

Far-UVC to Red Nitride NanoLEDs

Xianhe Liu^{1,3}, Yuanpeng Wu³, Yakshita Malhotra³, Yi Sun³, Yong-Ho Ra¹, Renjie Wang¹, Matthew Stevenson², Seth Coe-Sullivan² and Zetian Mi^{1,2,3}

seth@nsnanotech.com

¹McGill University, Montreal, QC H3A 0G4, Canada

²NS Nanotech, Ann Arbor, MI 48105, USA

³University of Michigan, Ann Arbor, MI 48109, USA

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ABSTRACT

We demonstrate the use of Nitride semiconductors as the light emitting layer in a series of devices, from Visible emitting LEDs, to far-UVC LEDs and novel light emitting devices.

1 Introduction

The display community has long been committed to creating the very best representations of information, from text to video to complete 3D light fields, so that our digital world can as close as possible reflect the real world, or even enhance it. The onset of COVID-19, as the disease spread throughout the world, caused massive disruptions to global supply chains, and to all of our personal experiences. For our display community, the disruption of our global supply chain was felt immediately, from supply constraints and demand limitations, to our focus on R&D projects that will continue our march towards display perfection. It also changed our community's impact, as nearly all communication was suddenly channeled through our display devices, while the world's focus was pulled to the more biological and medical sciences.

Through this challenging period for the world and our community, so many of us strove to contribute positively in any way possible. For NS Nanotech, this meant an acceleration of focus on ultraviolet technologies, which might one day have an impact on displays, but would more immediately have an impact on our world. This is one of many such stories in the display community, and we hope that SID can be a forum to highlight this response.

Selective area molecular beam epitaxy (MBE) is used to fabricate disc-in-nanowire light emitting devices.¹ The optical and electrical properties of InGaN/GaN quantum discs depend critically on nanostructure diameter. Photoluminescence (PL) emission of single InGaN/GaN nanostructures exhibit a consistent redshift with decreasing diameter. This is due to the increased indium (In) incorporation for nanostructures with small diameters, because of the enhanced contribution of In incorporation from lateral diffusion of In adatoms during growth. Single InGaN/GaN nano-LEDs with peak emission wavelengths tuned through nearly the entire visible spectrum on a single chip are demonstrated by varying solely the diameter of the nanostructures. Such nano-LEDs also exhibit superior electrical characteristics, with low turn-on voltage and negligible leakage current. The integration of full-color nano-LEDs on a single chip, coupled to tunable spectral characteristics at the single nanowire

level, provides a new and unique approach for realizing efficient micro-LED displays, microdisplays, and backlighting.

2 Background

Nitride semiconductors have for more than two decades been used to fabricate visible and ultraviolet LEDs. MOCVD technology is the dominant means of growing this material, and blue LEDs of Indium Gallium Nitride (InGaN) combined with phosphors and quantum dots to make white light dominate the lighting and display markets' need for light emission. Aluminum Gallium Nitride (AlGaIn) on the other hand can be used to tune emission to higher energy photons, and dominates the UV-LED market including UV-A, UV-B, and UV-C devices. Molecular Beam Epitaxy (MBE) technology has participated in both the visible InGaN and ultraviolet AlGaIn markets, but its prevalence has been small to the point of negligible. We have previously reported on our own efforts to utilize MBE of Aluminum Indium Gallium Nitride (AlInGaIn) nanoLEDs to make high efficiency, narrowband, and highly directional emitters in the green, with obvious applications to the fields of microLED main displays, microLED microdisplays, and even traditional green and red LED chips. This work continues apace and we will report updates on it at IDW 2021. However, for the reasons above, we have also accelerated our efforts on the production of UV light emitters.

UV-C, from 100-280nm, has long been known to be an effective germicide. Traditionally, Mercury-based lamps similar to CCFL lamps from LCD backlighting have been utilized in this field. A CCFL lamp in a quartz envelope without the RGB phosphor is indeed the dominant light emitting device, producing 254nm light in abundance. However, it is also well known that such radiation can cause damage to human skin and eyes in high enough doses, and so such light sources tend to be used for their germicidal purposes in volumes not inhabited by humans (HVAC), or in moments when humans are not around (robotic disinfection of subways or operating rooms). More recently, UVC-LEDs have been created and have started to gain real market traction, producing typically 265-275nm peak wavelength light. This wavelength region is nominally less effective as a germicide, and more harmful to skin and eyes, but LEDs have all the advantages of solid state light sources when compared to their Mercury containing lamp competitors.

