

## Resistive switching in two-terminal HfO<sub>2</sub>/SiO<sub>2</sub> stack with interface dipole modulation

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### Abstract

We propose a new metal–insulator–metal (MIM) resistive switching device using a HfO<sub>2</sub>/SiO<sub>2</sub>-based interface dipole modulation (IDM). Rectification characteristics useful for selector function and resistance change were demonstrated by the IDM MIM stack with an asymmetric tunnel barrier. *In situ* hard x-ray photoelectron spectroscopy (HAXPES) was applied to investigate the IDM MIM structure under bias conditions.

### 1. Introduction

The interface dipole modulation (IDM) observed from the HfO<sub>2</sub>/SiO<sub>2</sub> stack structure has been reported to be applicable as a 3-terminal flash type memory device [1]. On the other hand, incorporating such a potential modulation into a metal-insulator-metal (MIM) structure is expected to lead to a two-terminal resistance change device. One of the advantages of two-terminal devices is the high-density cross-point memory array architecture. In this case, a selector device is required for suppressing the snake currents flowing through the adjacent memory cells. Various selector devices have been proposed, and one of them is a rectifier such as a p/n junction or MIM diode [2, 3]. In this paper, we introduce an idea of an IDM-based MIM device that achieves both resistance change and rectification. We report the electrical and physical characteristics of the fabricated IDM MIM stack structures.

### 2. Device concept and fabrication

A HfO<sub>2</sub>/SiO<sub>2</sub>/HfO<sub>2</sub> stack is investigated, where a monolayer (ML) TiO<sub>2</sub> modulation layer is inserted at the bottom-side SiO<sub>2</sub>/HfO<sub>2</sub> interface [Fig 1 (a)]. When a positive bias is applied to a top electrode (TE), the tunnel current is expected to increase because the potential barrier near the bottom-side SiO<sub>2</sub>/HfO<sub>2</sub> interface decreases due to the IDM effect. Under the negative bias conditions, electrons tunnel through the top-side HfO<sub>2</sub>/SiO<sub>2</sub> barrier. Choosing a thicker top-side HfO<sub>2</sub> layer than the bottom-side HfO<sub>2</sub> layer is expected to reduce tunnel current compared to the positive bias conditions. This means that resistance change and rectification can be achieved in the same MIM stack.

The 4-nm HfO<sub>2</sub>/2.5-nm SiO<sub>2</sub>/1-ML TiO<sub>2</sub>/1.5-nm HfO<sub>2</sub> stack was prepared on TiN bottom electrode (BE) by electron-beam evaporation [1]. The post deposition annealing (PDA) was performed at 350°C, and then Ir TEs were fabricated. For the photoelectron spectroscopy measurements, IDM stacks were fabricated on TaN BEs. The hard x-ray photoelectron spectroscopy (HAXPES) measurements were performed using synchrotron radiation ( $h\nu=7940$  eV) at BL47XU at SPring-8 [4].

### 3. Results and discussion

#### 3.1. Electrical characteristics of IDM MIM

The current-voltage ( $I$ - $V$ ) curves of the fabricated IDM MIM cell exhibit the resistance change in the positive bias range [Fig. 2 (a)], as expected. Under this bias sweep condition, more than one-digit difference can be obtained. The current in the negative bias range is small and increases slightly below -3 V. This current change is opposite of the positive bias range and is consistent with the IDM operation. The current change and rectifying characteristics are stable under the cyclic  $I$ - $V$  measurements [Figs. 2 (a) and (b)].

The above  $I$ - $V$  characteristics can be observed for virgin cells, and the forming process that is usually required for conductive filament type memory is not required for the IDM device. The current difference ( $\Delta I$ ) between the SET and RESET states depends on the bias sweep range [Figs. 3 and 4]. This tendency is consistent with the previously proposed IDM mechanism [1], *i.e.*, the IDM magnitude gradually changes according to the electric field. This analog-like resistance change is expected to be useful in neuromorphic applications.

