# Analysis of Deep Traps at Al<sub>2</sub>O<sub>3</sub>/n-GaN Interface using Photo-assisted C-V Measurement

Kazuya Yuge<sup>1, 2</sup>, Toshihide Nabatame<sup>2</sup>, Yoshihiro Irokawa<sup>2</sup>, Akihiko Ohi<sup>2</sup>, Naoki Ikeda<sup>2</sup>, Akira Uedono<sup>3</sup>, Liwen Sang<sup>2</sup>, Yasuo Koide<sup>2</sup>, and Tomoji Ohishi<sup>1</sup>

Shibaura Institute of Technology
3-7-5, Toyosu, Koto-ku, Tokyo 135-8548, Japan
Phone: +81-29-851-3354 E-mail: YUGE.Kazuya@nims.go.jp
National Institute for Materials Science
1-1, Namiki, Tsukuba, Ibaraki 305-0044, Japan
University of Tsukuba
1-1-1, Tennodai, Tsukuba, Ibaraki 305-0044, Japan

#### **Abstract**

We discussed the deep traps at Al<sub>2</sub>O<sub>3</sub>/n-GaN interface from  $V_{\rm fb}$  shift after photo-irradiation (hv=3.3~eV) in depletion. The  $V_{\rm fb}$  of all Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN capacitors shifted toward negative direction after photo-irradiation. The negative  $V_{\rm fb}$  shift is dominantly due to the deep traps excited by photo and the estimated densities of the deep traps were  $1.5\sim0.67~\times~10^{12}~cm^{-2}$  at PDA  $600\sim900~\rm{^{\circ}C}$ .

#### 1. Introduction

GaN-based vertical MOSFETs on free-standing GaN substrates have been widely investigated for next-generation GaN power devices [1]. To reduce the leakage current, MOS structures with  $Al_2O_3$  and  $SiO_2$  insulators were employed. To understand characteristics of insulator/GaN interface, the flatband voltage ( $V_{tb}$ ) shift,  $V_{tb}$  hysteresis and frequency dispersion were examined using capacitance-voltage (C-V) measurements. Many reports pointed out the evaluation of interface state density ( $D_{it}$ ) in the energy levels close to the conduction band edge ( $E_C$ ) using the conductance and the Terman methods [2, 3]. To evaluate  $D_{it}$  in the energy levels near midgap for  $Al_2O_3/AlGaN/GaN$  power device, photo-assisted C-V measurement was employed [4]. However, the deep traps in the deep energy levels near the valence band edge ( $E_V$  = 3.4 eV) of  $Al_2O_3/n$ -GaN has not been extensively studied.

In this study, we examine  $V_{fb}$  change of  $Pt/Al_2O_3/n$ -GaN MOS capacitors using photo-assisted C-V measurement and discuss the deep traps at  $Al_2O_3/n$ -GaN interface.

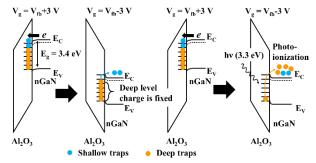
#### 2. Experimental

Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN MOS capacitors were fabricated as follows. A 5-µm-thick Si-doped GaN epilayer (2 ×  $10^{16}$  cm<sup>-3</sup>) on freestanding n<sup>+</sup>-GaN(0001) (1.3 ×  $10^{18}$  cm<sup>-3</sup>) was used as substrate. After cleaning the surface of the substrate in the solution of a sulfuric acid peroxide mixture (H<sub>2</sub>O<sub>2</sub>:H<sub>2</sub>SO<sub>4</sub> = 1:1) for 5 min, a 25-nm-thick Al<sub>2</sub>O<sub>3</sub> film was deposited by ALD at 300 °C using a TMA precursor and H<sub>2</sub>O gas. Post-deposition annealing (PDA) was performed at 600 - 900 °C in N<sub>2</sub> atmosphere. Finally, 100-nm-thick Pt gate electrodes were deposited on the Al<sub>2</sub>O<sub>3</sub> film through a shadow mask and Pt(100 nm)/Ti(20 nm) ohmic contacts were deposited on the backside of n<sup>+</sup>-GaN substrate.