In 2012 and since, researchers at Columbia University

Medical Center discovered that radiation further into the UVC, specifically at 207nm and 222nm, was a nominally more effective germicide, while being far less harmful to human skin and eyes. For purposes of the research, they were able to procure light sources at those wavelengths using excimer lamps of Krypton- Bromine and Krypton-Chlorine. This research has progressed to show that the skin can tolerate at least 100x dose of 270nm light, without causing any harm, and this has in 2020 been confirmed in small human trials. However, commercial adoption of this far- UVC technology has been limited by the availability of these excimer lamps, as well as the challenges and cost associated with utilizing such devices in practical application. No commercial LEDs exist at these wavelengths, and papers are limited to a handful of research groups, including that of Professor Zetian Mi at University of Michigan.

In the visible spectral range, submicron scale, high efficiency, multi-color light sources monolithically integrated on a single chip are required by the display technologies of tomorrow.² GaN- based LEDs are bright, stable and efficient, but are produced in one color across an entire wafer.³ Achieving efficient green and red LEDs using GaN-based technology has also proven stubbornly difficult. To this date, there is no proven technology to vary In compositions in quantum wells across a wafer to achieve multi-color emission on one substrate.^{4,5} InGaN nanowire structure studies have shown promise to solve such critical challenges. Nanostructured LEDs exhibit low dislocation densities and improved light extraction efficiency.⁶ Multi-colored emission can be demonstrated from InGaN nanowire arrays integrated on a single chip.^{7,8} Thus, display technologies based on nano-LED pixel arrays integrated on a single chip could become the ultimate emissive light sources for three-dimensional (3D) projection displays, flexible displays, and even virtual retinal display (VRD) technologies.⁹⁻¹² The emission cone and direction can be tailored by the one-dimensional columnar design of each nanostructure,¹³ essential to realizing ultrahigh definition displays. In addition, pixel arrays of single nano-LEDs can increase heat dissipation and can operate at extremely high current densities.¹⁴ Critical to these emerging technology areas is the realization of full-color, tunable emitters including LEDs and lasers, on a single chip. This requires fine tuning of alloy composition in different nanostructured regions, and that these compositional variations are made in a single process step. Sekiguchi et al. reported that such an approach was possible.¹⁵ This method took advantage of a shadow effect of nearest- neighbor structures to vary InGaN composition. To date, however, there is no known mechanism to controllably vary alloy compositions structure by structure without modifying global growth parameters. The single-step fabrication of multi-color, nano-LEDs on the same chip has thus not been realized previously.

Hence the significance of this demonstration of single nanowire multi-color LEDs monolithically integrated on a single substrate, which is achieved by incorporating multiple InGaN/GaN quantum discs in GaN nanowires of various diameters grown in selective area epitaxy in a single MBE process step. In previous work it is shown that for small diameter

nanowires, high In content quantum discs are formed.¹ With increasing nanowire diameter, however, In content is reduced in the critical emissive regions of the device. By exploiting such unique diameter- dependent emission region formation, tunable emission across wide spectral ranges can be achieved in a single MBE process step. Red, orange, green, and blue InGaN/GaN nanowire LEDs are formed simultaneously on the same chip, with representative current-voltage curves and strong visible light emission. This offers a new avenue for achieving multi-primary optoelectronic devices at the nanometer level on a single chip for many applications, including imaging, displays, sensing, spectroscopy, and communications. The potential impact in micro-LEDs and microdisplays is clear.

3 Results – PL and EL

3.1 Tunable Photoluminescent Nanostructures

Single InGaN nanostructures of various diameters have been fabricated on a single substrate by selective area growth (SAG) using radio frequency plasma-assisted MBE. An n-type GaN template on sapphire substrate is used, with a thin (10 nm) Ti layer as a growth mask.^{16,17} 80 nm to 1.9 μm openings were created on the Ti mask. These openings lead to precise control of the nanostructure diameter, which in turn controls nano-LED emission spectrum. As depicted in Figure 1a, each nanowire contains $\sim 0.35 \mu\text{m}$ GaN, five vertically aligned InGaN/GaN quantum discs and a $\sim 0.15 \mu\text{m}$ GaN capping layer. A Veeco GENxplor MBE system was used to grow these structures. The GaN is grown with a 1030°C substrate temperature which is subsequently reduced for active region growth.