#### 3.2. XPS and HAXPES measurements

The key structural element in the TiO<sub>2</sub>-modulator-based IDM was reported to be Ti-O bonding [5]. Even though IDM oxide stacks on metal electrodes, we were able to confirm that the Ti 2p suboxide component could be suppressed after the appropriate PDA [Fig. 5]. Thus, we can reasonably expect that IDM occurs in the fabricated IDM MIM cells.

Figure 6 shows the HAXPES results obtained from the IDM MIM stack, where TE was connected to ground. By applying the BE bias, Ta 3d photoelectron spectrum shifts significantly and the Hf 3d spectra also show slight shifts. These characteristics can be reasonably explained by the potential changes in the MIM stack. Figure 7 shows Hf 3d spectra with and without bias, which can be explained by the band diagrams shown in the insets. This result suggests that the Hf sub-oxide components are negligible. Therefore, we believe that the resistance change caused by oxygen vacancy of HfO<sub>2</sub> is not the major switching mechanism of the IDM MIM cells.

### 4. Conclusions

We proposed a unique approach which provides both resistive switching and rectification function in the same MIM stack cell. We have fabricated MIM cells with the HfO<sub>2</sub>/SiO<sub>2</sub> IDM stack and confirmed both characteristics. Photoelectron spectroscopy studies support that the intended IDM MIM stack can be fabricated.

### Acknowledgements

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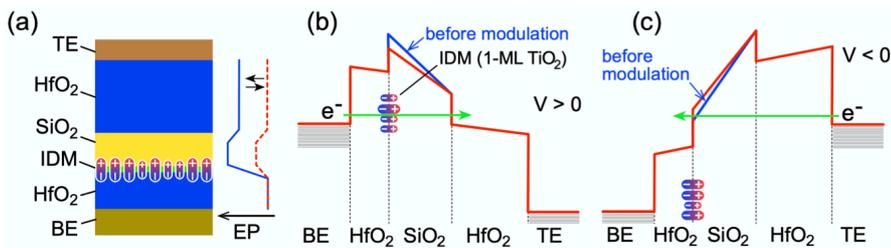


Fig. 1 Concept of rectifying resistive switching MIM stack based on IDM and asymmetric tunnel barrier. (a)  $\text{HfO}_2/\text{SiO}_2/\text{HfO}_2$  MIM stack with 1-ML  $\text{TiO}_2$  modulation layer, (b) and (c) electron barrier height change under positive and negative bias conditions, respectively.

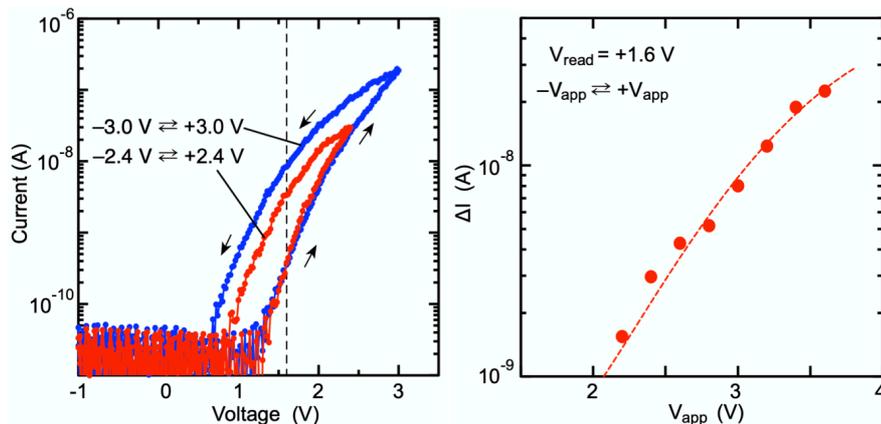


Fig. 3  $I$ - $V$  curves of  $\text{HfO}_2/\text{SiO}_2/\text{HfO}_2$  IDM MIM stack for different bias sweep widths.

Fig. 4 Effect of maximum applied voltage ( $V_{app}$ ) on current difference ( $\Delta I$ ) between SET and RESET states.