Fig. 1 shows schematic illustrations of band diagram for

Al<sub>2</sub>O<sub>3</sub>/n-GaN gate stack during (a) typical C-V measurement in darkness and (b) photo-assisted C-V measurement. A semiconductor laser (hv = 3.3 eV) was used as the light source. In **Fig. 1 (a)**, the gate bias was swept from accumulation ( $V_{fb}$ +3 V) to depletion ( $V_{fb}$ -3 V) (Initial) and kept for 60 s in darkness to examine shallow traps in the energy levels near  $E_C$ . Next, we restarted the C-V sweeping toward accumulation ( $V_{fb}$ +3V) (Darkness). On the other hand, in **Fig. 1 (b)**, after sweeping from accumulation to depletion, light was irradiated on the capacitor for 60 s to examine the deep traps which was excited by photo-irradiation in wider energy levels ( $E_C \sim E_V$ ). After switching off the light, we restarted the C-V sweeping toward accumulation in darkness (hv).

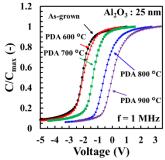
#### (a) C-V measurement in darkness (b) Photo-assisted C-V measurement



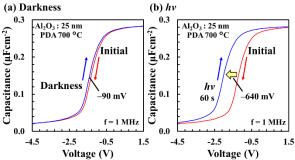
**Fig. 1** Schematic illustrations of band diagram for Al<sub>2</sub>O<sub>3</sub>/n-GaN gate stack during (a) typical *C-V* measurement in darkness and (b) photo-assisted *C-V* measurement.

#### 3. Results and Discussion

**Fig. 2** shows *C-V* characteristics of Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN MOS capacitors. As-grown capacitor shows a very similar *C-V* behavior of the 600 °C capacitor. The *C-V* curves shifted in the positive direction as PDA temperature increased from 600 to 900 °C. **Fig. 3** shows *C-V* characteristics of the 700 °C capacitor under darkness and photo-irradiation conditions. The *C-V* curve of Darkness slightly shifted toward negative direction (-90 mV) (**Fig. 3 (a)**). The *C-V* shift is dominantly due to the shallow traps of short time constant near E<sub>C</sub>. On the other hand, a large negative *C-V* shift (-640 mV) appeared after photo-irradiation (**Fig. 3 (b)**). Here, the deep traps of long time constant must be excited from the interface state in the wider energy levels by the photo-irradiation with the higher energy like the band diagram in **Fig. 1 (b)**.

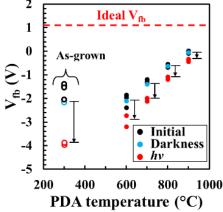


**Fig. 2** *C-V* characteristics of Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN MOS capacitors. *C-V* curves shifted toward positive direction as PDA temperature increases from  $600 \sim 900$  °C.



**Fig. 3** C-V characteristics of the 700 °C capacitor under (a) darkness and (b) photo-irradiation conditions. A small negative shift of C-V curve of Darkness was observed because of shallow traps near Ec. A large negative shift of C-V curve of hv occurred due to deep traps in wider energy (Ec ~ Ev).

**Fig. 4** shows  $V_{fb}$  changes of the Initial, Darkness and hv as a function of PDA temperature.  $V_{fb}$  of Initial ( $V_{fb \ Initial}$ ) shifted toward ideal  $V_{fb}$  as PDA temperature increases, indicating that characteristics at  $Al_2O_3/n$ -GaN interface was significantly improved. The effect of PDA treatment on electrical properties of the  $Al_2O_3/n$ -GaN MOS capacitors were reported [2, 5]. The difference between the  $V_{fb}$  of Darkness ( $V_{fb}$  Darkness) and the  $V_{fb \ Initial}$  decreases from 0.1 V to 0.01 V as PDA temperature increases from 600 to over 700 °C. This suggests that the number of shallow traps near  $E_C$  becomes small because of improvement of  $Al_2O_3/n$ -GaN interface.



