

# Investigation of NBIS Degradation Mechanism in Oxide TFT Assisted by Charge Trap Phenomena

**Shunsuke Omae<sup>1</sup>, Takanori Takahashi<sup>1</sup>, Mutsunori Uenuma<sup>1</sup>,  
Yukiharu Uraoka<sup>1</sup>**

uenuma@ms.naist.jp

<sup>1</sup>Division of Materials Science, Graduate School of Science and Technology,  
Nara Institute of Science and Technology, Japan

Keywords: NBIS degradation, Light irradiation, IGZO-TFT, Charge trap layer (CTL)

## ABSTRACT

*IGZO-TFT with CTL was designed to understand the degradation mechanism of NBIS. The TFT showed stable retention characteristics after applying negative  $V_g$  with light irradiation. It shows that positive charge is injected to CTL through the tunneling layer. It is suggested that holes are the possible origin of NBIS degradation.*

## 1 INTRODUCTION

In 2004, the first thin-film transistor (TFT) using an amorphous oxide semiconductor (AOS) as the channel layer was reported [1]. AOS materials, such as In-Ga-Zn-O (IGZO), are characterized by a low process temperature below 400°C, high-mobility over 10 cm<sup>2</sup>/Vs, large area uniformity, and high-transmittance to visible light. It has attracted much attention as a channel material for TFTs in flat panel displays (FPDs). The instabilities of threshold voltage ( $V_{th}$ ) under gate voltage ( $V_g$ ) stress and light irradiation such as positive bias stress, positive bias and temperature stress, constant current stress, and negative bias and light illumination stress (NBIS) are issues. The  $V_{th}$  shift due to NBIS degradation is the most serious degradation mode for TFTs in liquid crystal displays. The reason is that most of their operating time is spent with negative  $V_g$  application, and they are exposed to light irradiation from the backlight. Generally,  $V_{th}$  in AOS-TFT is stable against negative bias stress [2]. On the other hand, it is known that  $V_{th}$  shifts in negative direction when NBIS is applied.

To solve this problem, degradation phenomena induced by NBIS, and physical models are discussed. Several models of NBIS degradation have been reported including the trapping of holes generated by light irradiation to the interface between channel and gate insulator [2], positively charged oxygen defects [3], metastable O-O bond [4], and bistable hydrogen [5]. Elucidating the degradation mechanism of NBIS is an important research because it will directly lead to the improvement of reliability and performance of AOS-based displays.

In this work, we focused on use of charge-trap memory structure to elucidate the degradation mechanism of NBIS in oxide TFTs. The charge-trap memory has a structure in which a charge trap layer (CTL) is inserted between the

blocking layer and the tunnel layer (TL). Erase and program operations are carried out by carrier tunneling through the TL. Electrons and holes can easily pass through the TL and inject to the CTL. Therefore, we consider that charge-trap memory structure can be separated from oxygen defects, oxygen and hydrogen atoms, which are candidates for the cause of NBIS.

Charge-trap memories using oxide semiconductor channels demonstrated electrical program operations with positive bias to control-gate by electron injection into charge trap layer such as SiN<sub>x</sub>; however, they cannot be erased electrically by negative bias to control-gate [6]. The poor erase operation is attributed to the physical property that AOS has difficulty in generating holes. To achieve erase operation, a negative bias application with light irradiation is essential method. This erase operation is described by photo-induced hole generation from localized defect in channel layer and its hole injection into the CTL [6]. Remarkably, the erase condition in charge-trap memories is almost same as NBIS environment in TFT for display. Thus, we consider that investigation of the erase characteristics under light irradiation of oxide TFT with CTL can contribute understanding origin of positive charge and mechanism of negative  $V_{th}$  shifts under NBIS. In this work, we describe an interesting method assisted by charge-trap phenomena in gate stack for degradation analysis of NBIS for oxide TFTs.

## 2 Experiment

### 2.1 MOS capacitor

At first, we measured the charge-trap properties of HfO<sub>2</sub> using metal-oxide-semiconductor (MOS) capacitor. For the sample fabrication, 30-nm-thick HfO<sub>2</sub> was deposited on a Si substrate (p-type) with 3.5-nm-thick thermally oxidized-SiO<sub>2</sub> by RF magnetron sputtering. 20-nm-thick SiO<sub>2</sub> was deposited by plasma-enhanced chemical vapor deposition (PECVD) with tetraethoxysilane (TEOS) as a TL. Al thin films were used as electrodes on both top and bottom sides. As a comparison, we also fabricated a sample with only TEOS-SiO<sub>2</sub> (30 nm) deposited on a Si substrate (inset of Fig.1).