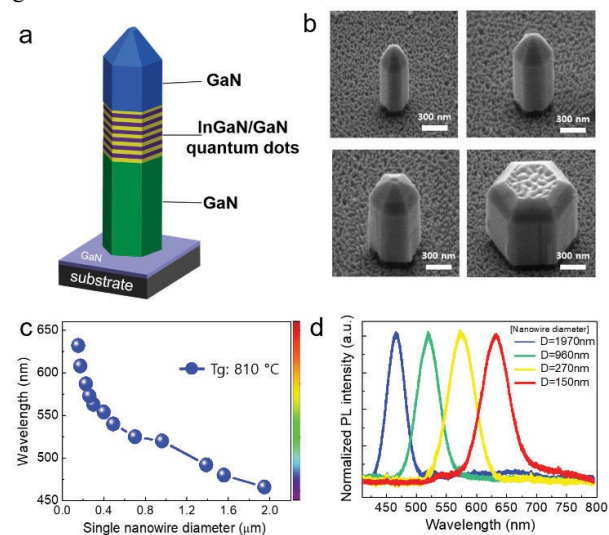


Figure 1:(a) Schematic of a single InGaN/GaN nanostructure. (b) SEM image of single InGaN/GaN nanowires. (c) Variations of the peak emission wavelength of single InGaN/GaN nanowires. (d) Normalized PL spectra of InGaN/GaN nanostructures in Sample II.¹

An optimum temperature of 810 $^\circ\text{C}$ was identified for the

active region growth. Here the temperature refers to the thermocouple reading, which may be different from the substrate surface temperature. Scanning electron microscopy (SEM) images of the single nano-structures grown with various diameters are shown in Figure 1b. The structures exhibit near-perfect hexagonal shape and based on the terminating facets possess Ga-polarity.¹⁸ Photoluminescence (PL) emission for single structures was measured at room-temperature with a 405 nm laser as the excitation source. Figure 1c shows how peak emission wavelength varies with diameter. PL structures were grown in a single MBE process step, and the color tuning is due to the variation of structure diameter within the range of 150 nm to 2 μm . The PL emission shows a consistent blueshift as diameter increases, the opposite effect than that observed when quantum confinement dominates. For example, the emission wavelengths can be tuned from 640 nm to 465 nm as diameters are controlled from 150 nm to 2 μm , all while keeping growth conditions constant. Such trends of diameter vs center wavelength can be modified by changing the growth temperature and keeping the diameter range constant. PL emission spectra of different diameter structures are further studied, showing their consistent, symmetric, behavior throughout the tuning range.¹

Size-dependent PL emission from single MBE grown nano-structures has not been seen previously in catalyst-assisted nor spontaneously formed InGa₂N arrays. The mechanism is related to the diameter-dependent inclusion of In and Ga atoms during growth. Based on our recent studies,¹ the growth process in nanowire epitaxy consists of both directly impinging adatoms and adatoms which migrate from the vertical and lateral surfaces. Ga adatoms have much larger diffusion lengths ($\sim 1 \mu\text{m}$) than In adatoms ($\sim 100 \text{ nm}$), especially at relatively high growth temperatures. In diffusion lengths are further limited by thermal desorption.¹⁵ At high temperature, Ga diffusion length is on the same order as the diameter range of interest, and hence the Ga adatom incorporation shows only a small dependence on structure size. In incorporation should be significantly reduced as diameter increases, since In adatom incorporation due to lateral diffusion will decrease. Directly impinging In adatoms are independent of structure diameter, since the beam equivalent pressure (BEP) is constant across the growth area. In contrast, diameter has a strong effect on In inclusion from lateral diffusion. This results in a relative decrease in In content and a corresponding bluer emission wavelength (see Figures 1c and d). The variation of emission color is thus largely dependent on each individual nano-structure's diameter, and not on any global effects. These results differ from what is observed in ensemble InGa₂N nanowires grown by SAG with high packing density,^{15,19,20} which due to shadowing show a redshift in emission with increasing diameter.

3.2 Full-color Electroluminescent LEDs

We have designed single nanowire LED groupings consisting of nanowires with varying diameters in order to demonstrate full-color (red, green, and blue – RGB) tunable single nanowire LED pixels integrated on the same chip. The LED nanostructures consist of 0.44 μm n-GaN, six InGa₂N/GaN

quantum discs and 0.15 μm p-GaN.¹ Growth conditions can be determined such that emission wavelengths across the visible spectral range can be realized for nanowires with diameters varying from $\sim 200 \text{ nm}$ to $\sim 600 \text{ nm}$, a much smaller range than in the previous experiments. Pattern design took into account the lateral growth effect previously discussed. The LEDs have an average height of 650 nm, with hexagonal shape and smooth side facets, which contributes to light emission from the top surface of each LED. Nano-LEDs of this design also exhibit high light extraction efficiency.

