process to enhance the SiGe MOS capacitor quality and enable the fabrication of SiGe MOSFETs with thinner EOT.

# Acknowledgement

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#### Reference

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- [2] J.-H. Han et al., J. Appl. Phys. 120, 125707 (2016).

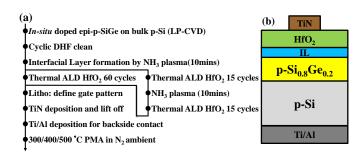


Fig. 1 (a) Process flow and (b) schematic structure of the SiGe MOS capacitor.

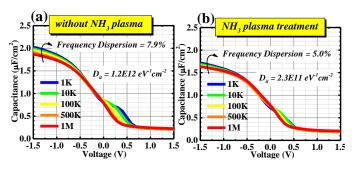


Fig. 2 C-V characteristics of the TiN/HfO $_2$  (60 cycles)/IL/SiGe MOS capacitors (a) without and (b) with NH $_3$  plasma treatment with PMA at 400 °C.  $D_{it}$  value was extracted by conductance method and frequency dispersion was calculated by ( $C_{1K}$ - $C_{1M}$ )/  $C_{1K}$  in accumulation.

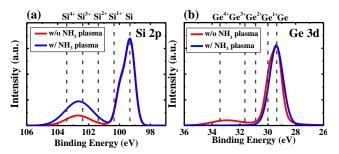


Fig. 3 Fitted XPS spectra of (a) Si 2p and (b) Ge 3d of the SiGe substrate with and without NH<sub>3</sub> plasma.

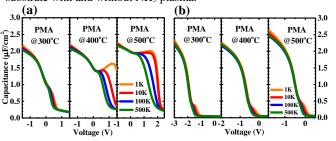


Fig. 4 C-V characteristics of the (a) SiGe and (b) Si MOS capacitors using 30-cycle HfO<sub>2</sub> for EOT scaling in different PMA temperature.

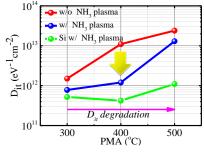


Fig. 5 Extracted  $D_{it}$  value of the SiGe MOS capacitors in different PMA temperature w/ and w/o post high- $\kappa$  NH<sub>3</sub> plasma treatment.

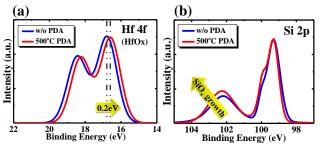


Fig. 6 Fitted XPS spectra of (a) Hf 4f and (b) Si 2p of the HfO2 (1 nm)/SiGe samples w/ and w/o  $500\,^{\circ}$ C thermal annealing.



Fig. 7 Illustrative cartoon of the O diffusion behavior after PMA.