## 2.2 TFT

In this work, we used amorphous IGZO (a-IGZO) as a channel and fabricated TFTs with TL-SiO<sub>2</sub> and CTL-HfO<sub>2</sub> structures as shown in Fig. 2. The 30-nm-thick HfO<sub>2</sub> was deposited on a Si substrate with 20-nm-thick thermally oxidized-SiO<sub>2</sub> by RF magnetron sputtering. 5-nm-thick SiO<sub>2</sub> for the TL was deposited by PECVD. In addition, 70-nm-thick a-IGZO (In:Ga:Zn=2:2:1 at%) was deposited as the channel by RF magnetron sputtering. Pt/Mo stacked film was used as source/drain electrodes. The device structure was formed by photolithography, wet-etching, and lift-off methods. IGZO-TFTs with TEOS-SiO<sub>2</sub> (5 nm) / thermally oxidized-SiO<sub>2</sub> (20 nm) gate insulator without CTL was also fabricated as a reference. For the light irradiation, we used a xenon light source and a monochromator, and the light intensity was adjusted to 90  $\mu\text{W}/\text{cm}^2$  in the wavelength range of 400 to 600 nm.

## 3 RESULTS AND DISCUSSION

### 3.1 C-V characteristic of MOS capacitor

The capacitance-voltage (C-V) characteristics of each capacitor are shown in Fig. 1. For hysteresis measurement, the  $V_g$  was swept from the forward direction ( $-V_g$  to  $+V_g$ ) to the reverse direction ( $+V_g$  to  $-V_g$ ). It was confirmed that the C-V characteristics of the capacitor without HfO<sub>2</sub> layer did not have hysteresis. On the other hand, a hysteresis width of 0.57 V was confirmed when HfO<sub>2</sub> was inserted as CTL. Therefore, it was confirmed that the HfO<sub>2</sub> worked as a charge-trapping layer [7].

### 3.2 Charge trap property in IGZO TFT under negative-bias illumination

TFT with the CTL structure as shown in Fig. 2 was fabricated, and their program/erase characteristics were evaluated. For program,  $V_g$  of +10 V was applied for 1 s. For erase,  $V_g$  of -10 V was applied. For light irradiation, light at 400 nm (3.1 eV) was used.

Figure 3 (a) shows the transfer characteristics of before and after program and erase operations. From Fig. 3,  $V_{th}$  was shifted in the positive direction compared to the initial value. This result suggests that the electrons were injected into CTL-HfO<sub>2</sub> when  $V_g$  of +10 V was applied. However, the  $V_{th}$  did not return to the initial value even erase time was increased from 1s to 50 s (w/o light irradiation), as shown in Fig. 3. This result indicates that injected electrons in CTL-HfO<sub>2</sub> are not released, or holes are not injected from the IGZO channel into CTL-HfO<sub>2</sub> under the negative  $V_g$ . Similar behavior has been reported in other reports [8-11].

In contrast, a light irradiation has been reported to perform erase operation of IGZO-based charge trap memory [6]. In this research, 400 nm light was used to irradiate the IGZO memory device, and at the same time,  $V_g$  of -10 V was applied for 50 s. Then,  $V_{th}$  shifted from the programmed state to the negative direction (Fig. 3). The  $V_{th}$  was on the negative  $V_g$  side compared to the initial value. If the injected electrons in CLT-HfO<sub>2</sub> are released

by light, the  $V_{th}$  should return to the initial value. However,  $V_{th}$  was more negative  $V_g$  side than the initial  $V_{th}$ , there is a possibility that positive charges such as holes were injected into CTL-HfO<sub>2</sub>. Figure 4 shows the dependence of photon energy on the  $V_{th}$  shift of IGZO-TFT with CTL and without CTL. For the TFT with CTL, the  $V_{th}$  shift increases at higher photon energy compared to TFT without CTL.

AOS-TFTs tend to show  $V_{th}$  shift in negative direction under NBIS from the initial value. The NBIS degradation is attributed to the localized defect in the band gap of IGZO and considered to be caused by the high-density localized defect above the valence band [12]. According to the proposed model [13], light irradiation excited electrons from this defect and generates holes. These holes are trapped at the interface between channel and gate insulator by the negative  $V_g$ . In our case, the holes generated by photo-irradiation in a-IGZO diffuse to the interface between a-IGZO and SiO<sub>2</sub> by negative  $V_g$ . The holes pass through the TL and can be injected into CTL-HfO<sub>2</sub>. In other words, the negative shift of  $V_{th}$  caused by erasure operation can be explained by hole injection into CTL-HfO<sub>2</sub>.