The turn-on voltages are similar to the semiconductor bandgap, significantly improved from previously shown ensemble LEDs and green and red GaN-based planar devices.^{14,21} Current densities as high as 7 kA/cm² were measured at $\sim 3 \text{ V}$, with the highest current densities possible in nano-LEDs with the smallest diameters. This corresponds to the enhanced doping levels in smaller diameter nanostructures and the resulting effect on carrier density,^{22,23} as well as increased heat dissipation.¹⁴ This suggests that nano-scale optoelectronic devices can be driven at extremely high current density and brightness compared with conventional planar devices. Leakage current measured under reverse bias is also quite small, but is a function of diameter, likely due to defects in larger structures. This is in reasonable agreement with previously published studies.^{24,25}

These nano-LEDs also show novel light emission properties. Electroluminescence (EL) was collected using a fiber-coupled spectrometer. Light intensity was greater in devices with larger diameters under identical current density, because of the larger effective area. Nano-LEDs with smaller areas could also be operated at higher current density, which we attribute to their more efficient conduction and thermal dissipation. The emission peak is nearly invariant with increasing current, demonstrating that the quantum-confined Stark-effect is minimal, which is in turn due to the efficient strain relaxation of such nano-structures. By controlling the nanowire diameter and height, single nanowire nano-LEDs can have significantly increased light extraction efficiency, along with a more controllable emission cone, compared to planar LEDs. We also note that these devices are capable of sustaining massive current densities, with linear increases in light output sustained into the kA/cm² regime, roughly 100x the typical operating current density of a planar LED. This implies a peak brightness well into the million nit regime.

3.3 Photonic Bandgap Effects in LEDs

Such sub-micron devices demonstrate that even single nanostructure LEDs can be made to be efficient and controllable. However, today's displays, even the highest resolution micro-displays, rarely call for pixel sizes that are $\sim 200 \text{ nm}$ in extent. Instead, microdisplay technology is striving to push from 5-10 μm pixel pitches today to 1-3 μm in the near future. Similarly, microLED display approaches require enough light to be emitted for daylight viewing, and for mass-transfer methods to be able to handle the tiny devices. Conventional wisdom is that again, devices in the size range of 1-5 μm are probably ideal. So while a single nano-LED is of interest, a small array of such structures is likely necessary for

near-term commercial relevance.

Arrays of such structures are useful for more than just scaling up the area, brightness, and handling ease of such LEDs. Through careful design of the diameters, spacing, and periodicity of the nanostructures, the periodic fluctuations of index of refraction can simultaneously create a photonic bandgap effect. Such effects have been previously shown in photoluminescence²⁶, but never in an operating electroluminescent, non-lasing, device.

Such photonic bandgap LEDs (PBG-LEDs) have been fabricated, and exhibit the combined benefits of nanoLEDs such as are in this paper (efficient emission, superior crystallinity with high indium doping, controlled growth of RGB emitters on a single substrate), with photonic crystal effects. These PBG-LEDs exhibit ultra- narrow-band emission, and have a peak emission wavelength that is independent of temperature and current. PBG-LEDs could indeed be the most credible pathway to true 100% Rec.2020 color gamut, without resorting to laser sources with their inherent challenges relating to speckle. In enhancing the emission for a single color of light, the photonic bandgap effect also speeds the emission of light, increasing efficiency²⁶. Finally, this enhanced wavelength of emission also corresponds to an enhanced direction of emission, and so these PBG-LEDs are also extremely narrowband directional emitters.

Such optics-free, highly directional emission is directly related to the surface-emission mode of the InGaN photonic nanocrystal structures shown earlier. This property can greatly simplify the design and reduce the cost of next-generation ultrahigh resolution display devices and systems, especially where etendue of the optical system is limited.

4 Conclusion

We have shown multi-color, single nanowire LEDs on a single chip, grown in a single process step, by using selective growth. Compared to conventional devices, such nano-LEDs offer several technological advantages, including significantly enhanced light extraction efficiency, controllable radiation cones, tunable visible light emission, and efficient current conduction. Due to their nano-size and reduced capacitance, such devices also offer ultrahigh speed frequency response. This provides a unique platform for realizing tunable, full-color nanoscale LEDs for a range of markets, including high resolution imaging and displays, lighting, communications, sensing, and medical diagnostics. The implications for microLED displays are clear and large, offering high efficiency LEDs that can be as small as 100nm, with the full visible spectrum accessible in a single materials system and grown on a single wafer in a single process step. Combined with the possible photonic bandgap effects available in small arrays of such structures, the potential applications of this technology are limitless.

In the UV spectral range, the challenges of producing LEDs of Al(Ga)N at 210-240nm are well documented. Solutions to these problems will come but are unlikely to have an impact on the market in the next several years. Nonetheless, a far-UVC light source with the beneficial properties of a solid-state light emitter are highly desirable. Thus, NS Nanotech has accelerated its UVC product roadmap, to focus on enabling solid state semiconductor light sources emitting in the far-UVC, which side-step the problems typically associated with AlGaIn LEDs. These products can have an immediate market impact, and can utilize display technology to effectively neutralize coronaviruses, bacteria, and even influenza viruses on surfaces and in the air. Additionally, such light sources could be used in conjunction with display technology, particularly touch displays in point of

sale applications, to make public displays more sanitary and more trustworthy of our customers.

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