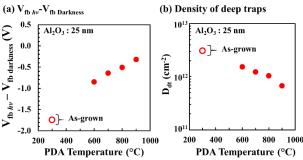
**Fig. 4**  $V_{fb}$  changes of Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN MOS capacitors under several measurements (Initial, Darkness, and hv). The  $V_{fb}$  of hv shifted significantly toward negative direction compared to those of  $V_{fb}$  of Darkness in all capacitors.

Note that  $V_{fb}$  of hv ( $V_{fb hv}$ ) shifted significantly toward negative direction compared to those of  $V_{fb Darkness}$  in all capacitors.

**Fig. 5 (a)** shows the difference between the  $V_{fb\ h\nu}$  and  $V_{fb\ Darkness}$  as a function of PDA temperature. As-grown capacitor exhibited a large negative  $V_{fb}$  shift with -1.7 V. The negative  $V_{fb}$  shift decreases gradually with increasing PDA temperature. The negative  $V_{fb}$  shift is thought to be dominantly due to the deep traps in the deep energy levels near  $E_V$  because there are several interface states around  $E_V$  [6]. Here, we estimated density of deep traps according to equation (1),

$$D_{dt} = \frac{C_{ox}(V_{fb \, hv} - V_{fb \, Darkness})}{q}, \qquad (1)$$

which  $D_{dt}$  is density of deep traps,  $C_{ox}$  is oxide capacitance, and q is elementary charge, respectively. As shown in **Fig. 5** (b), as-grown capacitor shows a large  $D_{dt}$  of  $3.1 \times 10^{12}$  cm<sup>-2</sup>, suggesting that the initial  $Al_2O_3$  growth resulted in the formation of electrical defects on the surface of the n-GaN. Although the  $D_{dt}$  decreases as PDA temperature increases, a large density of  $0.67 \times 10^{12}$  cm<sup>-2</sup> remains even after PDA 900 °C. We believe that the large number of deep traps in deep energy level must be related to device characteristics of mobility and threshold voltage.



**Fig. 5** (a) The difference between the  $V_{fb\ h\nu}$  and  $V_{fb\ Darkness}$  and (b) estimated density of deep traps as a function of PDA temperature.  $V_{fb\ h\nu}$  -  $V_{fb\ Darkness}$  decreases gradually with increasing PDA temperature. The estimated the density of deep traps were  $1.5 \sim 0.67 \times 10^{12}$  cm<sup>-2</sup> at PDA  $600 \sim 900$  °C.

### 3. Conclusions

We studied  $V_{fb}$  shift due to the deep traps at  $Al_2O_3/n$ -GaN interface for Pt/Al<sub>2</sub>O<sub>3</sub>/n-GaN capacitors using photo-assisted C-V measurement. Negative  $V_{fb}$  shift was observed in all capacitors after photo-irradiation compared to those of typical C-V measurement in darkness. The negative  $V_{fb}$  shift due to the deep traps exhibited -0.32 V and the  $D_{dt}$  was estimated 0.67  $\times$   $10^{12}$  cm<sup>-2</sup> at PDA 900 °C.

#### Acknowledgements

This work was supported by the MEXT GaN R&D Project.

## References

- [1] T. Oka et al., Appl. Phys. Express 8 (2015) 054101.
- [2] T. Marron et al., Phys. Status Solidi C 9 (2012) 907.
- [3] N. Taoka et al., Jpn. J. Appl. Phys. 57 (2018) 01AD04.
- [4] C. Mizue et al., Jpn. J. Appl. Phys. 50 (2011) 021001.
- [5] H. -S. Kang et al., J. Phys. D 46 (2013) 155101.
- [6] T. Tanaka et al., Thin Solid Films 557 (2014) 207.