### 3.3 Comparison of charge retention characteristics and discussion of NBIS degradation mechanism

From section 3.2, the program and the erase operation using light were confirmed. If the negative  $V_{th}$  shift is attributed to injected hole into CTL,  $V_{th}$  is expected to remain unchanged over time without bias and to maintain a memory window between the programmed and erased states. This means non-volatility in memory. Non-volatility can be confirmed by the retention characteristics. In other words, the injected positive charge into the CTL is considered to be a hole, when sufficient retention property at erased state are obtained.

Figure 5 (a) and (b) shows the retention characteristics for TFT with and without CTL, respectively. After applying  $V_g$  of +10 V for 1 s as program, the drain current ( $I_{ds}$ ) was measured under the condition of  $V_d = 0.1$  V with  $V_g = 0$  V. For the evaluation of erase characteristics,  $V_g$  of -10 V was applied for 50 s with 400 nm (3.1 eV) light irradiation, and then the  $I_{ds}$  value was read out under the same conditions of  $V_d = 0.1$  V and  $V_g = 0$  V. The bias condition on the erase operation corresponds to the NBIS environment.

The  $I_{ds}$  on the program side does not increase with time in both the structures with and without CTL, as shown in Fig. 5 (a) and (b). The TFT with CTL continues to hold positive charge because the  $I_{ds}$  on the erase side does not decrease rapidly. On the other hand, Fig. 5 (b) shows that for the TFT without CTL, the  $I_{ds}$  on the erase state decreases to an order of magnitude close to that of the program state in  $10^3$  s. These results show that positive charge is released in a relatively short time in TFT without CTL sample.

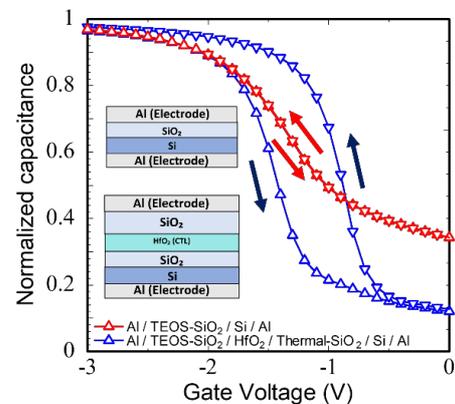
In the case of NBIS degradation in AOS-TFTs, it was reported that the trapped positive charge at the interface between channel and SiO<sub>2</sub> insulator is released in a short time, and the  $I_{ds}$  value is decreased [14]. Therefore, the difference in the positive charge retention property of the TFT with CTL suggests that the positive charge is injected into the CTL-HfO<sub>2</sub> through the TL by applying a negative  $V_g$  under light irradiation. If the positive charge is not a hole but hydrogen or oxygen-related defects, it is difficult to explain the injection of positive charge into CTL through the TL. Based on these results, we consider that the hole generation from the localized level in the IGZO is the possible origin of the NBIS degradation.

#### 4 Conclusions

In this research, we designed an IGZO-TFT with CTL to understand the cause of degradation mechanism of NBIS. The IGZO-TFT with CTL showed longer retention time than the TFT without CTL after applying  $V_g$  with light irradiation. From the above results, it can be concluded that positive charge is injected into the CTL. Therefore, it is suggested that hole generation is the possible origin of the NBIS degradation.