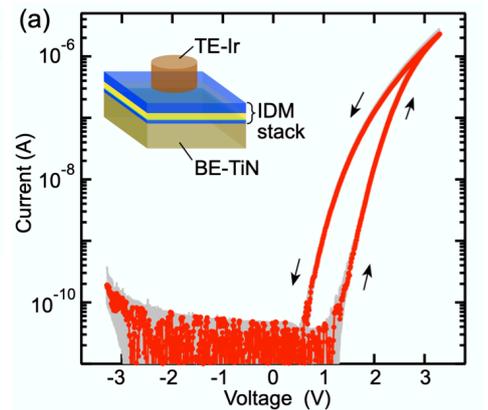


Fig. 2 Current change and rectification characteristics of  $\text{HfO}_2/\text{SiO}_2/\text{HfO}_2$  IDM MIM stack. (a) Cyclic  $I$ - $V$  curves and (b) SET and RESET currents at +1.5 V.

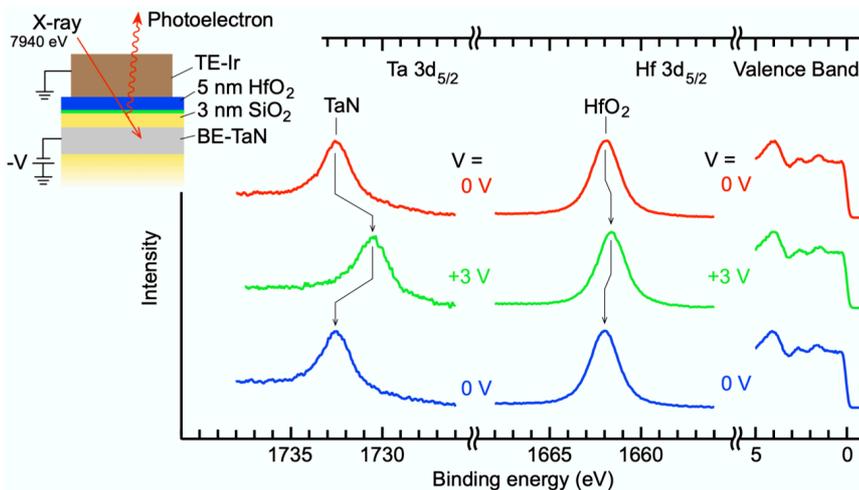


Fig. 6 *In situ* HAXPES measurement of  $\text{Ir}/\text{HfO}_2/1\text{-ML TiO}_2/\text{SiO}_2/\text{TaN}$  MIM stack under bias conditions.

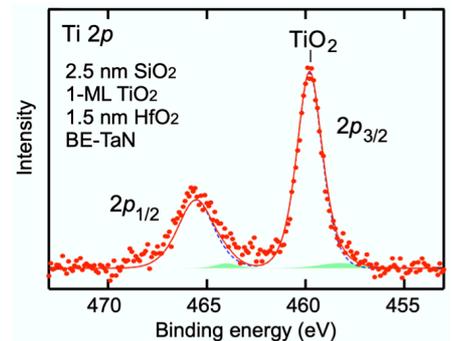


Fig. 5  $\text{Ti } 2p$  photoelectron spectrum of  $\text{SiO}_2/1\text{-ML TiO}_2/\text{HfO}_2$  stack on  $\text{TaN}$  electrode.

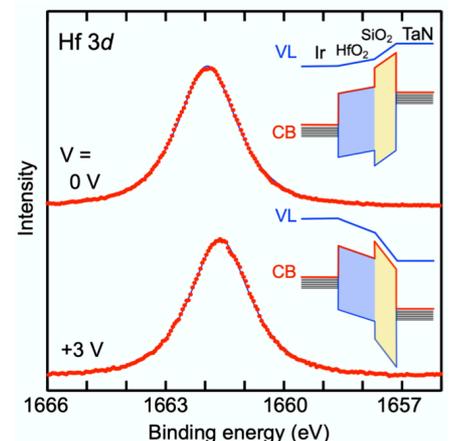


Fig. 7  $\text{Hf } 3d$  photoelectron spectra and predicted band diagrams.

## References

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