#### REFERENCES

- [1] K. Nomura, H. Ohta, A. Takagi, T. Kamiya, M. Hirano, and H. Hosono, "Room-temperature fabrication of transparent flexible thin-film transistors using amorphous oxide semiconductors." *Nature*, Vol.432, No.7016, pp.488-492 (2004).
- [2] K. Ide, K. Nomura, H. Hosono, and T. Kamiya, "Electronic defects in amorphous oxide semiconductors: A review." *Phys. Status Solidi A*, vol.216, No.5, 1800372 (2019)
- [3] B. Ryu, H. K. Noh, E. A. Choi, and K. J. Chang "O-vacancy as the origin of negative bias illumination stress instability in amorphous In-Ga-Zn-O thin film transistors." *Appl. Phys. Lett.*, Vol.97, No.2, 022108 (2010).
- [4] H. H. Nahm, Y. S. Kim, D. H. Kim, and H. Dae, "Instability of amorphous oxide semiconductors via carrier - mediated structural transition between disorder and peroxide state." *Phys. Status Solidi B*, Vol.249, No.6, pp.1277-1281 (2012).
- [5] Y. Kang, B. D. Ahn, J. H. Song, Y. G. Mo, H. H. Nahm, S. Han, and J. K. Jeong, "Hydrogen Bistability as the Origin of Photo - Bias - Thermal Instabilities in Amorphous Oxide Semiconductors." *Adv. Electron. Mater.*, Vol.1, No.7, 1400006 (2015).
- [6] J. S. Kim, S. Kang, Y. Jang, Y. Lee, K. Kim, W. Kim, W. Lee, and C. S. Hwang, "Investigating the Reasons for the Difficult Erase Operation of a Charge - Trap Flash Memory Device with Amorphous Oxide Semiconductor Thin - Film Channel Layers." *Phys. Status Solidi Rapid Res Lett*, Vol. 15, No.2, 2000549 (2021).
- [7] Y. Y. Liu, and X. JIANG, "Physics of hole trapping process in high-k gate stacks: A direct simulation formalism for the whole interface system combining density-functional theory and Marcus theory." *IEEE Int. Electron Devices Meet.* pp.40.1 (2018)
- [8] S. Chen, X. M. Cui, S. J. Ding, Q. Q. Sun, T. Nyberg, S. L. Zhang, and W. Zhang, "Novel Zn-Doped Al<sub>2</sub>O<sub>3</sub> Charge Storage Medium for Light-Erasable In-Ga-Zn-O TFT Memory." *IEEE Electron Device Lett*, Vol.34, No.8, pp.1008-1010 (2013).
- [9] S. Chen, W. P. Zhang, X. M. Cui, S. J. Ding, Q. Q. Sun, and W. Zhang, "Monochromatic light-assisted erasing effects of In-Ga-Zn-O thin film transistor memory with Al<sub>2</sub>O<sub>3</sub>/Zn-doped Al<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> stacks." *Appl. Phys. Lett.*, Vol.104, No.10, 103504 (2014)
- [10] Y. Li, Y. Pei, R. Hu, Z. Chen, Y. Ni, J. Lin, Y. Chen, X. Zhang, Z. Shen, J. Liang, B. Fan, G. Wang, and H. Duan, "Charge trapping memory characteristics of amorphous-indium-gallium-zinc oxide thin-film transistors with defect-engineered alumina dielectric." *IEEE Trans Electron Devices*, Vol.62, No.4, pp.1184-1188 (2015).
- [11] D. D. Liu, J. Pei, L. Li, J. Huo, X. Wu, W. J. Liu, and S. J. Ding, "Multilevel memory and synaptic characteristics of a-IGZO thin-film transistor with atomic layer-deposited Al<sub>2</sub>O<sub>3</sub>/ZnO/Al<sub>2</sub>O<sub>3</sub> stack layers." *J. Mater. Res.*, Vol.35, No.7, pp.732-737 (2020)
- [12] M. Kimura, T. Nakanishi, K. Nomura, T. Kamiya, and H. Hosono, "Trap densities in amorphous-InGaZnO<sub>4</sub> thin-film transistors." *Appl. Phys. Lett.*, Vol.92, No.13, 133512 (2008).
- [13] K. Nomura, T. Kamiya, and H. Hosono, "Highly stable amorphous In-Ga-Zn-O thin-film transistors produced by eliminating deep subgap defects." *Appl. Phys. Lett.*, Vol.99, No.5, 053505 (2011).
- [14] D. H. Lee, K. Kawamura, K. Nomura, T. Kamiya, and H. Hosono, "Large photoresponse in amorphous In-Ga-Zn-O and origin of reversible and slow decay." *ECS Solid State Lett.*, Vol.13, No.9, H324. (2010).



**Fig. 1 C-V characteristics of MOS capacitors with and without CTL.**

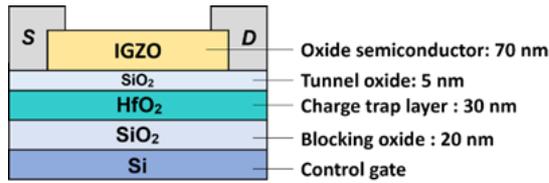


Fig. 2 Charge trap memory structure.

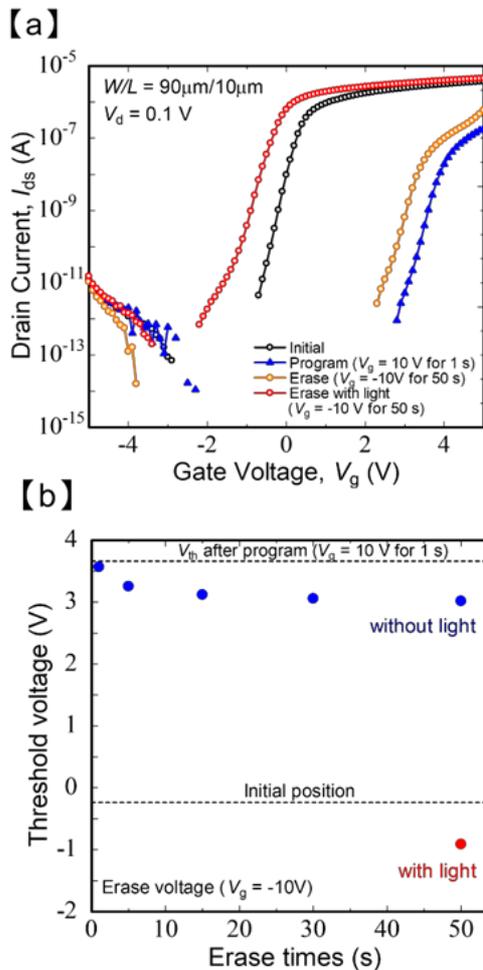


Fig. 3 (a) Transfer characteristics of the IGZO-TFT with CTL. (b)  $V_{th}$  shift of the IGZO-TFT with CTL.  $V_{th}$  was estimated from  $V_g$  at  $I_d = 1$  nA.

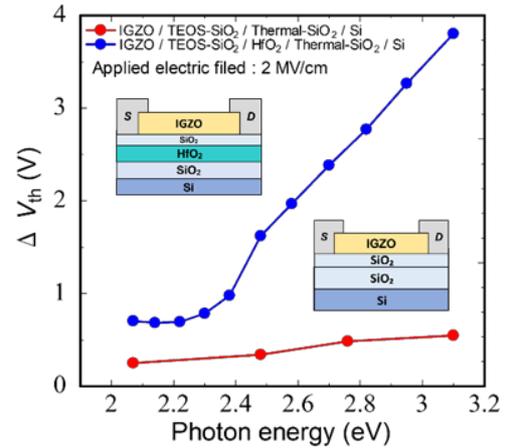


Fig. 4 Influence of photon energy at erase condition. The  $\Delta V_{th}$  was calculated from the difference of  $V_{th}$  between program and erase state.

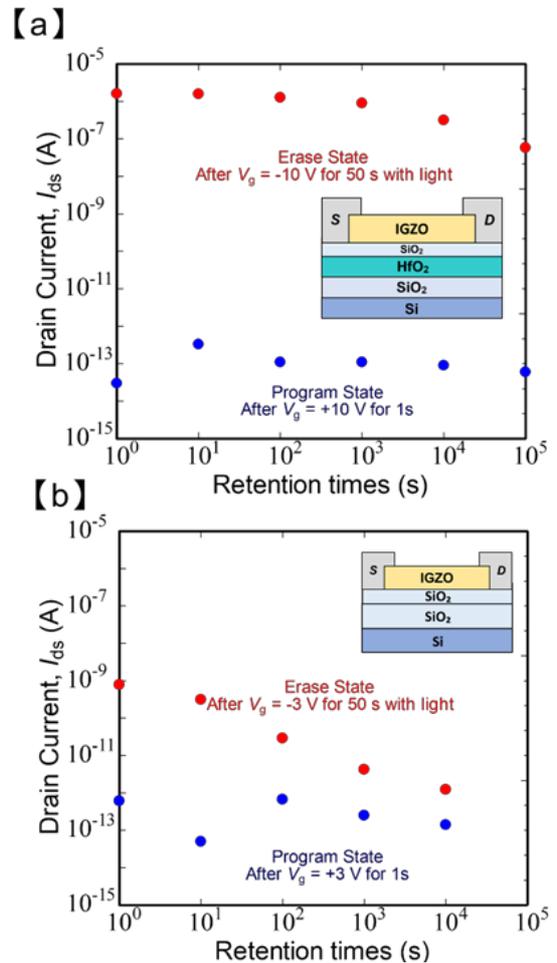


Fig. 5 Retention characteristics of the IGZO-TFT (a) with and (b) without CTL. The bias condition on the erase operation corresponds to the NBIS environment.